

---

# The ISIR Guide

2006-2007

**U.S. Department of Education**



F E D E R A L  
S T U D E N T A I D

# Table of Contents

<b>INTRODUCTION.....</b>	<b>1</b>
Overview .....	1
Summary of Changes.....	2
Deleted ISIR Field.....	2
Modified ISIR Fields.....	2
CPS Data Element Changes .....	2
NSLDS Data Element Changes.....	3
Modified Reject Edits.....	3
CPS Edit Changes .....	4
Database Matches.....	6
Comment Code Changes .....	7
Processing Flow.....	8
Federal Application Processing System Paper and Electronic Processes .....	10
Federal Application Processing System Web Process .....	11
Transactions.....	12
Highlights and Assumptions.....	13
Paper System Highlights and Assumptions .....	13
Electronic System Highlights and Assumptions .....	14
<b>ISIR DATA .....</b>	<b>15</b>
Overview .....	15
Dependency Status Results.....	16

ISIR Office Information .....	17
DRN .....	17
Primary and Secondary EFC Type.....	17
Processed Date .....	18
Transaction Data Source/Type Code.....	18
Source of Correction Flag .....	19
Federal School Code Indicator.....	19
Reject and Assumption Override Codes .....	19
ISIR FAA Information.....	20
Date ISIR Received.....	20
Verification Flag .....	20
FAA Adjustment .....	21
Transaction Receipt Date .....	21
Reprocessing Code.....	21
Processed Record Type .....	22
CPS Pushed ISIR Flag.....	22
Rejects Met.....	22
Verification Tracking Flag.....	22
Dependency Override.....	23
ETI.....	23
Correction # Applied To .....	24
Application Receipt Date .....	24
Pell Eligible Flag.....	24

Primary and Secondary EFCs/Alternate EFCs.....	25
Intermediate Values.....	26
Auto Zero EFC Flag.....	27
EFC Change Flag.....	27
SNT Flag.....	27
Rejected Status Change Flag.....	28
Verification Selection Flag.....	28
Duplicate SSN Flag.....	28
Address Only Correction Flag.....	28
SAR C Change Flag.....	29
Match Flags.....	29
DHS Verification Number.....	29
NSLDS Transaction Number.....	29
NSLDS Database Results Flag.....	30
Comment Codes.....	30
NSLDS Financial Aid History.....	31
Flags (Upper Section).....	31
Overpayment Flag.....	31
Contact.....	31
Discharged Loan Flag.....	32
Defaulted Loan Flag.....	32
Loan Satisfactory Payment Arrangement Flag.....	32
Active Bankruptcy Flag.....	32

Postscreening Reason Codes .....	32
Aggregate Amounts for FFEL/Direct Loans and Perkins Loan Section.....	35
Pell Payment Data Section .....	36
Loan Detail Section.....	37
Master Promissory Note/Loan Limit Information Section .....	40
Additional ISIR Data .....	41
Field Correction Flags.....	41
FAFSA Data Verify Flags.....	42
<b>CORRECTIONS AND UPDATES .....</b>	<b>43</b>
Overview .....	43
SAR Corrections.....	45
Parent Information for Independent Students.....	46
Signatures .....	47
SAR Corrections .....	47
Reject 16 — Missing Student Signature on Web Application.....	47
Reject 15 — Missing Parent Signature on FAFSA or SAR.....	48
Reject 14 — Missing Student Signature on Paper FAFSA or SAR.....	49
School Code Corrections.....	50
<b>APPENDIX A – 2006-2007 REJECT CODES AND REJECT REASONS.....</b>	<b>A-1</b>
Reject Reason Codes .....	A-1
Changes to the Reject Codes.....	A-2
Table of Reject Codes and How to Respond to Each.....	A-3

**APPENDIX B – 2006-2007 DATABASE MATCHES AND MATCH FLAGS ..... B-1**

Overview ..... B-1

    How to Use the Charts ..... B-1

Selective Service Match ..... B-3

Selective Service Registration ..... B-4

DHS Primary Verification Match..... B-6

DHS Secondary Confirmation Match..... B-9

Social Security Administration’s Citizenship Status..... B-11

Student’s Social Security Number Match ..... B-13

Parent’s Social Security Number Match ..... B-21

Department of Veterans Affairs Veteran Status Match..... B-32

National Student Loan Data System (NSLDS) Match ..... B-36

Drug Abuse Hold..... B-49

Drug Conviction Question #31 ..... B-50

Verification Selection Edits..... B-52

2006-2007 Comments that Generate the C-Flag on SARs and ISIRs..... B-53

**APPENDIX C - LOAN STATUS CODES AND ELIGIBILITY CHARTS..... C-1**

Overview ..... C-1

Loan Status Codes ..... C-2

Federal Direct Loan Servicer..... C-6

Selected Servicers..... C-7

Guaranty Agencies ..... C-9

Selected Lenders..... C-11

Contact Information & Fields Associated with Loan Status ..... C-16

<b>APPENDIX D – SAR AND SAR ACKNOWLEDGEMENT .....</b>	<b>D-1</b>
Overview .....	D-1
Paper SAR .....	D-3
SAR–Page 1 .....	D-3
SAR–Page 2 .....	D-5
SAR–Page 3 .....	D-5
SAR–Page 4 .....	D-6
SAR–Pages 5-8 .....	D-6
SAR Acknowledgement .....	D-8
SAR Acknowledgement–Page 1 .....	D-8
SAR Acknowledgement–Page 2 .....	D-8
<b>APPENDIX E – SAR COMMENT CODES AND TEXT .....</b>	<b>E-1</b>
Comment Codes .....	E-1
<b>APPENDIX F – ADDRESSES .....</b>	<b>F-1</b>
<b>APPENDIX G – SAMPLE ISIR .....</b>	<b>G-1</b>
Overview .....	G-1
Sample ISIR .....	G-2
<b>APPENDIX H – WORKSHEET FOR QUESTION 31 .....</b>	<b>H-1</b>
Overview .....	H-1

# INTRODUCTION

---

## Overview

The *ISIR Guide* is designed to assist financial aid administrators (FAAs) with interpreting student information from an Institutional Student Information Record (ISIR). The term *ISIR* refers to all processed student information records that are sent electronically to institutions by the Central Processing System (CPS).

ISIRs are sent to schools through the Electronic Data Exchange (EDE), the Department of Education's electronic service that enables schools to send electronic data to and receive resulting processed electronic data from the CPS and other Federal Student Aid systems. The *ISIR Guide* explains codes and flags that appear as FAA information on the ISIR.

Student Aid Reports (SARs) are the paper or electronic output documents that are sent to students or printed from the Web. SARs and ISIRs contain the same processed student information in different formats. The SAR is explained in detail in Appendix D of this guide.

A draft of the 2006-2007 ISIR record layout for institutions and state agencies was provided on the U.S. Department of Education's Federal Student Aid Download (FSAdownload) Web site and the Information for Financial Aid Professionals (IFAP) Web site in July 2005. The *2006-2007 EDE Technical Reference* contains all the application processing and correction record layouts described in section 3, and can be downloaded in portable document format (PDF) from the FSAdownload Web site located at [fsadownload.ed.gov](http://fsadownload.ed.gov) as well as from the IFAP Web site located at [ifap.ed.gov](http://ifap.ed.gov).

We made very few changes to the 2006-2007 application processing system. Information about these system changes and enhancements are explained in this guide, as well as in the 2006 – 2007 *Summary of Changes for the Application Processing System* guide, posted on the FSAdownload Web site located at [fsadownload.ed.gov](http://fsadownload.ed.gov) and on the IFAP Web site at [ifap.ed.gov](http://ifap.ed.gov).

---

# Summary of Changes

## Deleted ISIR Field

- **Data Release Number (DRN)** – The Data Release Number (DRN), field 137 in 2005-2006, has been changed to Filler. The DRN value will no longer be included on the ISIR, although the DRN will continue to be used as in past cycles. Previously, the DRN was only included on a student’s ISIR if the school submitted the original FAFSA electronically.

## Modified ISIR Fields

### *Global Changes*

- **Cycle Year Updates** – Updated all dates in field names and valid content.

## CPS Data Element Changes

- **Removed References to TeleFile** – The Internal Revenue Service (IRS) determined it would not offer TeleFile for 2005. We have removed all references to TeleFile from the valid content descriptions.
- **Modified Valid Content in the Following Four Fields**
  - **Parents’ Number of Family Members** – Updated valid content value from “01 to 99” to “00 to 99” to correct an error in the 2005-2006 EDE Technical Reference.
  - **Parents’ Number in College (Parents excluded)** – Updated valid content value from “00 to 99” to correct an error in the 2005-2006 EDE Technical Reference.
  - **Transaction Data Source/Type Code** – Deleted valid content value “4R = Paper Renewal Application” since the paper Renewal FAFSA is no longer an input option.
  - **Application Data Source/Type Code** – Deleted valid content value “4R = Paper Renewal Application” since the paper Renewal FAFSA is no longer an input option.
- **Correction Deadline Extended**
  - The deadline date for paper corrections is now September 17, 2007.

- **Modified Field Description for Three Fields** – The Field Name description was updated to read, “Percent with two decimal places assumed, for example, 50% = 05000.” for the following three fields:
  - NSLDS Pell Percent Scheduled Award 1 (Field 330)
  - NSLDS Pell Percent Scheduled Award 2 (Field 340)
  - NSLDS Pell Percent Scheduled Award 3 (Field 350)

## **NSLDS Data Element Changes**

- **Modified the Valid Content Description in Three Fields** – We added a description to clarify that the code may be a numeric school code or region code in the following three fields:
  - NSLDS Pell Overpayment Contact
  - NSLDS SEOG Overpayment Contact
  - NSLDS Perkins Overpayment Contact
- **Modified the Field Name for the Following Field**
  - NSLDS Perkins Overpayment – Added “Flag” to the field name.
- **Modified Total Loan Amounts Field Labels**
  - Data in the Total Loan Amounts section now prints in the following categories and order: Subsidized Loans, Unsubsidized Loans, Combined Loans, and Unallocated Consolidation Loans.

## **Modified Reject Edits**

- **Date of Birth Year Incremented** – We incremented the date of birth end-year references in Rejects A and B, which alert FAAs that the date of birth entered may be in error because the student is older than most students (greater than 75) or younger than most students (less than 16) pursuing a post-secondary education:
  - Reject A – Date of birth year equals 1900 through 1931.
  - Reject B – Independent student and date of birth equals 09/01/90 or greater, and date of birth is not equal to or greater than current year.

- **Deleted Renewal FAFSA from Reject 2** – With the removal of the paper Renewal FAFSA we have removed the reference to Renewal FAFSA from Reject 2.

## **CPS Edit Changes**

We have incremented the year references in data element field titles and year parameters by one. We added and modified several CPS edits for 2006-2007. The changes we have made are as follows:

### *Tax Filing Status Edits*

- **Changed Description of Non-Filers** – We revised the tax filing edits to classify applicants with blank tax return status, blank tax return type, and zero Adjusted Gross Income (AGI) as non-filers instead of filers. We made this change because students with a zero AGI who are considered “filers” get a zero EFC. If students are considered non-filers the CPS uses the student’s Income Earned from Work to calculate the EFC, which will more accurately reflect what the students can contribute towards their education.

### *Warning Edits*

- **Incremented Dates** – As part of our annual updates we incremented the year references in the following warning edits:
  - **Date of Birth edits (updated from 09/01/89 to 09/01/90)** – These edits are used to identify dependent students who appear unusually young to be applying for federal student aid. If the student was born after 09/01/90 he or she is 16 years old or younger.
  - **Year references in process date edits incremented by one year** – Process date edits check for application and correction records that are processed after deadlines. Student records processed between 6/30/2007 and 8/20/2007 will have comment 125 set and records processed after 8/20/2007 will have comment 127 or 128 set.
- **Cash, Savings, and Checking Accounts is equal to Real Estate/Investment Net Worth** – When an applicant reports the same non-zero, non-blank value for the Cash, Savings, and Checking Accounts field as the Real Estate/Investment Net Worth field, a double reporting warning edit is set. When this warning edit is triggered, a comment prints on the SAR asking the parents or the student to verify or reenter and, if necessary, correct the Cash, Savings, and Checking Accounts and Real Estate/Investment Net Worth information provided on the FAFSA.
  - Parents who trigger this edit receive comment 121.
  - Dependent Students who trigger this edit receive comment 122.
  - Independent Students who trigger this edit receive comment 123.

- **2006-2007 Double Reporting Warning Edits** – The CPS performs double reporting warning edit checks by comparing certain data fields on the applicant’s 2006-2007 record and triggering edits if duplicative values are provided under certain conditions. These edits, and the corresponding SAR comments, are designed to prevent the student from double reporting income or assets, which would affect the calculation of the student’s EFC.

When applying on the Web, students who meet the criteria for double reporting warning edits are prompted to either correct the conflicting data or verify that the data is correct by re-entering the reported value. If the student overrides the double reporting edit by verifying that the data is correct, the corresponding SAR comment is suppressed.

Using FAA Access to CPS Online, FAAs can also override double reporting warning edits and suppress the corresponding SAR comments. To override the edit(s) in FAA Access to CPS Online, the FAA must re-enter the reported value and submit it to the CPS. This serves as the FAA’s verification that the data is correct.

Listed below are the double reporting warning edit conditions for 2006-2007:

<b>Party Affected/ Comment #</b>	<b>Conditions Causing the Edit to be Triggered</b>
Parent(s) - comment 91  Independent Student- comment 92	Parents’/Student and Spouse’s Income from Worksheet A equals Income from Worksheet B or  Parents’/Student and Spouse’s Adjusted Gross Income (AGI) equals Income from Worksheet A or Income from Worksheet B or  Parents’/Student and Spouse’s AGI equals Income from Worksheet A plus Income from Worksheet B  and  The equal values are positive.
Parent(s) - comment 91  Independent Student- comment 92	Father’s/Student’s Income Earned from Work equals Income from Worksheet A plus Income from Worksheet B or  Mother’s/Spouse’s Income Earned from Work equals Income from Worksheet A plus Income from Worksheet B or  Father’s/Student’s Income Earned from Work plus Mother’s/Spouse’s Income Earned from Work equals Income from Worksheet A plus Income from Worksheet B  and  The equal values are positive.

<b>Party Affected/ Comment #</b>	<b>Conditions Causing the Edit to be Triggered</b>
Parent(s) - comment 96  Independent Student- comment 98	Father's/Student's Income Earned from Work and Mother's/Spouse's Income Earned from Work are both non-zero, non-blank, and equal.
Dependent Student- comment 93	Student's AGI equals Income from Worksheet B  and  The equal values are positive.
Dependent Student- comment 93	Student's Income Earned from Work equals Income from Worksheet B  and  The equal values are positive.
Parent(s) - comment 121*  Independent Student- comment 123*	Parents'/Student and Spouse's Cash, Savings, and Checking Accounts and Real Estate/Investment Net Worth are both non-zero, non-blank, and equal.
Dependent Student- comment 122*	Student's Cash, Savings, and Checking Accounts and Real Estate/Investment Net Worth are both non-zero, non-blank, and equal.

\* New for 2006-2007

## Database Matches

### *National Student Loan Database System (NSLDS)*

- Added Data Fields to the Prescreening Request Record** – The CPS will send the Dependency Status, Graduate Flag, and Grade Level in College data to NSLDS in addition to the fields currently sent during prescreening. Sending these fields with the other prescreening data will ensure that NSLDS is receiving data in a more timely manner. This allows NSLDS to more accurately calculate student eligibility information, determine aggregate loan limits, and set loan limit flags instead of waiting to receive the student's graduate status information, which was previously sent after the prescreening process.

## *Selective Service*

- **New Criteria to Send Records to Match** – The CPS will now send records to the Selective Service match when they previously had a match of *T* (“Temporarily Exempt”) and the answer to the Do you want Selective Service to register you? question is changed from “blank” to “Yes.” This change allows records to be resent to the Selective Service match if students want us to register them once they turn 18.
- **Date of birth range updated** – The CPS increased the end date range by one year from 1987 to 1988. This is an annual change.

## **Comment Code Changes**

Currently, the comment text applied to SARs and ISIRs differs slightly, with SAR comment text geared more to student applicants and ISIR comment text to FAAs. To improve consistency and more easily accommodate mid-cycle updates, we will no longer maintain the separate set of comment code text for ISIR records. For 2006-2007, ISIRs will use the same set of comment code text as SARs, meaning that both the student and the FAA will see the same text. If the FAA is reviewing a printed ISIR, the comment text will be the same as the paper SAR. If the FAA is reviewing the SAR information on FAA Access to CPS Online, the comment text will be identical to what the student sees online.

We polled FAAs from a diverse set of schools for their opinion before we made this change, and the unanimous opinion was that it would be preferable to see the same text the student sees.

For more information see the Comment Codes section in this guide under ISIR FAA Information and the *SAR Comment Codes and Text* document, which can be downloaded in portable document format (PDF) from the FSAdownload Web site located at [fsadownload.ed.gov](http://fsadownload.ed.gov) as well as from the IFAP Web site located at [ifap.ed.gov](http://ifap.ed.gov).

---

## Processing Flow

The applicant data process flow is the same in 2006-2007 as in 2005-2006.

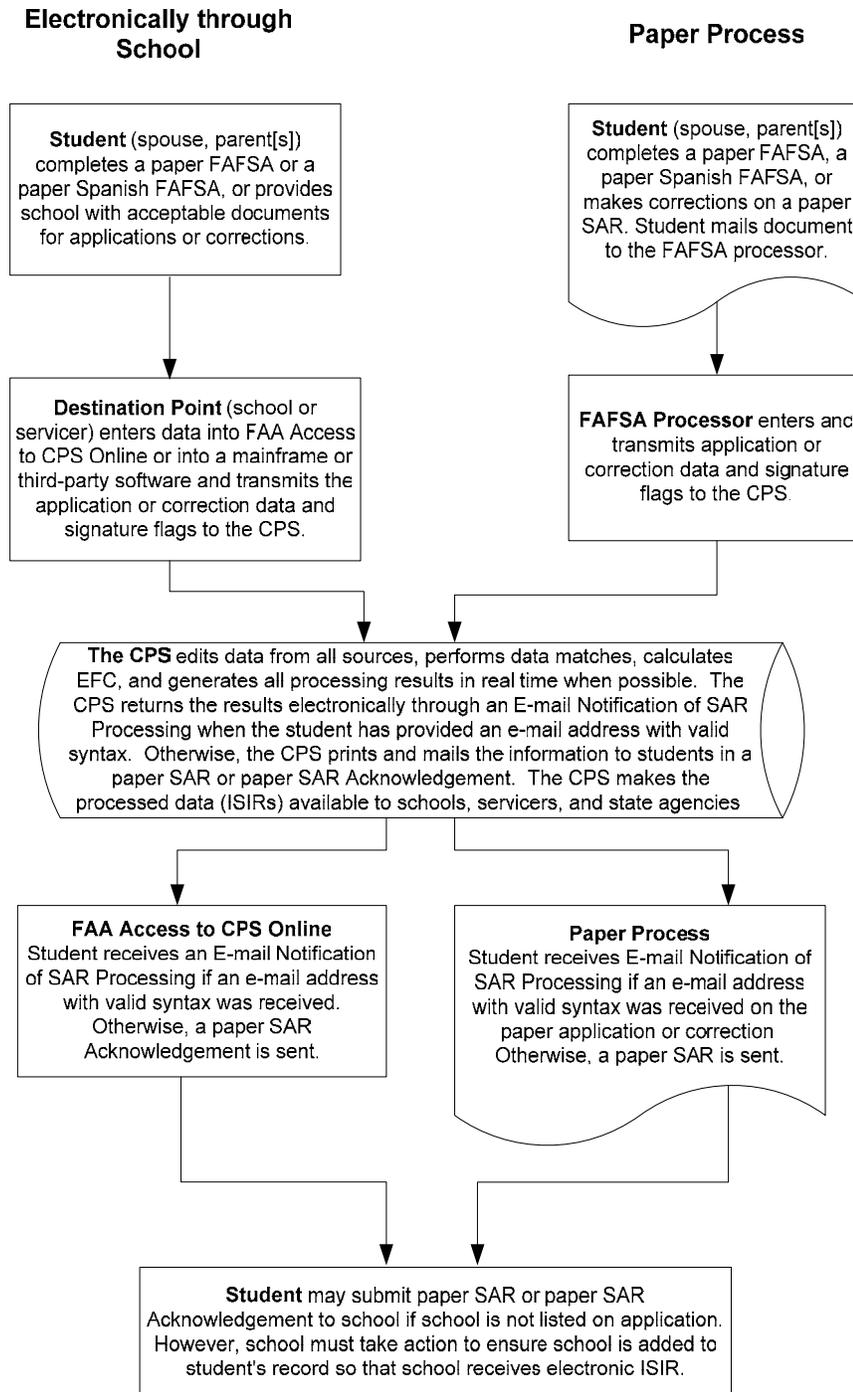
The student starts the process by completing a FAFSA or Renewal FAFSA. That information is processed through the CPS and the results are returned to the student and institution. See the bullet labeled “The Financial Aid Application” below for filing options.

The charts on pages 10 and 11 show how information from a financial aid applicant flows through the system for the various types of applications and corrections. The major participants, documents, and records in the application process are:

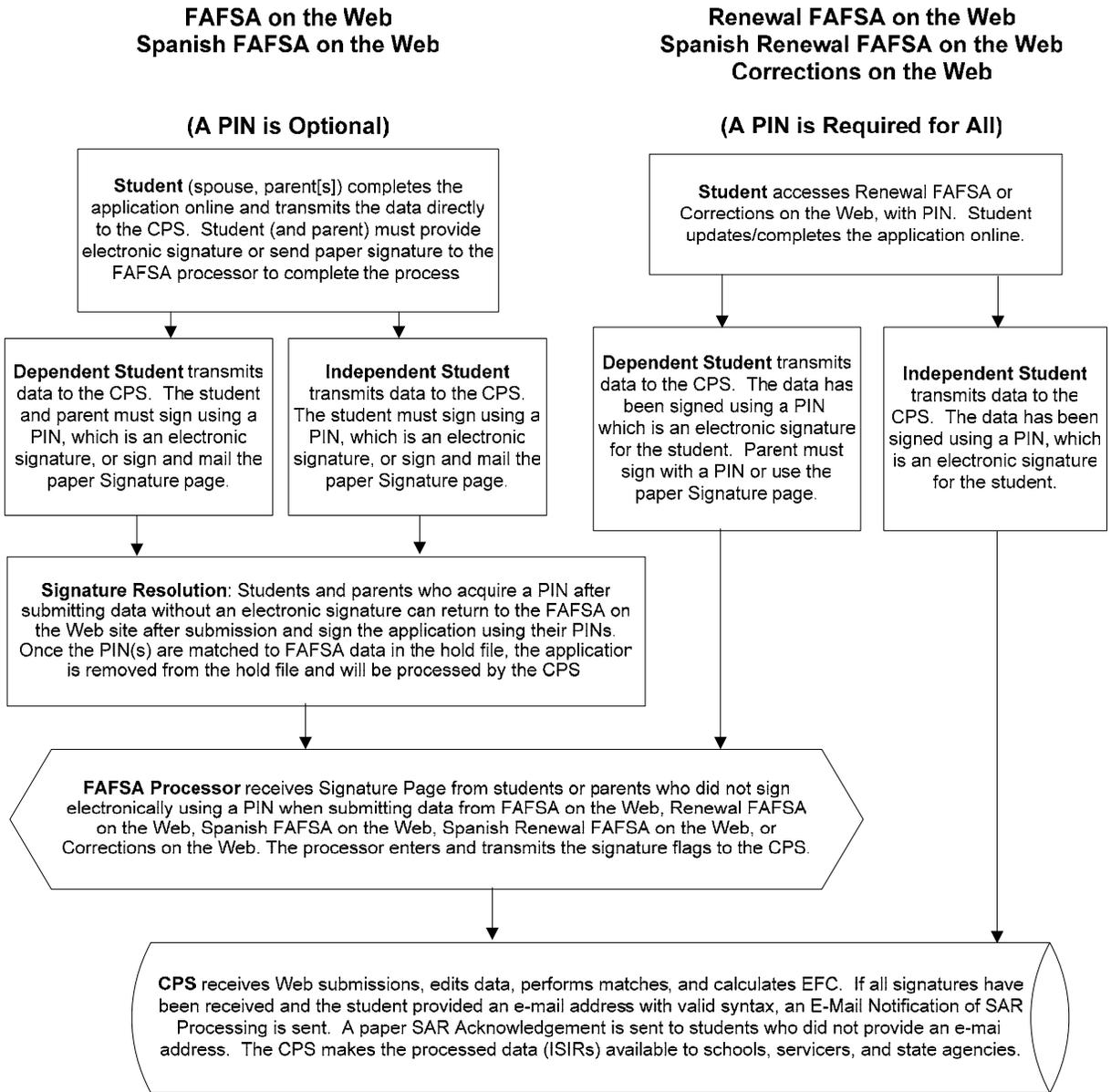
- **The U.S. Department of Education (ED)**
- **The Student.** The student can apply for federal student aid under the Title IV programs—Federal Pell Grant, Federal Supplemental Educational Opportunity Grant (SEOG), Federal Work-Study, Federal Perkins Loan, the Federal Family Education Loan Program, and the William D. Ford Federal Direct Loan Program.
- **The PIN for Students and Parents.** The Personal Identification Number (PIN) serves as a signature. Students and parents may apply for a PIN if they were not sent one by ED. The PIN, along with the user’s personal information, serves as an electronic signature when entered on the FAFSA on the Web site. The PIN also serves as an identifier to let the student access his or her personal information in various U.S. Department of Education systems. Because the PIN serves as an electronic signature and allows access to personal information, the PIN should not be shared or given to anyone.
- **The Financial Aid Application.** Different forms of the application are available for the student to complete and submit to apply for federal student aid. These include the paper FAFSA, paper Spanish FAFSA, FAFSA on the Web, Spanish FAFSA on the Web, Renewal FAFSA on the Web, and Spanish Renewal FAFSA on the Web. The school can also file an application for the student by using an electronic FAFSA through FAA Access to CPS Online or by submitting an ASCII flat file through the SAIG in message class EAPS07IN.
- **The FAFSA Processor.** One organization serves as the data entry processor for the 2006-2007 processing year under contract with ED. The FAFSA processor receives paper applications, paper SAR corrections, and paper signature pages in the mail, performs document analysis to ensure that the data is acceptable, and handles missing or unacceptable responses. The processor images the application, enters the information from the application, and transmits the data and image electronically to the CPS.

- **The Central Processing System (CPS).** The CPS operates under a contract with ED to receive and process application and correction information. The CPS matches student records with other federal databases such as Social Security and Veterans Affairs to confirm eligibility. The CPS also applies a series of compute edits to the application information to check for inconsistencies, contradictions, and missing data. During the compute process, the CPS uses the need-analysis formula specified by law to calculate each applicant's Expected Family Contribution (EFC).
- **The Institutional Student Information Record (ISIR).** ISIRs are electronic records produced by the CPS that provide institutions with processed application and correction information. ISIRs can be sent to destination points (schools, servicers, and state agencies) daily or by request using FAA Access to CPS Online.
- **The Student Aid Report (SAR).** This document provides the student with processed application information. The CPS prints this form and mails it directly to the address the student provided, or the SAR is printed from the Web by the student or FAA. Alternatively, students who provide e-mail addresses on their FAFSAs will be sent e-mails notifying them that their SARs can be accessed electronically.
- **The School.** "The school" refers to each postsecondary educational institution that the student listed on the financial aid application. The FAA at the school will use the processed information from the ISIR or SAR to determine the types and amount of federal student aid the applicant is eligible to receive. Schools and states can also use information from the ISIR or SAR to award their own financial aid.

# Federal Application Processing System Paper and Electronic Processes



# Federal Application Processing System Web Process



---

# Transactions

A transaction is an interaction between the CPS and a financial aid applicant or a school that changes any of the data on a student's record. Each transaction results in a new ISIR and SAR, and is identified by a two-byte transaction number (for example, 01, 02, 03). A transaction may be system-generated. For example, when a student's eligibility for federal student aid changes on the National Student Loan Data System (NSLDS), the CPS automatically re-processes the application information and generates a new transaction without additional input from the student or from a school. These system-generated transactions are automatically sent (or pushed) to the destination point in unique electronic message classes.

When application data for an award year first arrives at the CPS and is processed, the resulting report is called the "01" transaction. The student receives a SAR or an E-mail Notification of SAR Processing and the listed schools can receive ISIRs. State Agencies can also receive this data.

If corrections are necessary, the student can make changes on the SAR, return the SAR to the FAFSA processor, and have the information reprocessed. Alternatively, the student can make the corrections electronically through Corrections on the Web. A school can submit corrections electronically through the SAIG or enter the corrections using the FAA Access to CPS Online Web site, even if the school did not submit the original application electronically. The corrections generate a new record that is identified as the "02" transaction.

To create a correction transaction, a change must be made to at least one data element. Examples of common corrections include an address correction or the addition or change of a school. The first correction transaction is labeled "02" and subsequent correction transactions are labeled "03," "04," and so forth. Identifying the correct transaction is important when communicating with the Common Origination and Disbursement (COD) system and when requesting duplicate ISIRs or SARs. Transactions greater than 30 are rejected by the CPS for "too many transactions."

---

# Highlights and Assumptions

## Paper System Highlights and Assumptions

Students are instructed to review information on the SAR carefully for accuracy. SAR items requiring special attention are highlighted in boldface type. Both the student and the FAA should pay careful attention to questions and responses that are highlighted.

During the edit process, the CPS applies logic that compares two or more pieces of information provided by the student. The CPS highlights information that is conflicting or missing. Items that are questioned are highlighted on the SAR.

In certain instances, the application is rejected if the students' data is in conflict. For example, an independent student answers that he or she is not married but provides financial information for a spouse. The CPS will reject this application (Reject 11) and print the questioned items in boldface type on the SAR. The CPS will not calculate an EFC, because key financial information is conflicting.

In other situations, the CPS makes assumptions and does not reject the student's record. For example, a student reports that he or she is married and provides spouse's income, but reports only one person in the household. In this case, the CPS assumes there are two in the household, highlights both questions and responses on the SAR, and calculates an EFC as long as the record is not rejected for other reasons. Both the reported and the assumed values are printed, with the word "ASSUMED" in parentheses next to the assumed response that was used in the EFC calculation.

The CPS most often makes assumptions when questions are left blank. After an answer is assumed, the assumed information is used throughout all the subsequent edits and in the EFC calculation.

If the CPS makes an assumption, but then rejects the record for other reasons, an EFC is not calculated and the assumed values are not used. In this situation, the student receives a SAR with arrows printed next to the questionable line items. The student is then required to correct them.

On the ISIR record, EDExpress prints the letter *h* to the left of the field title that was highlighted. EDExpress prints an asterisk (\*) to the left of the field title to indicate that the CPS made an assumption and the assumed value prints in place of the reported value.

## Electronic System Highlights and Assumptions

All Federal Student Aid application software and systems used to submit application data contains certain edits that prompt users to resolve inconsistencies before sending the information to the CPS. These edits are contained in the FAA Access to CPS Online, FAFSA on the Web, Spanish FAFSA on the Web, Renewal FAFSA on the Web, Spanish Renewal FAFSA on the Web, and Corrections on the Web applications.

When inconsistencies or missing data would cause the record to be rejected at the CPS, the FAA Access to CPS Online Web site does not allow the record to be completed or transmitted until information is changed or added. However, when these inconsistencies would cause an assumption, the user is prompted to review and change the data, but to transmit the record even if a change is not made. The ISIR that a school receives will include a flag for each highlighted field and will have an **h** (for highlight) next to the items on which assumptions were made on the printed ISIR.

An FAA can override certain CPS assumptions using FAA Access to CPS Online by setting an override code, which notifies the CPS to accept the data as reported and not make an assumption. For example, if a student indicates more than six family members are attending college, the CPS would assume one person in college during processing. If, in fact, there are more than six family members attending college, the FAA can eliminate the need for a correction by setting the appropriate override flag using FAA Access to CPS Online.

In addition, FAA Access to CPS Online allows the FAA to override certain verifiable rejects by setting a reject override flag before transmitting the student's application. For example, a student may have an unusually large number of family members. If the FAA sets the appropriate override flag before sending the student's record, the student's record will not be rejected. Students who are using FAFSA on the Web, Spanish FAFSA on the Web, Renewal FAFSA on the Web, Spanish Renewal FAFSA on the Web, and Corrections on the Web will be able to confirm the data they have entered which will set the assumption and most reject overrides described above by confirming the data that they have entered.

# ISIR DATA

---

## Overview

A school can automatically receive ISIRs for students who listed that school's Federal School Code on their application or correction. Institutions can send application and correction data from the school or through a servicer (either one is called a destination point) and receive processed student records (ISIRs) at the destination point.

A school can also access 2005-2006 and 2006-2007 ISIRs from the ISIR Datamart, the centralized location for all ISIR data generated by the CPS. Schools, state agencies, and other authorized users receive ISIRs from the datamart through the Student Aid Internet Gateway (SAIG). For more information on the ISIR Datamart refer to the *2006-2007 School Electronic Process Guide* and the *2006-2007 Electronic Data Exchange (EDE) Technical Reference*, available at the FSAdownload Web site at [fsadownload.ed.gov](http://fsadownload.ed.gov).

Schools do not need to print hardcopy ISIRs. However, in this guide we will use the print format as a tool to discuss the codes that appear on the ISIR record. Appendix G contains an example of the ISIR, when printed using the EDEExpress for Windows software. The student's processed application information appears on the printout in a two-column, two-page format with an abbreviated version of each FAFSA question on the left and the student's response on the right. Information is divided into sections like the FAFSA sections (for example, Step One: The Student). Questions are not numbered individually, but each section heading identifies the range of FAFSA questions included. One or two pages for NSLDS Financial Aid History are also provided depending on the number of loans a student has borrowed.

---

## Dependency Status Results

On the top left column of the first page of the ISIR, the applicant's Dependency Status is displayed. The following Dependency Status codes are used:

ISIR Value and Value Printed on ISIR	Description
D	Dependent
I	Independent
X	Rejected Dependent
Y	Rejected Independent

---

## ISIR Office Information

In the section titled Office Information on page 3 of the sample ISIR, the following information appears:

- Primary EFC Type
- Secondary EFC Type
- Processed Date
- Transaction Data Source/Type
- Source of Correction
- Federal School Code Indicator
- Reject Override Codes
- Assumption Override Codes

### DRN

**New for 2006-2007!** The student's Data Release Number (DRN) will no longer print on the ISIR. The DRN will continue to print on the student's SAR. See Appendix D for more information about the DRN.

### Primary and Secondary EFC Type

These codes tell an FAA which formula was used to calculate the EFC.

ISIR Value and Value Printed on ISIR	Description
1	Full Needs Test: dependent
2	Full Needs Test: independent without dependents
3	Full Needs Test: independent with dependents
4	Simplified Needs Test: dependent
5	Simplified Needs Test: independent without dependents
6	Simplified Needs Test: independent with dependents

## Processed Date

The Processed Date is the date this transaction was processed at the CPS.

## Transaction Data Source/Type Code

The Transaction Data Source/Type code identifies a FAFSA processor or other source of the transaction.

ISIR Value	Value Printed on ISIR
1A	Electronic – Application
1C	Electronic – Correction Full SAR
2A	Web Student – Application
2B	Web Student – Application Spanish
2C	Web Student – Correction
2E	Web Student – EZ FAFSA
2F	Web Student – EZ FAFSA Spanish
2G	Web Student – EZ FAFSA Renewal Application
2H	Web Student – EZ FAFSA Renewal Spanish
2R	Web Student – Renewal Application
2T	Web Student – Spanish Renewal Application
3A	Web FAA – Application
3C	Web FAA – Correction
3E	Web FAA – EZ FAFSA
3G	Web FAA – EZ FAFSA Renewal Application
3R	Web FAA – Renewal Application
4A	Paper – Application
4B	Paper – Application Spanish
4C	Paper – Correction
4J	Paper – Correction Application
4K	Paper – Correction Application Spanish
5D	CPS – Drug Abuse Hold Release
5M	CPS – DHS Secondary Confirmation
5N	CPS – NSLDS Postscreening
5P	CPS – Reprocessed Record
5S	CPS – CPS System-Generated Signature
5W	CPS – SSA Death File Match
6C	FSAIC – Correction (Student and Image Error)

## Source of Correction Flag

The Source of Correction Flag is a field that is set on each correction transaction and indicates the source of the correction.

ISIR Value	Value Printed on ISIR
A	Applicant
D	CPS
S	School

## Federal School Code Indicator

The Federal School Code Indicator verifies the identity of the originating institution.

## Reject and Assumption Override Codes

The ISIR shows which Reject Override Codes and which Assumption Override Codes were set on the transaction. These override codes allow a student or an FAA to override certain rejects. FAAs can also override certain assumptions the CPS has made about a student's data when the information appears to be inconsistent. If an override is set the ISIR will print "1" and if no override is set the field will be blank.

ISIR Value	Value Printed on ISIR	Description
Blank	Blank	No override code set
1	1	Override code set

---

## ISIR FAA Information

The FAA Information section displays every relevant piece of information about a student's eligibility in one place. FAA information is printed on the third page of the ISIR following the summary of application data and is labeled "FAA Information." Descriptive labels are intended to help the FAA identify reject codes, match flags, intermediate values, and so forth. Using the printed ISIR format as a basis, here are identifications for the codes and flags that appear as FAA information.

**New for 2006-2007!** Two new flags print on the ISIR: the Rejected Status Change Flag and Verification Selection Flag. These fields were included in the ISIR file last year but did not print.

### Date ISIR Received

The Date ISIR Received is the date the ISIR record was imported to the school's EDEXpress software.

### Verification Flag

The Verification Flag indicates if a student has been selected for verification on any transaction. This data comes from the Student Is Selected For Verification field in the ISIR record layout.

ISIR Value and Value Printed on ISIR	Description
Y	Selected for verification
N	Not selected for verification
*	Selected for verification on a subsequent transaction

## FAA Adjustment

This flag indicates that a transaction resulted from a professional judgment adjustment requested by an FAA.

ISIR Value	Value Printed on ISIR	Description
Blank	Blank	No EFC adjustment processed
1	Yes	EFC adjustment processed
2	Failed	EFC adjustment attempted but failed

When an FAA uses professional judgment to change a data item on the ISIR, only the school that made the change will remain listed on the new ISIR transaction.

## Transaction Receipt Date

This is the date the FAFSA processor or the CPS received the input information that generated the transaction. The Application Receipt Date for the first application will be a fixed date. However, the Transaction Receipt Date will change each time a new transaction is generated.

For example, a student mails a FAFSA that is received by the FAFSA processor on March 14, 2006. This first transaction SAR and ISIR would have an Application Receipt Date and a Transaction Receipt Date of March 14, 2006. If the student then mails in a SAR with corrections and it is received on April 18, the 02 transaction would show an Application Receipt Date of March 14, 2006, and a Transaction Receipt Date of April 18, 2006.

## Reprocessing Code

This code provides information about records that are reprocessed by the CPS for any reason. When the Transaction Data Source/Type Code is set to **5P** (reprocessed record), this position will contain a two-digit number, beginning with **01** and incrementing each time a group of records is reprocessed. Check the IFAP Web site at [ifap.ed.gov](http://ifap.ed.gov) for announcements that will define the reason associated with a particular two-digit number.

## Processed Record Type

This code indicates the type of processed record.

ISIR Value and Value Printed on ISIR	Description
Blank	Original Application (from any source)
C	Correction Application
H	Correction (from any source)

## CPS Pushed ISIR Flag

This flag is set to identify transactions that are automatically sent (pushed) to schools. If you are the originator of the transaction and it meets one of the criteria listed below, this flag remains set and the resulting ISIR is returned in the IGCO message class. If the ISIR is a pushed ISIR it will contain a **Y** in the ISIR record layout and the ISIR will print a **Yes** for transactions meeting the pushed ISIR criteria. If the ISIR does not meet the criteria for a pushed ISIR this field will be blank and nothing will print next to the CPS Pushed ISIR Flag label on the ISIR. Examples of transactions automatically pushed to the school include:

- EFC Change Flag is set
- SAR C Flag changes between correction and transaction being corrected
- Transaction is system-generated
- Reject Status Change Flag is set
- Verification Selection Change Flag is set

## Rejects Met

Up to seven reject reason codes can be printed in this space. Refer to Appendix A for the reject reason codes and the comment numbers associated with certain types of rejects.

## Verification Tracking Flag

The Verification Tracking Flag identifies the priority of the criteria used by the CPS to select applicants for verification and is based on the likelihood of error. The **higher** the number in the Verification Tracking field, the higher the priority for selection by the CPS. For example, **0112** in this field has a greater potential for significant error than a student with **0087** in this field. If you plan to use the 30 percent verification limit, we recommend using this field to prioritize the applicants you choose to verify. For 2006-2007, we use a range of 0001 to 9999.

## Dependency Override

This flag identifies a record that resulted from an application or correction on which an FAA made a dependency override request. Initial applications allow overrides to be made in one direction only: from dependent to independent. FAA Access to CPS Online allows an FAA to cancel a previous override.

ISIR Value	Value Printed on ISIR	Description
Blank	Blank	No dependency override
1	Yes	FAA overrode dependency status from dependent to independent
2	Canceled	FAA canceled dependency override
3	Failed	Dependency Override request failed

When an FAA performs a dependency override, only the school that submitted the override will receive the new ISIR transaction.

## ETI

The Electronic Transaction Indicator (ETI) Flag indicates whether the school receiving the ISIR submitted input to generate the ISIR transaction or did not generate the transaction, but was listed on the record. It also indicates whether the type of ISIR is a daily, requested, or pushed ISIR. One numeric character prints on the ISIR.

0 = School generated transaction and is an ISIR Daily school (applications) (IDAP)

1 = School did not generate transaction and is an ISIR Daily school (automatic) (IDSA)

2 = School generated transaction and is an ISIR Request school (ISRF)

3 = School did not generate transaction and is an ISIR Request school (applications) (ISRF)

4 = School generated transaction and is an ISIR Daily school, ISIR is CPS Pushed ISIR (corrections) (IGCO)

5 = School did not generate transaction and is an ISIR Daily school, ISIR is CPS Pushed ISIR (automatic) (IGSA)

6 = School generated transaction and is an ISIR Request school, ISIR is CPS Pushed ISIR (corrections) (IGCO)

7 = School did not generate transaction and is an ISIR Request school, ISIR is CPS Pushed ISIR (automatic) (IGSA)

8 = School did not generate transaction and is an ISIR Daily school, ISIR is CPS Pushed System-Generated ISIR (IGSG)

9 = School did not generate transaction and is an ISIR Request school, ISIR is CPS Pushed System-Generated ISIR (IGSG)

Blank = No destination code associated or school not participating

### **Correction # Applied To**

This field only applies to transactions greater than 01 and indicates which prior transaction the correction was applied against. For example, if you are looking at an 03 transaction and the Correction # Applied To field is 02, that means that corrections were made against the 02 transaction to create the 03 transaction.

### **Application Receipt Date**

This field shows the date the paper application was received by the FAFSA processor or the date an electronic FAFSA transmission was received by the CPS.

### **Pell Eligible Flag**

The Pell Grant Eligibility Flag alerts schools to an applicant's Pell Grant eligibility status. This data is found in the Pell Grant Eligibility Flag field on the ISIR. A **Y** confirms that a student's EFC and undergraduate status makes him or her eligible for a Pell Grant and that the record has been included in the payment system database.

ISIR Value and Value Printed on ISIR	Description
Blank	Not eligible for a Pell Grant
Y	Eligible for a Pell Grant

If a student who is an undergraduate incorrectly reported on the FAFSA that he or she will be a graduate student or has a bachelor's degree, this information **must** be corrected. Otherwise, he or she will not be considered eligible for a Pell Grant and the school will be unable to receive Pell funds for that student.

## **Primary and Secondary EFCs/Alternate EFCs**

The ISIR FAA Information section contains the Primary EFC and Secondary EFC. If both a Primary and a Secondary EFC appear, it means the student qualified for the Simplified Needs Test (SNT) but also provided information about assets. Two calculations were performed, producing the Primary EFC (asset information was not included in the calculation) and the Secondary EFC (asset information was included in a full-data calculation). If only a Primary EFC appears, it means the student

- did not meet the SNT criteria and only the full-data calculation was done, or
- the student met the SNT criteria and provided no asset information or incomplete or inconsistent asset information and only one calculation could be performed.

The figures printed for each of the twelve months represent alternate EFCs that an FAA must use to award aid—other than a Federal Pell Grant—for an academic year that is less than or greater than nine months. For a dependent student, the alternate EFCs are calculated by the CPS according to a formula prescribed by Congress. For less than nine-month enrollment for the independent student, the CPS calculates a simple proration of the EFC by month.

## Intermediate Values

These abbreviations represent the intermediate steps used in calculating the EFC. They show the separate components of the need analysis formula, such as the employment allowance or parents' contribution from assets. These components are defined by Congress. The values can be useful to FAAs in doing recalculations or in making professional judgment adjustments to data items. The intermediate values are identified as follows:

ISIR Field Label	Description
TI	Total Income
ATI	Allowances against Total Income
STX	State and Other Tax Allowance
EA	Employment Allowance
IPA	Income Protection Allowance
AI	Available Income
CAI	Contribution from Available Income (Independent Student)
DNW	Discretionary Net Worth
NW	Net Worth
APA	Education Savings and Asset Protection Allowance
PCA	Parents' Contribution from Assets
AAI	Adjusted Available Income
TSC	Total Student's Contribution
TPC	Total Parents' Contribution
PC	Parents' Contribution
STI	Student's Total Income
SATI	Student's Allowance against Total Income
SIC	Dependent Student's Income Contribution
SDNW	Student's Discretionary Net Worth
SCA	Dependent Student's Contribution from Assets
FTI	FISAP Total Income

More information on the need analysis formula and methodology for calculating the EFC is available in the *2006-07 Federal Student Aid Handbook*, Volume 1 - FSA Handbook: Student Eligibility and the *2006-2007 EFC Formula Worksheets and Tables*.

## Auto Zero EFC Flag

An applicant who meets certain tax filing and income criteria will qualify automatically for an EFC figure of **0**. When these criteria are met, the CPS assigns a **0** EFC and does not perform a full calculation except for Total Income, Student's Total Income, and FISAP Total Income. Only these three intermediate values will appear in the FAA Information section. This information is found in the Automatic Zero EFC Indicator field on the ISIR.

ISIR Value	Value Printed on ISIR	Description
Blank	Blank	Does not meet criteria
Y	Yes	Student meets automatic zero EFC criteria

## EFC Change Flag

This flag indicates whether the EFC has increased or decreased between a correction transaction and the transaction to which the correction was applied.

ISIR Value	Value Printed on ISIR	Description
Blank	No change	No change in EFC value
1	Increase	EFC increased
2	Decrease	EFC decreased

## SNT Flag

This flag gives information about the Simplified Needs Test (SNT), which excludes asset information from the EFC calculation. It is performed if an applicant meets certain criteria for tax filing status and income level.

ISIR Value	Value Printed on ISIR	Description
Y	Yes	SNT was met
N	No	SNT was not met or could not be determined

## Rejected Status Change Flag

If the Rejected Status Change flag is set or removed from the previous transaction, this field is populated.

ISIR Value and Value Printed on ISIR	Description
Blank	No change to flag
Y	Reject Status has changed

## Verification Selection Flag

This flag is set to **Y** only on the transaction that was initially selected for verification. If the Verification Selection Change Flag is set from the previous transaction, this field is blank.

ISIR Value and Value Printed on ISIR	Description
Blank	Transaction not selected for verification
Y	Transaction is now selected for verification when the previous transaction was not selected

## Duplicate SSN Flag

This flag is set to **Yes** if another record was found on the CPS database with the same SSN but a different last name.

## Address Only Correction Flag

If an address is the only change to a record, this field contains data. Corrections to the Signed By and Special Handle fields can also be included with the address correction. The Address Only Change Flag field on the ISIR contains this information.

ISIR Value and Value Printed on ISIR	Description
Blank	No change
1	Student's mailing address corrected
2	Student's e-mail address corrected
3	Parent's e-mail address corrected
4	More than one of the above corrected

## **SAR C Change Flag**

If the SAR C Flag is changed from being set or removed from the previous transaction, this field contains a **Y**.

ISIR Value and Value Printed on ISIR	Description
Blank	SAR C Flag has not changed
Y	SAR C Flag has changed

## **Match Flags**

These alpha or numeric codes show the results of matching the applicant record with databases containing information that can affect eligibility. Appendix B contains a detailed discussion about the flags or codes that will appear in the FAA Information section for the SSN Match Flag, SSA Citizenship Code, DHS Match Flag, Selective Service Match Flag, NSLDS Match Flag, VA Match Flag, DHS Secondary Confirmation Match Flag, Father's/Stepfather's SSN, Selective Service Registration Flag, and Mother's/Stepmother's SSN.

## **DHS Verification Number**

The Department of Homeland Security (DHS) Verification Number also appears under the Match Flags section of FAA Information. This is a 15-digit confirmation number that the DHS returns when a match is conducted. The FAA must use this number when paper or manual Secondary Confirmation is necessary.

## **NSLDS Transaction Number**

The NSLDS Transaction Number appears at the end of the Match Flags section. It is the number of the last transaction on which the student's NSLDS data changed and is a signal to the FAA to review the NSLDS information on a particular transaction.

## NSLDS Database Results Flag

The NSLDS Database Results Flag is also at the end of the match flag section. Each record sent to NSLDS will be returned with an NSLDS Results Flag set to one of the following values:

ISIR Value and Value Printed on ISIR	Description
Blank	Record not sent to NSLDS and all NSLDS fields will be blank
1	Match was found and NSLDS data sent to CPS
2	SSN match but name or DOB did not match, no data sent
3	SSN not found in NSLDS, no match
4	Match was found but no relevant NSLDS data to send to the CPS
5	Real-time transaction not sent to NSLDS

## Comment Codes

The last line in the FAA information section shows the codes for comments generated on the record that are important to the FAA. Standard comments to the student are not included here. FAAs can review the comment codes without reading every SAR comment to find those that may require FAA action. Up to 20 comment codes can be printed.

The EDEExpress software prints comment text if the option is selected. All comment codes and text used in 2006-2007 are provided in the *2006-2007 SAR Comment Codes and Text* guide, posted on both the FSAdownload Web site located at [fsadownload.ed.gov](http://fsadownload.ed.gov) and the IFAP Web site at [ifap.ed.gov](http://ifap.ed.gov).

---

## NSLDS Financial Aid History

Financial aid administrators can view limited NSLDS information provided on ISIRs by accessing the FAA Access to CPS Online Web Site. Complete student financial aid history information is available at the NSLDS Web site ([nsldsfa.ed.gov](http://nsldsfa.ed.gov)).

### Flags (Upper Section)

The loan change flags display at the top of the Financial Aid History page next to the label. If # appears to the right of the label, the flag has changed.

### Overpayment Flag

The Overpayment Flag (Pell, FSEOG, or Perkins) displays:

ISIR Value and Value Printed on ISIR	Description
D	Deferred
N	N/A
S	Satisfactory Payment Arrangements
W	Waived
Y	Overpayment exists

### Contact

The Contact field for each Overpayment Flag will display a school code (OPEID), ED Debt Collection Service Region code, N/A (when no overpayment exists), or Access NSLDS (ISIR Value equal to **Y**) when there is more than one overpayment for a specific aid type.

## Discharged Loan Flag

The Discharged Loan Flag reflects the status of any loan discharged due to disability or death. If this flag contains a value other than **N**, the C Flag is set on the SAR/ISIR and a comment will be given.

ISIR Value and Value Printed on ISIR	Description
C	Conditional discharge
D	Death
M	Multiple codes
N	None
P	Permanent discharge
R	Reaffirm

## Defaulted Loan Flag

The Defaulted Loan Flag will be set when any loans are in a defaulted status. Appendix C includes a chart for 2006-2007 loan status codes and eligibility.

## Loan Satisfactory Payment Arrangement Flag

The Loan Satisfactory Payment Arrangement Flag at the top of the Financial Aid History page will display a value of **Y** for Yes or **N** for No.

## Active Bankruptcy Flag

The Active Bankruptcy Flag at the top of the Financial Aid History page will display a value of **Y** for Yes or **N** for No.

## Postscreening Reason Codes

There can be up to three postscreening codes sent on the same ISIR. The codes listed in the NSLDS Postscreening Reason Code field help schools identify students whose eligibility for federal student aid may have changed since the last SAR/ISIR transaction was produced. To find cases where a student's eligibility status has changed, NSLDS scans its database on a weekly basis. The valid values are listed on the next page.

<b>Postscreening Reason Codes</b>	
ISIR Value and Printed on ISIR	Description
Blank	Not an NSLDS postscreening transaction
01	The student entered default on a Title IV loan that was previously not in default.
02	Became obligated for a new overpayment of a Title IV grant or loan
03	Cleared a previously reported default of a Title IV loan
04	Cleared an overpayment obligation on a previously reported Title IV grant or loan
05	Master Promissory Note (MPN) status change (Stafford)
06	A loan was discharged.
07	A loan went out of discharged status.
08	Student has a loan for a closed school
09	Student has exceeded subsidized aggregate loan limit
10	Student has exceeded combined aggregate loan limit
11	Applicant no longer exceeds subsidized aggregate loan limit
12	Applicant no longer exceeds combined aggregate loan limit
13	Change in discharged loan status
14	Loan entered active bankruptcy
15	PLUS MPN status change
99	The "Other" category includes closed school processing, NSLDS requests for individual reprocessing, NSLDS error reprocessing, etc.

When any of these postscreening situations occur, the CPS system-generates a new SAR/ISIR transaction that includes the changed information as part of the NSLDS Financial Aid History section. Students who are eligible will receive an E-mail Notification of SAR Processing instead of a paper SAR for system-generated transactions. The CPS Pushed ISIR Flag will be equal to **Y** and the Transaction Data Source/Type Code will be equal to **5N** (NSLDS Post screening) on these transactions. The processed ISIRs are returned to the school in the IGSG07OP message class.

A comment also prints on the output document (SAR/ISIR) informing the student and the school that the record needs to be reviewed because a change was made to the record that could affect the student's eligibility for Title IV aid.

Postscreened ISIR transactions meet the criteria of a pushed ISIR and are automatically returned to the school, even if the school did not request the ISIR. Postscreening Reason Codes are included in the top section of the Financial Aid History page. If the transaction is system-generated because of postscreening, a numeric value is displayed in this field. Values 01 through 15 correspond to the situations described previously, and a code of 99 is used for any other data changes that generate the transaction. Schools must act on the updated information they receive regarding a change in a student's eligibility for Title IV aid and must ensure that a student remains eligible for payment; otherwise, the school will be liable for improperly disbursed funds.

**Note:** The status changes reported on a 2006-2007 ISIR may have a bearing on eligibility for payments to the student during the 2005-2006 award year.

If the school has already disbursed funds to a student who is found ineligible, the school must contact the student to arrange for repayment. If the student has received an FFEL loan, the school must notify the lender. If the student has received a Federal Direct Loan, the school must notify the Direct Loan Servicer.

For additional discussion of NSLDS information and applicable school requirements, refer to the following documents:

- *2006-07 Federal Student Aid Handbook*, Volume 1—FSA Handbook: Student Eligibility, Chapter 3—NSLDS Financial Aid History
- *Dear Colleague Letter* GEN-96-13, located at: [ifap.ed.gov/dpcletters/doc0501\\_bodyoftext.htm](http://ifap.ed.gov/dpcletters/doc0501_bodyoftext.htm)
- *Dear Colleague Letter* GEN-98-6, located at: [ifap.ed.gov/dpcletters/doc0350\\_bodyoftext.htm](http://ifap.ed.gov/dpcletters/doc0350_bodyoftext.htm)
- *Dear Colleague Letter* GEN-03-12, located at: [ifap.ed.gov/dpcletters/GEN0312.html](http://ifap.ed.gov/dpcletters/GEN0312.html)
- *NSLDS Newsletters*, located at: [ifap.ed.gov/IFAPWebApp/currentNSLDSListPag.jsp?p1=NSLDS+Newsletters&p2=c](http://ifap.ed.gov/IFAPWebApp/currentNSLDSListPag.jsp?p1=NSLDS+Newsletters&p2=c)

## **Aggregate Amounts for FFEL/Direct Loans and Perkins Loan Section**

This section includes information on subsidized, unsubsidized, and unallocated portions of consolidated loans. The combined amount reflects the total amount the student has borrowed in subsidized and unsubsidized loans. It no longer includes any unallocated amounts, the portion that cannot be attributed to either loan type. Beginning with the 2006-2007 award year, schools are no longer required to research unallocated dollars to determine their affect on a student's aggregate loan eligibility. NSLDS will continue to calculate and send CPS the outstanding principal balance and total amounts of the unallocated portion of a Consolidation Loan.

NSLDS does not include the Consolidation Loan's outstanding principal balance or total amounts. Instead, NSLDS has developed an algorithm to determine the breakdown of a Consolidation Loan (FFEL and Direct Loan) and then allocates it appropriately in the subsidized, unsubsidized, combined, and unallocated aggregate amounts. For purposes of calculating aggregates for prescreening and postscreening, NSLDS assumes that the total outstanding balance of a Consolidation Loan consists of the same ratio of subsidized and unsubsidized loans, as was the sum of the original underlying loans. The remaining portion that cannot be attributed to either the subsidized or the unsubsidized loans will go toward the unallocated amounts. If NSLDS cannot identify the underlying loans for a Consolidation Loan, NSLDS will count the entire Consolidation Loan in the subsidized aggregate balance.

The outstanding principal balance and current year loan amount for Perkins loans are included in this section. For all Federal Direct loans and FFEL loans in an out-of-school status or cases where the loan period end date plus 90 days has passed, the aggregate outstanding principal balance amount is determined by comparing the net loan amount, disbursed amount, and outstanding principal balance. If all three of these are greater than zero, use the one with the lowest value. Do not use zero if any one of these fields is equal to zero. Use the lesser of the other two values.

For FFEL and Direct Loans in an in-school or in-grace status originated up to 90 days after the loan end period date, the aggregate outstanding principal balance amount is determined as follows:

- If either outstanding principal balance or disbursed amount is greater than zero, use the one with greater value, but do not exceed the net loan amount.
- If both are equal to zero, use zero. These are usually loans guaranteed/approved but not disbursed.

For non-consolidated Direct Loans in an in-school status and originated up to 90 days after the loan end period date, pending disbursements are calculated by subtracting the total disbursed amount from the net loan amount. For non-consolidated FFEL loans in an in-school status and up to 90 days after the loan end period date, pending disbursements are calculated by subtracting the aggregate outstanding principal balance from the net loan amount. Pending disbursements are not calculated for any Direct Loan or FFEL loan in an out-of-school status or after the loan period end date plus 90 days.

## **Pell Payment Data Section**

Pell Grant data shows current award year (2006-2007) payment information as reported by schools to the Common Origination and Disbursement (COD) system. Information for up to three schools is displayed. This information includes the following:

- (Attending) School Code
- Transaction Number
- Scheduled Award Amount
- Award Amount
- Disbursed Amount
- Percent Scheduled Used
- As Of (the disbursement date)
- Pell Verification Flag
- EFC

A message instructing the school to **Access NSLDS** for additional Pell Grant data will display when the student has more than three payment records for the award year.

## Loan Detail Section

The information appearing for each loan in the Loan Detail section is as follows:

- Description of Loan Type
- Net Loan Amount
- Loan Begin Date
- Loan End Date
- GA Code
- School Code
- Grade Level
- Contact
- Contact Type
- Additional Unsubsidized Loans
- Loan Type (Recent, Discharged or Defaulted)
- Capitalized Interest
- Date of Last Disbursement
- Amount of Last Disbursement
- Current Status Code
- Current Loan Status Date
- Outstanding Principal Balance
- Outstanding Principal Balance Date

If there is no information to report, **N/A** is displayed.

Capitalized interest will show as either **YES** or **NO**.

**Note:** For a Direct Loan, when an additional unsubsidized loan has been awarded one of the following reason codes will display:

ISIR Value	Value Printed on ISIR	Description
P	PLUS	PLUS Loan denial
H	Health Prof	Health Profession Loan
B	Both	Both
N	Neither	Neither

When an additional unsubsidized loan has been awarded for an FFEL unsubsidized loan, the reason will be displayed as **PLUS**.

NSLDS monitors both undergraduate and graduate aggregate loan levels for students who have undergraduate and graduate loan(s). NSLDS calculates the aggregate levels based on academic levels of loans reported to NSLDS.

Quite often when consolidation loans are reported to NSLDS, it may take some time for the underlying loans to be reported by the lenders as paid in full through consolidation. NSLDS considers the age of the Consolidation Loans when calculating aggregate Outstanding Principal Balances.

NSLDS will not calculate an Aggregate Outstanding Principal Balance if

- the Consolidation (FFEL and Direct Loan) loan is within 60 days of the current date *and*
- all the underlying loans have an open loan status code and are not one of the following Loan Status Codes: **PC**, **PN**, **DN**, **PF**, or **DP**. See Appendix C of this guide for code values.

This should decrease the occurrences of double counting and inflated aggregate amounts that may have contributed to exceeding the aggregate loan limits. An underlying loan is a loan associated with a consolidated loan with a **PC**, **PN**, **DN**, **PF**, or **DP** Loan Status Code, and the Loan Status Date of the underlying loan is within 210 days (before or after) of the consolidated loan date. See Appendix C of this guide for code values.

Additionally, FFEL Consolidation Loans factor out the Perkins and/or PLUS underlying loans from the Unallocated amount. Direct Loans factor out the underlying Perkins loans.

The Loan Detail section continues to display up to six open loans (including Perkins loans). The loans' sort and display order is chosen with regard to their current loan status and categorized into different groups:

- Group 1 includes loans in a defaulted or deceased status, making the student ineligible for aid.
- Group 2 includes loans where a school may have to take action; this includes loans in an abandoned, disability, active bankruptcy, or temporary uninsured status.
- Group 3 are loans in an open status not included in groups 1 and 2.
- Group 4 includes closed loans (those not included in groups 1, 2, and 3).

Within each group, the sort order is determined by descending Loan Begin Date with the highest outstanding principal balance sorted first for loans with the same loan begin date. In the end, those loans selected will be from group 1 first, group 2 second, followed by those in groups 3 and 4. Loan status codes and their groupings are identified in Appendix C. If there are more than six loans on the NSLDS database, a message to **Access NSLDS** for additional information prints on the output. The NSLDS Web site, located at [nslsdfap.ed.gov](http://nslsdfap.ed.gov), displays this loan information.

A Contact Type is included for each loan. The contents of the Contact Type field will be:

ISIR Value and Value Printed on ISIR	Description
SCH	School
LEN	Lender
DLS	Direct Loan Servicer
EDR	ED Region
GA	Guaranty Agency
LNS	Lender Servicer
DDP	Disability Data Provider
RDS	CDDTS Repayment Servicing
N/A	Not applicable

**New for 2006-2007!** RDS, a new contact code, prints on the ISIR. Information about whom to contact for each loan in a default status will be included in the comment text.

## Master Promissory Note/Loan Limit Information Section

### *Direct Loan Subsidized and Unsubsidized MPN*

### *Direct Loan PLUS MPN*

Master Promissory Note (MPN) Information is located at the end of the Loan Detail section. The Direct Loan Subsidized/Unsubsidized MPN field identifies whether a student has an MPN for Subsidized and/or Unsubsidized Direct Loans on file at COD. The Direct Loan PLUS MPN identifies if there is an MPN on file at COD for PLUS loans. Both MPN indicators identify the MPN as listed below:

ISIR Value	Value Printed on the ISIR
A	Active MPN
C	All MPNs Closed
I	All MPNs Inactive
N	No MPN File

### *Subsidized Loan Limit Flag and Combined Loan Limit Flag*

Two separate flags, the Subsidized Loan Limit Flag and the Combined Loan Limit Flag, print at the end of the MPN/Loan Limit Information section and indicate the condition of the borrower's loan limits. Comment codes are generated based on the value of these two flags. The valid flag values for the Subsidized Loan Limit Flag and the Combined Loan Limit Flag are as follows:

ISIR Value	Value Printed on the ISIR
Blank	Blank
C	Close to or equal to limit
E	Exceeded limit
N	Not near limit

---

## Additional ISIR Data

In addition to the information described above that is printed on the hardcopy ISIR, we will explain two ISIR fields that are not printed on the EDEXpress ISIR: Field Correction Flags and FAFSA Data Verify Flags.

### Field Correction Flags

The ISIR record contains a Correction Flag for each field on the FAFSA/SAR. These flags are found in positions 1224 through 1343 on the ISIR.

The values for this field are as follows.

Value	Meaning	Symbol Printed on ISIR
0	Field was not previously corrected	
1	Field was corrected on the current transaction	#
2	Field was corrected on a previous transaction	@

EDEXpress uses the values in this field to print the character # (pound sign) on the ISIR to the right of the field variable that was corrected on the most current transaction and to print the character @ (at sign) to the right of the field variable that was corrected on a previous transaction.

Correction flags are cumulative (i.e., the flag is carried on all subsequent transactions).

EDEXpress does not actually print the data in this field. Rather, it uses this data to determine which fields have the character # (pound sign) or @ (at sign) printed to the right of the field variable to show that the field has been corrected from the original application.

## FAFSA Data Verify Flags

FAFSA Data Verify Flags are found on the ISIR record (positions 1464 through 1583). Each FAFSA Data Verify Flag byte corresponds to a specific SAR field and indicates fields corrected to the same value on the transaction being corrected.

Value	Meaning
0	Field was not corrected to the same value
1	Field was verified as containing the same data as the transaction being corrected
2	CPS has asked that the field be verified

EDEXpress does not print these flags on the ISIR. These flags can be found on the ISIR Record layout and were added at the request of third-party servicers to assist them when doing correction edits.

# CORRECTIONS AND UPDATES

---

## Overview

The first steps in the application process are sending a student's application information to the CPS and receiving that student's processed application data back from the system. When data must be updated (under the limited circumstances allowed by regulation) or corrected, additional steps are required.

In FAA Access to CPS Online, changes (corrections or updating) can be made to any student's record regardless of whether it originally entered the system as a paper or an electronic application. Both updates and corrections are referred to as correction transactions. The electronic correction process requires that the school that inputs the new information maintain signed correction documentation.

Documentation can be a paper SAR or other documents such as tax returns, a verification worksheet, or a change-of-address form. The FAA enters the changes on the FAA Access to CPS Online correction entry screen, submits the changes to the CPS, and receives an ISIR containing the new processed data. In this electronic input situation, the student receives an E-mail Notification of SAR Processing if he or she provided an e-mail address with valid syntax. Otherwise, a SAR Acknowledgement is sent.

The SAR is a vehicle for corrections in the paper system or for students who file using FAFSA on the Web, Spanish FAFSA on the Web, or Corrections on the Web and (1) are rejected for lack of signatures or (2) do not have an SSN Match Flag of 4 (SSN, Name, and date of birth [DOB] match.) A student may correct or update his or her application information on the SAR and mail it to the FAFSA processor for data entry.

All other students receive either a SAR Acknowledgement or an E-mail Notification of SAR Processing. These students should use their PIN to make their corrections electronically through Corrections on the Web.

After the corrections are processed, the student receives a new SAR if the corrections were made using a paper SAR or a SAR Acknowledgement. Provided the criteria in the following paragraph are met, the student receives an E-mail Notification of SAR Processing if the corrections were made using Corrections on the Web. In all cases, an ISIR is generated for the school.

Students who meet the following criteria will receive an E-mail Notification of SAR Processing for accessing the electronic SAR on the Web instead of the paper SAR:

- E-mail address exists and has valid syntax, and
- SSA match equals 4 (SSN, Name, and DOB match)

The SAR has been designed to serve two basic purposes:

1. Notify the student of application and eligibility status
2. Provide a means for correcting or verifying applicant data

The SAR Acknowledgement is designed to notify the student of application and eligibility status. If corrections are needed, the student will use Corrections on the Web or contact the school to submit them through FAA Access to CPS Online or a mainframe system.

The E-mail Notification of SAR Processing is designed to notify the student of application and eligibility status more quickly than either the paper SAR or the SAR Acknowledgement. It enables the student to view the SAR online and to print the online SAR document as often as needed. It also links the student directly to Corrections on the Web for correcting or verifying the applicant data. Students with undeliverable e-mail addresses and those whose E-mail Notifications of SAR Processing have been returned to us are sent paper SARs or SAR Acknowledgements.

As mentioned previously, when corrections need to be made to data reported on the SAR Acknowledgement, the student may have corrections transmitted electronically through FAA Access to CPS Online at the school. Alternately, the student can request a duplicate SAR and use it to send corrections or updates to the FAFSA processor or the student can make the corrections using Corrections on the Web.

---

## SAR Corrections

For each transaction processed at the Central Processing System (CPS), an output document is sent to the applicant. This will be a paper Student Aid Report (SAR), a SAR Acknowledgement, or an E-mail Notification of SAR Processing with information for accessing SAR data on the Web. This section describes the paper SAR. Additional information on the paper SAR, including changes for 2006-2007, can be found in Appendix D.

Boxes with the question number and a shortened question description that corresponds to a question on the FAFSA appear on the SAR. These question boxes contain the student's response to the question. Next to or below the question box are boxes or ovals that resemble the answer fields on the FAFSA. Here the student may indicate or write in a corrected answer, if necessary.

Items that are highlighted (printed in boldface type) require special attention. Highlighting means the CPS identified the responses as questionable or inconsistent with other answers. The CPS may assume answers to certain questions by comparing them with other information on the application. Answers might be assumed when the question was left blank, or a positive number might be assumed when the answer to an income question was given as a negative number.

When the CPS assumes an answer, both the value reported by the applicant and the assumed value are printed on the SAR. The word "ASSUMED" will appear in parentheses with the assumed value. Assumed values are used by the CPS in performing the calculation and should always be reviewed carefully. If the assumed values are correct, the student does not need to change them on the SAR.

Fields that show assumed values or that trigger certain edits are identified on the ISIR. The fields will have an **h** printed next to the questioned item and the assumed items are designated with an asterisk (\*). The student can always correct other items if necessary, whether or not they are highlighted.

If the record has been rejected, an arrow will point to the response field for the questioned item. This indicates that the student must provide a new answer because the original response was blank or illegible and the item is necessary to perform an EFC calculation. The student can also correct other items if necessary, even if they are not highlighted.

At the top of the SAR are instructions to the student explaining the meaning of the arrows and the proper way to fill in an oval or to correct, verify, or delete an answer. To verify a previously reported answer, the student must rewrite the same value in the answer fields and return the SAR.

Sometimes students are caught in a reject "loop" because they do not respond to all of the highlighted and arrowed items to turn off the reject or because they provide new information that the edit check still considers inconsistent or questionable.

---

## **Parent Information for Independent Students**

The SARs for dependent students and for independent students contain exactly the same sections and numbered items as the FAFSA. Parent information will be printed in the parent section for an independent student if the student provided it on the FAFSA, although the EFC calculation will not include parental data. Students in certain health profession programs are advised that they may have to provide parental data, because the data is required by many health profession schools to award Title VII aid. Parental data provided by independent students will not be subject to any edits, but the data will appear on the SAR and ISIR and will be carried forward on all transactions.

Parental data is always required for dependent students and will be edited and used in the EFC calculation.

---

# Signatures

## SAR Corrections

A message at the bottom of the last page of the SAR shows the address where SAR corrections should be mailed.

In the lower left corner of the same page, the student is asked to certify that any corrections made on the SAR are accurate and complete. The student must sign this statement if he or she chooses to submit the corrections on paper. For a dependent student, one parent must also sign.

The student can also make corrections using the Web site at [fafsa.ed.gov](http://fafsa.ed.gov). No signature is necessary because the student will be using a PIN to access his or her data. When corrections are made using the Web, only a parent needs to sign or supply his or her PIN if the parental data is changed.

## Reject 16 – Missing Student Signature on Web Application

Reject 16 codes are generated and paper SARs are sent to students who submitted their applications through FAFSA on the Web or Spanish FAFSA on the Web but did *not* provide a PIN or follow up with a signed Signature/Certification page. Reject 16 codes are also generated if the Signature/Certification page sent by the applicant was incomplete and could not be processed.

If the application record indicates that a Signature/Certification page was printed, the CPS holds the transmission for up to seven calendar days pending receipt of the page. If the Signature/Certification page is not received in seven days, the CPS processes the record and mails a SAR with a Reject 16 code.

If the application record indicates that a Signature/Certification page was *not* printed, the CPS processes the record and mails a SAR with a Reject 16 code.

**Note:** Reject 16 may appear with other rejects or highlighted data requiring correction or verification. A Reject 16 SAR will not have a calculated EFC and will contain the full Certification Statement that appears on the FAFSA.

**New for 2006-2007!** To speed up the processing of records with missing signatures, the waiting period has been decreased from 14 days to 7 days.

## **Reject 15 — Missing Parent Signature on FAFSA or SAR**

Reject 15 codes are generated and sent on E-mail Notifications of SAR Processing or paper SARs to dependent students who submitted paper FAFSAs or SARs without a parent signature. A Reject 15 code is also generated if a dependent student files a FAFSA or Renewal FAFSA on the Web and provides his or her PIN (which serves as the student's signature and certification) but does not provide a signature or PIN for one of their parents.

To resolve a Reject 15 code, the CPS requires at least one parental signature for the dependent student by electronically by providing a PIN or by printing, signing and submitting a Signature/Certification page. The student and parent can submit an electronic correction that includes the parent's PIN, sign, and mail the Signature/Certification statement on the paper SAR, or contact their school's FAA, who can submit the signature data electronically through the FAA Access to CPS Online Web site.

A parent who has a PIN can sign his or her dependent child's application or correction record electronically on the Web, as well as make other corrections using Corrections on the Web. If both the dependent student and one of the student's parents use their PINs to sign an application or correction, the resulting transaction will not have a Reject 15.

If a printer is available, a dependent student can also print the Signature/Certification page, obtain a parent's signature, and mail it to the processor. The transmitted application is held up to seven days pending receipt of the page. If the Signature/Certification page is not received in seven days, the CPS processes the record and sends an E-mail Notification of SAR Processing or a paper SAR with a Reject 15 code.

If the application record indicates that a Signature/Certification page was *not* printed, the CPS processes the record and mails a SAR with a Reject 15 code or sends the E-mail Notification of SAR Processing.

If neither of a dependent student's parents has a PIN, nor has a printer to print the Signature/Certification page, the student's school can obtain the necessary documentation and submit the parent signature using FAA Access to CPS Online.

**Note:** Reject 15 may appear with other rejects or highlighted data requiring correction or verification. A Reject 15 SAR will not have a calculated EFC and will contain the full Certification Statement that appears on the FAFSA.

**New for 2006-2007!** To speed up the processing of records with missing signatures, the waiting period has been decreased from 14 days to 7 days.

### **Reject 14 – Missing Student Signature on Paper FAFSA or SAR**

Reject 14 codes are generated and sent for paper FAFSAs or SARs submitted without a student signature or if the FAFSA or correction was sent to the FAFSA processor before the January 1, 2006, system start up date.

To correct a SAR with a Reject 14 code, the student must sign and return the paper SAR to the FAFSA processor address indicated on the form. The student can also make other corrections to the Reject 14 SAR at the same time, if necessary. By signing and returning the certification statement along with the application data, the student completes the application process.

A student who has an active PIN can also sign his or her record electronically on the Web, as well as make other corrections using Corrections on the Web. Alternatively, schools can obtain the necessary documentation from the student and submit his or her signature using the FAA Access to CPS Online Web site.

**Note:** Reject 14 may appear with other rejects or highlighted data requiring correction or verification. A Reject 14 SAR will not have a calculated EFC and will contain the full Certification Statement that appears on the FAFSA.

---

## School Code Corrections

A student can correct the school codes listed on his or her record in a number of ways. A school code can be replaced, removed, or additional schools can be added.

On the SAR, the student can correct any of the six school code fields and the new code will be added in the position in which it was entered on the SAR. If the student chooses a position that already has a school code in it, the previous code will be replaced by the new school code. The school with the code that was removed will not receive ISIRs for the student.

The same results occur if the school correction is made using FAA Access to CPS Online or if the student makes the request over the telephone through the Federal Student Aid Information Center. However, if the correction is made using FAA Access to CPS Online, the processing system will not process a change that will eliminate the school that transmitted the correction from the student's record.

**New for 2006-2007!** The CPS will no longer accept students' letters to correct school codes listed on their SARs due to frequent difficulties in identifying how the students want existing school codes on the SAR to be treated. Instead, we will respond to the student correspondence with clear, intuitive instructions describing alternative school code correction methods, which include methods previously mentioned as well as the option of contacting the Federal Student Aid Information Center by phone.

# APPENDIX A – 2006-2007 REJECT CODES AND REJECT REASONS

---

## Reject Reason Codes

Reject reason codes can be either alpha or numeric. Some reject reasons are verifiable—that is, the student can verify the questionable data by reentering the same value or can correct it to a different value. Other reject reasons are not verifiable; the questioned data must be changed or provided. In all reject situations, the questioned information is highlighted on the Student Aid Report (SAR) and an Expected Family Contribution (EFC) is not calculated.

A verify action on the SAR will override a Central Processing System (CPS) edit. For example, if a student reports an exceptionally large number of family members (for example, 20) the student's application will receive a Reject W. The student can verify the information by correcting the item to the same value and Reject W will not be triggered again.

However, if instead of verifying that twenty family members is correct, the student changes **20** to **21**, the corrected data will be subject to the same edits and will hit Reject W again.

In FAA Access to CPS Online, a financial aid administrator (FAA) can override some verifiable rejects before transmitting the student's data to the CPS if the FAA knows that the reported information is correct. When using FAFSA on the Web, Renewal FAFSA on the Web, and Corrections on the Web the student can also override the reject by verifying the information he or she has entered.

## Changes to the Reject Codes

A verifiable reject indicates that the information originally provided is questionable but could be correct. The information must be corrected or the same value must be reentered before an EFC can be calculated.

A non-verifiable reject indicates that the information originally provided must be corrected before an EFC can be calculated.

- **Date of Birth year incremented** – We incremented the date of birth end year references in Rejects A and B which alert FAAs that the date of birth entered may be in error because the student is older than most students (greater than 75) or younger than most students (less than 16) pursuing a post-secondary education:
  - Reject A – Date of birth year equals 1900 through 1931.
  - Reject B – Independent student and date of birth equals 09/01/90 or greater, and date of birth is not equal to or greater than current year.
- **Deleted Renewal FAFSA from Reject 2** – With the removal of the paper Renewal FAFSA we were able to remove the reference to Renewal FAFSA from Reject 2.

## Table of Reject Codes and How to Respond to Each

Reject codes and reasons and their associated SAR comment codes are listed here. If a student is rejected for more than one reason, the reject codes will appear in the FAA Information Box in priority order. The resolution for a rejected SAR is always the responsibility of the student, not the institution, and the SAR comment generated by the reject explains what action the student must take.

<b>Reject Code</b>	<b>Reject Reason</b>	<b>Action</b>	<b>Comment Code</b>
*A	Date of birth year equals 1900 through 1931.	Verify (reenter the same value) or correct the Date of Birth.	069
*B	Independent student and date of birth equals 09/01/90 or greater, and date of birth is not equal to or greater than current year.	Verify (reenter the same value) or correct the Date of Birth.	072
*C	Taxes Paid is greater than zero and greater than or equal to a fixed percentage of the AGI, but not equal to or greater than AGI. (Parent or Independent Student)	Verify (reenter the same value) or correct Taxes Paid.	154, 155
D	Student's SSN match, but no name match	Verify (reenter the same value) Student's First and Last name.	061
E	Father's SSN match, but no name match	Verify (reenter the same value) Father's Last Name and first Initial.	040
F	Mother's SSN match, but no name match	Verify (reenter the same value) Mother's Last Name and first Initial.	051
*G	Taxes Paid is greater than zero, and greater than or equal to a fixed percentage of the AGI, but not equal to or greater than AGI. (Dependent Student)	Verify (reenter the same value) or correct Taxes Paid.	153
*J	Father's SSN contains all zeroes and reported as a tax filer	Verify (reenter the same value) for the Father's SSN.	083
*K	Mother's SSN contains all zeroes and reported as a tax filer	Verify (reenter the same value) for the Mother's SSN.	084

\*These Reject Codes are the same as the Reject Override Codes.

## Table of Reject Codes and How to Respond to Each (Continued)

Reject Code	Reject Reason	Action	Comment Code
*N	Missing first or last name	Correct the Student's Last Name or First Name or verify (reenter the same value) a blank First or Last Name field if the student actually has only one name.	080
P	Invalid SSN range	Verify (reenter the same value) or correct the Student's Current SSN.	023
R	Student's Social Security Match, but no Date of Birth Match	Verify (reenter the same value) or correct the Student's Date of Birth.	060
S	Father's Social Security Match, but no Date of Birth Match	Verify (reenter the same value) or correct the Father's Date of Birth.	016
T	Mother's Social Security Match, but no Date of Birth Match	Verify (reenter the same value) or correct the Mother's Date of Birth.	017
*W	Unusually high number of family members	If the student is dependent, verify (reenter the same value) or correct Parents' Number of Family Members.  If the student is independent, verify (reenter the same value) or correct Student's Number of Family Members.	178, 179
1	The simplified needs test is not met and all asset data is blank.	If the student is dependent, provide the following: Parents' Cash, Savings, and Checking; Parents' Real Estate/Investment Net Worth and Parents' Business/Investment Farm Net Worth.  If the student is independent, provide the following:  Student's Cash, Savings and Checking; Student's Real Estate/Investment Net Worth and Student's Business/Investment Farm Net Worth.	150, 151
2	Incomplete FAFSA	If the student is dependent, provide Parents' Taxed and Untaxed Income.  If the student is independent, provide Student and Spouse (if married) Taxed and Untaxed Income.	129, 130

\*These Reject Codes are the same as the Reject Override Codes.

## Table of Reject Codes and How to Respond to Each (Continued)

Reject Code	Reject Reason	Action	Comment Code
*3	Student's Taxes Paid is greater than zero and equal to or greater than AGI.	Correct Student's Taxes Paid or AGI.	114, 152
5	Missing or invalid Date of Birth	Correct the Date of Birth.	018
6	Father's Social Security Number was not matched with the Social Security Administration.	Correct the SSN for the father. Also review and correct the name and/or Date of Birth for mother and/or father to achieve a full match for at least one parent.	011
7	Mother's Social Security Number was not matched with the Social Security Administration.	Correct the SSN for the mother. Also review and correct the name and/or Date of Birth for mother and/or father to achieve a full match for at least one parent.	012
8	SSN match with Date of Death	Contact the Social Security Administration to fix the error at SSA. Then reenter name or Date of Birth and submit as a correction for an updated SSA Match.	076, 145
9	Dependent student and one of SSN, last name, or Date of Birth is missing for both parents	Correct the SSN, name, and/or Date of Birth for mother and/or father to achieve a full match for at least one parent.	049
10	Missing marital status and number of family members	If the student is dependent, review and correct Parents' Marital Status and Parents' Number of Family Members.  If the student is independent, review and correct Student's Marital Status and Student's Number of Family Members.	168, 169
11	Marital status inconsistent with reported incomes	If the student is dependent, review and correct Parent's Marital Status or at least one of the following fields: Father's/ Stepfather's Income From Work or Mother's/ Stepmother's Income From Work.  If the student is independent, review and correct Student's Marital Status or at least one of the following fields:  Student's Income Earned From Work or Spouse's Income Earned From Work.	089, 099

\*These Reject Codes are the same as the Reject Override Codes.

## Table of Reject Codes and How to Respond to Each (Continued)

Reject Code	Reject Reason	Action	Comment Code
*12	Parents' Taxes Paid is greater than zero and equal to or greater than AGI.	Correct Parents' Taxes Paid or AGI.	111
13	Missing Name	Provide the following: Student's Last Name and/or Student's First Name or verify a blank first or last name field if the student actually has only one name.	082
14	Missing student signature on paper FAFSA or SAR	Signature correction must be made on a printed Student Aid Report certification page and resubmitted to the FAFSA Processor or can be corrected electronically.	160
15	Missing parent signature on FAFSA or SAR	Signature correction must be made on a printed Student Aid Report certification page and resubmitted to the FAFSA Processor or can be corrected electronically.	108
16	Missing student signature on Web application	Signature correction must be made on a printed Student Aid Report certification page and resubmitted to the FAFSA Processor or corrected electronically.	110
17	Citizenship status left blank and SSA did not verify citizenship status or applicant reported not a citizen or eligible noncitizen.	Provide the citizenship status with the Alien Registration Number if appropriate.	068
18	SSN not on Social Security Administration's database	Correct the Social Security Number. If the SSN is already correct, contact the Social Security Administration to fix the error in their records. Then reenter SSN and submit as a correction for an updated SSA Match.	024, 062
19	An EFC cannot be calculated because the Department of Justice has placed a "hold" on the student.	Student needs to call 202-377-3243 to resolve comment 009.	009

\*These Reject Codes are the same as the Reject Override Codes.

# APPENDIX B – 2006-2007 DATABASE MATCHES AND MATCH FLAGS

---

## Overview

This appendix includes expanded charts showing match flags for all the matches, the reasons associated with these match results, the number and text of the SAR comment that is triggered, and information on what action the institution needs to take when resolution of a match problem is required.

In the NSLDS match chart, we have also shown the relationship between the NSLDS Match Flag and the NSLDS Results Flag.

## How to Use the Charts

The information is provided for you to use as a quick reference. The *2006-2007 Federal Student Aid Handbook*, Volume 1 - FSA Handbook: Student Eligibility contains detailed information about student eligibility and the action needed to resolve discrepancies found in the data matches.

A flag of **C** is an indicator that institutional resolution is required. The C Flag will be printed on the SAR next to the EFC if any of the conditions described in the chart are met.

**Note:** Some of these data match results will generate a rejected record. Comments associated with a match results reject do not generate a SAR C code. However, a SAR C code could possibly be generated by another match result comment and will be printed on SARs rejected for other than match results reasons. An EFC is not provided on any rejected records.

An asterisk (\*) in the Match Flag column of this chart indicates that a match flag value is not generated for cases that were not/could not be sent to the matching agency.

## Selective Service Match

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	Y	Match conducted.  Applicant's registration status confirmed by Selective Service.	No comment	No resolution required.
	T	Match conducted.  Student is within 45 days of his 18th birthday. Applicant is temporarily exempt because he is not yet 18 years old.	<b>026</b> If you want to register with Selective Service, you can register by doing one of the following: (1) answer "Yes" to both Items 21 and 22 on this SAR, (2) complete a Selective Service registration form at your local post office, or (3) register online at <a href="http://www.sss.gov">www.sss.gov</a> . Selective Service will not process your registration until 30 days before your 18th birthday.	No resolution required.  An update is not required during the year.
C code	N	Match conducted.  Applicant not in Selective Service database.	<b>030</b> The Selective Service reported that you have not registered with them. If you are female or were born before 1960, registration is not required. Otherwise, if you are not yet registered, are male, and are 18 through 25 years of age, to receive aid you must do one of the following: (1) answer "Yes" to both Items 21 and 22 on this SAR, (2) complete a Selective Service registration form at your local post office, or (3) register online at <a href="http://www.sss.gov">www.sss.gov</a> . If you believe you have already registered or are exempt, please contact the Selective Service at 847-688-6888.	Resolution required.  To meet student eligibility requirements, student must: Register with Selective Service, Present appropriate confirmation (for example, his Selective Service Registration Acknowledgement or his letter of registration) that he is already registered, or Qualify for a waiver or exemption.

## Selective Service Registration

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
	Y	<p>Registration conducted.</p> <p>Forwarded name to Selective Service for registration as requested on application or SAR.</p>	<p><b>031</b> We have forwarded your name to Selective Service for registration, as you requested.</p>	<p>No resolution required.</p>
	T	<p>Registration conducted.</p> <p>Forwarded name to Selective Service for registration as requested on application or SAR. Registration will be processed by Selective Service 30 days before the applicant's 18th birthday.</p>	<p><b>028</b> We have forwarded your name to Selective Service for registration, as you requested. They will process your registration request 30 days prior to your 18th birthday.</p>	<p>No resolution required.</p>

## Selective Service Registration (continued)

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
C code	blank*	<p>Registration not conducted.</p> <p>Applicant requested that ED send name to Selective Service for registration, but applicant is not within age range or some information needed to register him is missing.</p>	<p><b>033</b> We could not send your name to Selective Service as you requested because you did not give us enough information, you are outside the age range for registration, or you did not sign your form. If you are at least 18 but not yet 26, you may register by answering “Yes” to both Items 21 and 22 on this SAR. You must also provide information for Items 1, 2, and 9. You may also register by completing a Selective Service registration form, available at your local post office, or by registering online at <a href="http://www.sss.gov">www.sss.gov</a>. If you are a male who is age 26 or older, you cannot use the SAR to register. You must contact Selective Service at 847-688-6888 to resolve your registration status before you can receive federal student aid. You are exempt from registering if born before 1960.</p>	<p>Resolution required.</p> <p>If student is between the ages of 18 and 25, help student make corrections to his SAR/ISIR and submit corrections for processing. If the information needed to register the student is present, student’s name will be sent to Selective Service. Review subsequent SAR/ISIR for updated registration flag.</p> <p>If student is over the age of 26 and has not yet registered, student must obtain documentation from Selective Service regarding his registration status. Determine if the student is exempt from registering or is eligible for a waiver of the registration requirement. If student did not register by the age of 26 and is not exempt or waived from the registration requirement, student may not be eligible for aid.</p>
C code	N	<p>Registration not conducted.</p> <p>Applicant requested that ED send name to Selective Service for registration, but applicant did not confirm that he is male.</p>	<p><b>057</b> Selective Service did not register you because you did not answer “Yes” to Item 21. If you want to register, answer “Yes” to BOTH Items 21 and 22 on this SAR, complete a Selective Service registration form at your local post office, or register online at <a href="http://www.sss.gov">www.sss.gov</a>.</p>	<p>Resolution required.</p>

## DHS Primary Verification Match

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	Y	Match conducted.  Applicant's noncitizen eligibility confirmed by DHS.	<b>143</b> Your citizenship status has been confirmed by the Department of Homeland Security and you meet the citizenship requirements for federal student aid.	No resolution required.  Do not initiate Secondary Confirmation unless there is conflicting information about the student's status or you have reason to believe the status reported is incorrect.  The SAR/ISIR will serve as the necessary documentation to prove the student's eligible noncitizen status.
C code	N	Match conducted.  DHS did not confirm applicant's noncitizen eligibility.	<b>144</b> The Department of Homeland Security (DHS) has not yet confirmed your response that you are an eligible non-citizen (Item 14). DHS will continue to check their records and we will notify you when we have received more information from them.	Resolution required. See match flag for Secondary Confirmation.  DHS will conduct the Secondary Confirmation process based on applicant identifier and Primary Verification information only. The next steps will depend on results from Secondary Confirmation match results.

## DHS Primary Verification Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
Reject 17	blank*	<p>Match not conducted.</p> <p>Applicant did not indicate citizenship status.</p>	<p><b>068</b> You did not indicate on your FAFSA that you are a U.S. citizen or an eligible noncitizen (Item 14). To receive federal student aid, a student must be –</p> <p>(1) A U.S. citizen (or U.S. National), or</p> <p>(2) An eligible noncitizen, such as a U.S. permanent resident or a resident of certain Pacific Islands, or as determined by the Department of Education.</p>	<p>Resolution required.</p> <p>If student failed to indicate citizenship, DHS match was not conducted. However, match was still conducted with SSA to determine citizenship. If SSA Citizenship Flag indicates that the student is a U.S. citizen, record will not be rejected. No resolution is required, but student should correct question 14 to reflect that student is U.S. Citizen/National.</p> <p>If student is an eligible noncitizen, student should correct citizenship in question 14 to indicate eligible noncitizen status AND should provide an Alien Registration Number. The student's record will be sent to the DHS match to determine if the student is an eligible noncitizen. After the corrected SAR is returned, review the DHS match flag to determine student's citizenship status.</p>
C code	blank*	<p>Match not conducted.</p> <p>Applicant changed from eligible noncitizen to citizen or changed Alien Registration Number.</p>	<p><b>141</b> You changed your response to citizenship (Item 14) or you changed the Alien Registration Number (Item 15) verified with the Department of Homeland Security. Therefore, you must submit proof of your citizenship status to your Financial Aid Administrator.</p>	<p>Resolution required.</p> <p>Determine why student changed citizenship status and resolve any conflicting information. Student may need to submit proof of citizenship depending on reason for change.</p>

## DHS Primary Verification Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
C code	blank*	<p>Match not conducted.</p> <p>Student did not provide Alien Registration Number or provided invalid Alien Registration Number.</p>	<p><b>142</b> The Department of Homeland Security could not confirm your response that you are an eligible noncitizen (Item 14) because there is an issue with your Alien Registration Number (Item 15). You must submit proof to your school that you are an eligible noncitizen. If you do not submit proof within 30 days, or longer if your school allows, you may not be eligible for federal student aid.</p>	<p>Resolution required.</p> <p>If student failed to provide Alien Registration Number or provided an invalid Alien Registration Number, <i>do not perform Secondary Confirmation</i>. Instead, help student make corrections to the SAR/ISIR and resubmit for processing. If student provides adequate information to conduct match, record will be sent back to DHS for matching. Review match flags on subsequent transactions for updated match flag.</p>

## DHS Secondary Confirmation Match

CPS waits for three compute days to receive results from Secondary Confirmation instead of processing ISIRs with results from Primary Verification only.

If after three days DHS does not return a response, CPS will generate the ISIR, which will show that Secondary Confirmation is still in progress.

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	P	Automated Secondary Confirmation in progress.	No comment	Wait at least five, but no more than 15 business days for CPS system-generated ISIR with results of automated Secondary Confirmation. If no response within 15 days, school must begin paper (G845) Secondary Confirmation.
	Y	DHS confirmed student is an eligible noncitizen.	<b>120</b> Your citizenship status (Item 14) has been confirmed by the Department of Homeland Security and you meet the citizenship requirements for federal student aid.	No resolution required.
C code	C	In continuance.	<b>105</b> The Department of Homeland Security has not yet confirmed your response that you are an eligible noncitizen (Item 14). You must submit proof to your school that you are an eligible noncitizen. If you do not submit proof to your school within 30 days, or longer if your school allows, you may not be eligible for federal student aid.	Resolution required.  School is encouraged, but not required, to wait ten business days for another system-generated ISIR with updated Secondary Confirmation match flag before beginning mandatory paper (G845) Secondary Confirmation process.

## DHS Secondary Confirmation Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
C code	N	DHS did not confirm eligibility.	<b>046</b> The Department of Homeland Security did not confirm your response that you are an eligible noncitizen. You must submit proof to your school that you are an eligible noncitizen. If you do not submit proof within 30 days, or longer if your school allows, you may not be eligible for federal student aid.	Resolution required.  You must begin paper (G845S) Secondary Confirmation process.
C code	X	DHS did not confirm eligibility because additional information is needed.	<b>109</b> The Department of Homeland Security did not have enough information to confirm your response that you are an eligible noncitizen (Item 14). You must contact the Financial Aid Administrator at your school to find out what information is needed. If you do not submit the required information within 30 days, or longer if your school allows, you may not be eligible for federal student aid.	Resolution required.  You must begin paper (G845) Secondary Confirmation process.

**Note 1:** Before sending copies of documentation to DHS, school should confirm that the student identifiers on the ISIR are accurate, especially Alien Registration Number and date of birth. If application data is incorrect, submit corrections to the CPS instead of paper Secondary Confirmation.

**Note 2:** In all cases, if school does not receive a response to paper Secondary Confirmation request in 15 days, student is eligible for aid if documentation appears to support claim of eligible noncitizen.

## Social Security Administration's Citizenship Status

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	A or blank	Match conducted.  SSA confirmed U.S. citizenship status.	No comment.	No resolution required.
C code	B, C, D, E, F, or *	Match conducted.  SSA did not confirm U.S. citizenship status.	<b>146</b> The Social Security Administration did not confirm that you are a U.S. citizen. You must provide your school with documentation of your citizenship status before you can receive federal student aid.	Resolution required.  If student is a U.S. citizen, he or she should provide birth certificate, passport, or other documents that definitively prove citizenship. Voter registration cards are not adequate proof of citizenship since many localities do not require proof of citizenship.  If student is an eligible noncitizen, he or she should correct question 14 to indicate that the student is an eligible noncitizen and question 15 to indicate a valid Alien Registration Number.  If student already provided citizenship and Alien Registration Number on the FAFSA or SAR, determine if his or her record was sent to DHS for matching. If student was successfully matched with DHS as an eligible noncitizen, comment 146 is suppressed and no further resolution is necessary.

## Social Security Administration's Citizenship Status (continued)

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
	N	<p>Match conducted.</p> <p>SSA is unable to verify citizenship because there was no match on SSN, name, or date of birth.</p>	<p><b>062</b> The Social Security Administration could not confirm your U.S. citizenship because of issues with your Social Security Number (Item 8), name (Items 1 and 2), or date of birth (Item 9).</p>	<p>Resolution required.</p> <p>Help the student make corrections to Social Security Number, name, or date of birth if necessary, so that his or her record can be sent back to SSA for matching. Review subsequent transactions for updated match flag.</p> <p><b>Note:</b> If the SSN was incorrect, the student can correct the SSN on the SAR/ISIR. If this is done, the student's original SAR ID will not change, but the current SSN reported in question 8 will be changed to reflect the corrected SSN.</p> <p>Alternatively, the applicant can file a new FAFSA under the correct SSN. This FAFSA will be treated as an original application and will be sent through all of the matches as if another FAFSA had never been completed. The SAR ID will be the same as the SSN reported on this application.</p> <p>If the student believes the information reported on the application is correct, he or she should contact the Social Security Administration. Obtain documentation from the student that clearly proves that he or she is either a citizen or eligible noncitizen.</p> <p><b>Note:</b> A match flag of * (asterisk) generally indicates that the student was born in a foreign country to American parents that were stationed in another country (for example, military, State Department, or Foreign Service). These students generally have birth certificates indicating that they are U.S. citizens that were born abroad. The Social Security Administration will not automatically update this flag and the financial aid administrator should document the information in the student's record.</p>

## Student's Social Security Number Match

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
Reject 18	1	<p>Match conducted.</p> <p>No match on SSN (SSN invalid).</p>	<p><b>024</b> The Social Security Administration (SSA) did not confirm that the Social Security Number you reported on your FAFSA is correct. If you believe that the Social Security Number you reported in Item 8 is correct, contact the SSA. If the Social Security Number is incorrect, you must submit a new FAFSA with the correct Social Security Number.</p>	<p>Resolution required.</p> <p>If the student's SSN is correct, he or she must contact SSA. After SSA corrects its records, the student can reenter the SSN and submit it as a SAR/ISIR correction. Records sent for rematching will continue to receive this match flag until SSA updates its database. Review subsequent transactions for updated match flag. If a correction is made to date of birth, SSN, first name, or last name, the record will be sent again for matching. Review subsequent transactions for updated match flag.</p> <p>If the SSN is incorrect, the student can correct the SSN on the SAR/ISIR. If this is done, the student's original SAR ID will not change, but the current SSN reported in question 8 will be changed to reflect the corrected SSN.</p> <p>Alternatively, the applicant can file a new FAFSA under the correct SSN. This FAFSA will be treated as an original application and will be sent through all of the matches as if another FAFSA was never completed. The SAR ID will be the same as the SSN reported on this application.</p>

## Student's Social Security Number Match (continued)

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
Reject R	2	Match conducted.  Date of birth inconsistent with SSA records.	<b>060</b> The date of birth you reported on your FAFSA does not match the date of birth in the Social Security Administration's (SSA) records for your Social Security Number (SSN). Therefore, you must correct your SSN (Item 8) or your date of birth (Item 9). If your date of birth is correct, you must confirm it by re-entering it in Item 9. If you confirm your date of birth, you should also contact the SSA to make sure they correct it in their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> .	Resolution required.  The student must make a correction to provide the correct date of birth.  If the date of birth is correct, the student must correct the date of birth on the SAR/ISIR to the same value reaffirming that it is correct. The CPS will reprocess the transaction without the reject.  In addition, if the student's date of birth is correct, he or she should contact SSA to update its records. Records sent for rematching in future years would continue to receive this match flag until SSA updates its database.  If a correction is made to date of birth, SSN, first name, or last name, the record will be sent again for matching. Review subsequent transactions for updated match flag.

## Student's Social Security Number Match (continued)

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
C code	2	<p>Match conducted.</p> <p>Date of birth still inconsistent with SSA records after student reaffirmed value.</p>	<p><b>063</b> As we previously indicated, the date of birth you reported on your FAFSA in Item 9 does not match the date of birth in the Social Security Administration's (SSA) records for your Social Security Number (SSN). If either your SSN (Item 8) or date of birth is incorrect, you must make a correction. If your SSN and date of birth are correct, you should contact the SSA to make sure that they correct it in their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a>. You must provide proof of your date of birth to your Financial Aid Administrator.</p>	<p>The student made a correction to reaffirm date of birth. However, the SSA records have not changed.</p> <p>The CPS will suppress the reject R.</p> <p>In addition, the student must provide date of birth proof to the FAA.</p>

## Student's Social Security Number Match (continued)

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
Reject D	3	Match conducted.  Name is inconsistent with SSA records.	<p><b>061</b> The name you reported on your FAFSA does not match the name in the Social Security Administration's (SSA) records for your Social Security Number (SSN). Therefore, you must correct your SSN (Item 8) or name (Items 1 and 2). If your name is correct, you must confirm it by re-entering both your first and last names in Items 1 and 2. If you confirm your name, you should also contact the SSA to make sure that they correct it in their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a>.</p>	<p>Resolution required.</p> <p>The student must make a correction to provide the correct first and last name. Student can provide documentation explaining discrepancy in name (for example, marriage certificate, court order, etc.).</p> <p>If the student's name is correct, he or she must correct the name on the SAR/ISIR to the same value reaffirming that it is correct. The CPS will reprocess the transaction without the reject.</p> <p>In addition, if the student's name is correct, he or she should contact SSA to update its records. Records that are sent for rematching in future years would continue to receive this match flag until SSA updates its database.</p> <p>If a correction is made to date of birth, SSN, first name, or last name, the record will be sent again for matching. Review subsequent transactions for updated match flag.</p>

## Student's Social Security Number Match (continued)

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
C code	3	Match conducted.  Name is still inconsistent with SSA records.	<b>064</b> As we previously indicated, the name you reported on your FAFSA in Items 1 and 2 does not match the name in the Social Security Administration's (SSA) records for your Social Security Number (SSN). If your SSN (Item 8) or name are incorrect, you must make the necessary corrections. If your SSN and name are correct, you should contact the SSA to make sure that they correct their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> . You must provide proof of your name to your Financial Aid Administrator.	Resolution required.  The student made a correction to reaffirm name. However, SSA records have not changed.  The CPS will suppress the reject D.  In addition, the student must provide documentation explaining discrepancy in name (for example, marriage certificate, court order, etc.).
	4	Successful match.	No comment	No resolution required.
	4	No additional match conducted.  Applicant tried to change SSN after SSA verified that reported SSN was correct.	<b>013</b> You cannot change your Social Security Number (Item 8). The Social Security Administration has already confirmed that this Social Security Number belongs to you.	No resolution required.  This occurs on correction transactions only.  If student used the wrong SSN, yet his or her name, date of birth, and SSN were confirmed by SSA on the previous transaction, contact ED's Correction Application Coordinator at (785) 838-2141 for further instructions. These cases usually arise when spouses or siblings with similar names use each other's SSNs by mistake. These cases must be resolved.

## Student's Social Security Number Match (continued)

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
Reject 8	5	<p>Match conducted.</p> <p>A successful match was made to a deceased person's record on the SSA database.</p>	<p><b>076</b> Social Security Administration (SSA) records indicate that the Social Security Number (SSN) you provided in Item 8 belongs to a deceased person. If the SSN is correct, you must contact an SSA office to resolve this problem. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a>.</p> <p><b>140</b> This SAR has been produced because, according to Social Security Administration (SSA) records, the Social Security Number (SSN) you provided in Item 8 belongs to a deceased person. If the SSN in Item 8 is correct, you must contact an SSA office to resolve this problem. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a>.</p> <p><b>145</b> According to Social Security Administration (SSA) records, the Social Security Number (SSN) you provided in Item 8 belongs to a deceased person. If the SSN in Item 8 is correct, you must contact an SSA office to resolve this problem. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a>.</p>	<p>Resolution required.</p> <p>If the student's SSN is correct, he or she must contact SSA. After SSA corrects its records, the student can reenter the name or date of birth and submit it as a SAR/ISIR correction so the transaction can go back to SSA for an updated match flag. Records sent for rematching will continue to receive this match flag until SSA updates its database. Review subsequent transactions for updated match flag.</p> <p>If the SSN is incorrect, the student can correct the SSN on the SAR/ISIR. If this is done, the student's original SAR ID will not change, but the current SSN reported in question 8 will be changed to reflect the corrected SSN. Alternatively, to obtain a SAR with a SAR ID that matches the student's reported SSN, the applicant should file a new FAFSA under the correct SSN. This FAFSA will be treated as an original application and will be sent through all of the matches as if another FAFSA had never been completed. The SAR ID will be the same as the SSN reported on this application.</p>

## Student's Social Security Number Match (continued)

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
Rejects N, 13, 5, 14, and/or 16	8	<p>No match conducted.</p> <p>Record could not be sent to SSA because no last name, date of birth, or signature provided.</p> <p><b>Applicant's SSN passed ED's valid range check.</b></p>	<p><b>059</b> The Social Security Administration could not determine if the Social Security Number you reported in Item 8 belongs to you because you did not give us your last name (Item 1) and/or date of birth (Item 9). Review these items and make the necessary corrections.</p> <p><b>Note:</b> This comment will print on all transactions as long as the condition exists.</p>	<p>Resolution required.</p> <p>When SSN was checked against valid range table, SSN was within valid range. Student will still receive reject for missing name, date of birth, and/or signature (not for match flag 8).</p> <p>Reject N: Either first or last name missing</p> <p>Reject 13: Both first and last name missing</p> <p>Reject 5: Date of birth blank</p> <p>Reject 14 or 16: Student signature missing</p> <p>Help student make corrections to name, date of birth, or signature. When corrections are submitted, record will be sent to SSA for matching. Review subsequent transactions for updated match flag.</p>

## Student's Social Security Number Match (continued)

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
Rejects N, 13, 5, 14, 16, and/or P	8	<p>No match conducted.</p> <p>Record could not be sent to SSA because no last name, date of birth, or signature provided.</p> <p><b>Applicant's SSN failed ED's valid range check.</b></p>	<p><b>023</b> It appears that the Social Security Number you reported on your FAFSA is not valid. Review the number you reported in Item 8 and make the necessary correction.</p>	<p>Resolution required.</p> <p>Reject P: When SSN was checked against valid range table, SSN was NOT within valid range. Student will also receive reject for missing name, date of birth and/or signature.</p> <p>Reject N: Either first or last name missing.</p> <p>Reject 13: Both first and last name missing.</p> <p>Reject 5: Date of birth blank.</p> <p>Reject 14 or 16: Student signature missing.</p> <p>If the student's SSN is correct, he or she should contact SSA. After SSA corrects its records, the student can reenter the SSN and submit it as a SAR/ISIR correction. Records sent for rematching will continue to receive this match flag until SSA updates its database. Review subsequent transactions for updated match flag.</p> <p>If the SSN is incorrect, the student can correct the SSN on the SAR/ISIR. If this is done, the student's original SAR ID will not change, but the current SSN reported in question 8 will be changed to reflect the corrected SSN.</p> <p>Alternatively, to obtain a SAR with a SAR ID that matches the student's reported SSN, the applicant should file a new FAFSA under the correct SSN. This FAFSA will be treated as an original application and will be sent through all of the matches as if another FAFSA was never completed. The SAR ID will be the same as the SSN reported on this application.</p>

## Parent's Social Security Number Match

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
	N/A	Parent's SSN is the same as the Student's SSN.	<b>048</b> You have reported a Social Security Number for your parent (Item 57 or 61) that is the same as yours.	No resolution required.
	N/A	Parent's marital status is not married and SSNs are provided for both the father and the mother.	<b>045</b> You reported a Social Security Number for both your father and mother (Items 57 and 61) but also reported that their marital status is not married (Item 55). You should only report the Social Security Number for the parent or stepparent whose financial information is reported on your FAFSA.	No resolution required.  Either correct parent marital status or eliminate the appropriate parent SSN information.
Reject 6	Father = 1 Mother does not = 4	Match conducted.  Father's SSN invalid at SSA.  Mother's match results indicate an invalid SSN, no match on name, or no match on DOB.  Neither parent has a full match of 4.	<b>011</b> The Social Security Administration (SSA) did not confirm the Social Security Number you reported for your father in Item 57. If you believe that the SSN you reported is correct, your father must contact the SSA. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> . If the Social Security Number is incorrect, you must make the necessary correction.	Resolution required.  Correct appropriate data elements reported on this application so that at least one parent achieves a full match.

## Parent's Social Security Number Match (continued)

SAR C Code/ Reject	Match Flag	Reason for Comment/ Results	Comment Number/Text	Action Needed
Reject 7	Mother = 1 Father does not = 4	<p>Match conducted.</p> <p>Mother's SSN invalid at SSA.</p> <p>Father's match results indicate an invalid SSN, no match on name, or no match on DOB.</p> <p>Neither parent has a full match of 4.</p>	<p><b>012</b> The Social Security Administration (SSA) did not confirm the Social Security Number you reported for your mother in Item 61. If you believe that the SSN you reported is correct, your mother must contact the SSA. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a>. If the Social Security Number is incorrect, you must make the necessary correction.</p>	<p>Resolution required.</p> <p>Correct appropriate data elements reported on this application so that at least one parent achieves a full match.</p>
	Father = 1 Mother = 4	<p>Match conducted.</p> <p>Father's SSN invalid at SSA.</p> <p>Mother has a full match.</p>	<p><b>014</b> The Social Security Administration (SSA) confirmed the Social Security Number you reported for your mother on your FAFSA, but did not confirm the Social Security Number you reported for your father (Item 57). If you believe that the Social Security Number you reported for your father is correct, your father should contact the SSA. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a>. If the Social Security Number is incorrect, you need to make the necessary correction.</p>	<p>No resolution required.</p> <p>Correct Father's SSN to achieve a full match.</p>

## Parent's Social Security Number Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	Mother = 1 Father = 4	Match conducted.  Mother's SSN invalid at SSA.  Father has a full match.	<b>015</b> The Social Security Administration (SSA) confirmed the Social Security Number you reported for your father on your FAFSA, but did not confirm the Social Security Number you reported for your mother (Item 61). If you believe that the Social Security Number you reported for your mother is correct, your mother should contact the SSA. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> . If the Social Security Number is incorrect, you need to make the necessary correction.	No resolution required.  Correct Mother's SSN to achieve a full match.
Reject S	Father = 2 Mother does not = 4	Match conducted.  Father's DOB is invalid at SSA.  Mother does not have a full match.	<b>016</b> Your father's date of birth as reported on your FAFSA does not match his date of birth in the Social Security Administration's (SSA) records for his Social Security Number. Therefore, you must correct his Social Security Number (Item 57) or his date of birth (Item 60). If his date of birth is correct, you must confirm it by re-entering it in Item 60. If you confirm your father's date of birth, your father should also contact the SSA to make sure that they correct it in their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> .	Resolution required.  Correct the father's date of birth.  If the father's date of birth is correct on the SAR/ISIR reenter the same value to reaffirm that date of birth is correct. The CPS will reprocess the transaction without the reject.  In addition, if the father's date of birth is correct, he should contact SSA to update its records. Records sent for rematching in future years would continue to receive this match flag until SSA updates its database.  If a correction is made to date of birth, SSN, first name, or last name, the record will be sent again for matching. Review subsequent transactions for updated match flag.  Correct mother's data elements as appropriate to achieve a full match.

## Parent's Social Security Number Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	Father = 2 Mother does not = 4	Match conducted.  Father reaffirmed that SSA's invalid DOB is correct.  Mother does not have a full match.	<b>007</b> As we previously indicated, your father's date of birth in Item 60 does not match his date of birth in the Social Security Administration's (SSA) records for his Social Security Number (SSN). If his SSN (Item 57) or date of birth is incorrect, you need to make a correction. If his SSN and date of birth are correct, your father should contact the SSA to make sure that they correct their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> .	No resolution required.  A correction was made to reaffirm the father's date of birth. However, SSA records have not changed.  The CPS will suppress the reject S.  If the father's DOB is correct, the father should contact SSA to update its records.  Correct mother's data elements as appropriate to achieve full match.
Reject T	Mother = 2 Father does not = 4	Match conducted.  Mother's DOB is invalid at SSA.  Father does not have a full match.	<b>017</b> Your mother's date of birth as reported on your FAFSA does not match her date of birth in the Social Security Administration's (SSA) records for her Social Security Number. Therefore, you must correct her Social Security Number (Item 61) or her date of birth (Item 64). If her date of birth is correct, you must confirm it by re-entering it in Item 64. If you confirm your mother's date of birth, your mother should also contact the SSA to make sure that they correct it in their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> .	Resolution required.  Correct the mother's date of birth.  If the mother's date of birth is correct on the SAR/ISIR reenter the same value to reaffirm that the date of birth is correct. The CPS will reprocess the transaction without the reject.  In addition, if the mother's date of birth is correct, she should contact SSA to update its records. Records sent for rematching in future years would continue to receive this match flag until SSA updates its database.  If a correction is made to date of birth, SSN, first name, or last name, the record will be sent again for matching. Review subsequent transactions for updated match flag.  Correct father's data elements as appropriate to achieve a full match.

## Parent's Social Security Number Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	Mother = 2 Father does not = 4	Match conducted.  Mother reaffirmed that SSA's invalid DOB is correct.  Father does not have a full match.	<b>008</b> As we previously indicated, your mother's date of birth in Item 64 does not match her date of birth in the Social Security Administration's (SSA) records for her Social Security Number (SSN). If her SSN (Item 61) or date of birth is incorrect, you need to make a correction. If her SSN and date of birth are correct, your mother should contact the SSA to make sure that they correct their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> .	No resolution required.  A correction was made to reaffirm the mother's date of birth. However, SSA records have not changed.  The CPS will suppress the reject T.  If the mother's DOB is correct, the mother should contact SSA to update its records.  Correct father's data elements as appropriate to achieve full match.
	Father = 2 Mother = 4	Match conducted.  Father's DOB is invalid at SSA.  Mother has a full match.	<b>019</b> The date of birth you reported for your mother on your FAFSA matches the Social Security Administration's (SSA) records, but the date of birth you reported for your father does not match SSA's records. Your father should review the date of birth in Item 60 and either confirm the date you have reported or make the necessary correction.	No resolution required.  Correct father's DOB to achieve a full match.

## Parent's Social Security Number Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	Mother = 2 Father = 4	Match conducted.  Mother's DOB is invalid at SSA.  Father has a full match.	<b>021</b> The date of birth you reported for your father on your FAFSA matches the Social Security Administration's (SSA) records, but the date of birth you reported for your mother does not match SSA's records. Your mother should review the date of birth in Item 64 and either confirm the date you have reported or make the necessary correction.	No resolution required.  Correct mother's DOB to achieve a full match.
	Father = 3 Mother = 4	Match conducted.  Father's name is invalid at SSA.	<b>022</b> The name you reported for your father on your FAFSA doesn't match the Social Security Administration's (SSA) records. If the name you reported in Items 58 and 59 is correct, contact SSA. If it is incorrect, you need to make the necessary corrections.	No resolution required.  Correct father's name to achieve a full match.

## Parent's Social Security Number Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
Reject E	Father = 3 Mother not equal to 4	Match conducted.  Father's name is invalid at SSA.	<b>040</b> Your father's name as reported on your FAFSA does not match the name in the Social Security Administration's (SSA) records for his Social Security Number (SSN). Therefore, you must correct his SSN (Item 57) or his name (Items 58 and 59). If his name is correct, you must confirm it by re-entering both his last name and first name initial in Items 58 and 59. If you confirm your father's name, your father should also contact the SSA to make sure that they correct it in their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> .	Resolution required.  Correct the father's name.  If the father's name is correct, reenter the same value to reaffirm that the name is correct. The CPS will reprocess the transaction without the reject.  In addition, if the father's name is correct, he should contact SSA to update its records. Records that are sent for rematching in future years would continue to receive this match flag until SSA updates its database.  If a correction is made to date of birth, SSN, first name, or last name, the record will be sent again for matching. Review subsequent transactions for updated match flag.  Correct mother's data elements as appropriate to achieve full match

## Parent's Social Security Number Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	Father = 3 Mother not equal to 4	Match conducted.  Father's name is still inconsistent with SSA records.	<b>044</b> As we previously indicated, your father's name as reported on your FAFSA does not match the name in the Social Security Administration's (SSA) records for his Social Security Number (SSN). If his SSN (Item 57) or name (Items 58 or 59) are incorrect, you need to make the necessary corrections. If his SSN and name are correct, your father should contact the SSA to make sure that they correct their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> .	No Resolution Required.  A correction was made to reaffirm the father's name. However, SSA records have not changed.  The CPS will suppress the reject E.  If the father's name is correct, the father should contact SSA to update its records.  Correct mother's data elements as appropriate to achieve full match.

## Parent's Social Security Number Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	Mother = 3 Father = 4	Match conducted.  Mother's name is invalid at SSA.	<b>025</b> The name you reported for your mother on your FAFSA doesn't match the Social Security Administration's (SSA) records. If the name you reported in Items 62 and 63 is correct, contact SSA. If it is incorrect, you need to make the necessary corrections.	No resolution required.  Correct mother's name to achieve a full match.
Reject F	Mother = 3 Father not equal to 4	Match conducted.  Mother's name is invalid at SSA.	<b>051</b> Your mother's name as reported on your FAFSA does not match the name in the Social Security Administration's (SSA) records for her Social Security Number (SSN). Therefore, you must correct her SSN (Item 61) or her name (Items 62 and 63). If her name is correct, you must confirm it by re-entering both her last name and first name initial in Items 62 and 63. If you confirm your mother's name, your mother should also contact the SSA to make sure that they correct it in their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> .	Resolution required.  Correct the mother's name.  If the mother's name is correct, reenter the same value to reaffirm that the name is correct. The CPS will reprocess the transaction without the reject.  In addition, if the mother's name is correct, she should contact SSA to update its records. Records that are sent for rematching in future years would continue to receive this match flag until SSA updates its database.  If a correction is made to date of birth, SSN, first name, or last name, the record will be sent again for matching. Review subsequent transactions for updated match flag.  Correct father's data elements as appropriate to achieve full match.

## Parent's Social Security Number Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	Mother = 3 Father not equal to 4	Match conducted.  Mother's name is still inconsistent with SSA records.	<b>071</b> As we previously indicated, your mother's name as reported on your FAFSA does not match the name in the Social Security Administration's (SSA) records for her Social Security Number (SSN). If her SSN (Item 61) or her name (Items 62 or 63) are incorrect, you need to make the necessary corrections. If her SSN and name are correct, your mother should contact the SSA to make sure that they correct their records. The SSA can be contacted by calling 1-800-772-1213 or by visiting <a href="http://www.socialsecurity.gov">www.socialsecurity.gov</a> .	No Resolution Required.  A correction was made to reaffirm the mother's name. However, SSA records have not changed. The CPS will suppress the reject F.  If the mother's name is correct, she should contact SSA to update its records.  Correct father's data elements as appropriate to achieve full match.
	Father = 5	Match conducted.  A successful match has been made to a deceased person's record on the SSA database for father.	<b>027</b> According to the Social Security Administration, the Social Security Number you provided for your father in Item 57 belongs to a deceased person. Please review your answer to Item 57 and make a correction if necessary.	No resolution required.  If the father's identifiers are correct, the father should contact SSA to fix their records.  After SSA corrects its records, the father can reenter the name and submit it as a SAR/ISIR correction for an updated match flag.
	Mother = 5	Match conducted.  A successful match has been made to a deceased person's record on the SSA database for mother.	<b>029</b> According to the Social Security Administration, the Social Security Number you provided for your mother in Item 61 belongs to a deceased person. Please review your answer to Item 61 and make a correction if necessary.	No resolution required.  If the mother's identifiers are correct, the mother should contact SSA to fix their records.  After SSA corrects its records, the mother can reenter the name and submit it as a SAR/ISIR correction for an updated match flag.

## Parent's Social Security Number Match (continued)

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
Reject 9		Match with SSA was not conducted on either parent.  One of SSN, last name and DOB is missing for both parents.	<b>049</b> You must report a valid Social Security Number, name, and date of birth for your father or mother. If your parent does not have a Social Security Number, you must correct Item 57 and/or 61 to all zeroes.	Resolution required.  Provide all appropriate data elements for parents to enable CPS to conduct the match with SSA.  If parents do not have an SSN, provide zeros.
		Match with SSA was not conducted.  Father's data not sent to SSA for data match and SSN for father is not in SSA's list of issued SSNs.	<b>166</b> It appears that the Social Security Number you reported on your FAFSA for your father is not correct. Review the number you reported in Item 57 and make the necessary correction.	No resolution required.  Father's SSN appears to be invalid. Provide correct SSN for father.  If Father's SSN is correct, father should contact SSA to ensure their records are correct.
		Match with SSA was not conducted.  Mother's data not sent to SSA for data match and SSN for mother is not in SSA's list of issued SSNs.	<b>167</b> It appears that the Social Security Number you reported on your FAFSA for your mother is not correct. Review the number you reported in Item 61 and make the necessary correction.	No resolution required.  Mother's SSN appears to be invalid. Provide correct SSN for mother.  If Mother's SSN is correct, mother should contact SSA to ensure their records are correct.

## Department of Veterans Affairs Veteran Status Match

SAR C Code/Reject	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	1	Successful match.	No comment.	No resolution required.
C code	2	<p>Match conducted.</p> <p>Independent, record found on VA database but not a qualifying veteran, and record is not independent for a reason other than veteran status.</p>	<p><b>162</b> The Department of Veterans Affairs did not confirm that you are or will be a qualifying veteran for purposes of receiving federal student aid for the 2006-2007 school year. If you believe you are or will be a qualifying veteran, contact your Financial Aid Administrator (FAA) and provide the FAA with a copy of your DD214 (military separation form). If you are currently on active duty but will be separated from the military during the school year, provide your FAA with a letter from your commanding officer indicating that you will be separated from the military prior to June 30, 2007. If you are not and will not be a veteran, you must change the answer to Item 54 from "Yes" to "No" and provide parental information, including the signature of at least one of your parents.</p>	<p>Resolution required.</p> <p>If the student believes the match results are in error, he or she should contact a regional VA office to have VA records updated. The CPS will continue to send any correction transactions to VA for rematching and an updated match flag.</p> <p>While the student is resolving the discrepancy with the VA, the financial aid administrator can collect documentation from the applicant that clearly demonstrates that he or she is a veteran of the U.S. Armed Forces. The student can provide the DD214 form showing that "Character of Service" is other than "dishonorable." However, until the information is corrected in the VA database, the match results will not change. If the documentation confirms that the student is a veteran, Title IV aid can then be disbursed to the student.</p> <p>If the match results are correct and the student is not a qualifying veteran, the he or she must submit a correction to change the answer to Item 54 from "Yes" to "No" and provide parental information, including the signature of at least one parent.</p>
	2	<p>Match conducted.</p> <p>Independent, record found on VA database but not a qualifying veteran, and record is independent for a reason other than veteran status.</p>	No comment.	No resolution required.

## Department of Veterans Affairs Veteran Status Match (continued)

SAR C Code/Reject	SAR C Code/Reject	SAR C Code/Reject	SAR C Code/Reject	SAR C Code/Reject
C code	3	<p>Match conducted.</p> <p>Independent, record not found on VA database, and record is not independent for a reason other than veteran status.</p>	<p><b>173</b> The Department of Veterans Affairs did not confirm that you are or will be a qualifying veteran for purposes of receiving federal student aid for the 2006-2007 school year. If you believe you are or will be a qualifying veteran, contact your Financial Aid Administrator (FAA) and provide the FAA with a copy of your DD214 (military separation form). If you are currently on active duty but will be separated from the military during the school year, provide your FAA with a letter from your commanding officer indicating that you will be separated from the military prior to June 30, 2007. If you are not and will not be a veteran, you must change the answer to Item 54 from “Yes” to “No” and provide parental information, including the signature of at least one of your parents.</p>	<p>Resolution required.</p> <p>If the student believes the match results are in error, he or she can provide the DD214 form (military separation form). However, it is likely that the military branch or Department of Defense has not sent the data to the VA. The student should contact a regional VA office to have VA records updated. Until the information is corrected in the VA database, the match results will not change.</p> <p>While the student is resolving the discrepancy with the VA, the financial aid administrator can collect from the applicant the DD214 that clearly demonstrates that he or she is a veteran of the U.S. Armed Forces. If the documentation confirms that the student is a veteran, Title IV aid can then be disbursed to him or her.</p> <p>If the match results are correct and the student is not a qualifying veteran, he or she must submit a correction to change the answer to Item 54 from “Yes” to “No” and provide parental information, including the signature of at least one parent.</p>
	3	<p>Match conducted.</p> <p>Independent, record not found on VA database, and record is independent for a reason other than veteran status.</p>	No comment.	No resolution required.

## Department of Veterans Affairs Veteran Status Match (continued)

SAR C Code/ Reject	SAR C Code/ Reject	SAR C Code/ Reject	SAR C Code/ Reject	SAR C Code/ Reject
C code	4	<p>Match conducted.</p> <p>Record found on VA database, but applicant is on active duty and record is not independent for a reason other than veteran status.</p>	<p><b>180</b> The Department of Veterans Affairs did not confirm that you are or will be a qualifying veteran for purposes of receiving federal student aid for the 2006-2007 school year. If you believe you are or will be a qualifying veteran, contact your Financial Aid Administrator (FAA) and provide the FAA with a copy of your DD214 (military separation form). If you are currently on active duty but will be separated from the military prior during the school year, provide your FAA with a letter from your commanding officer indicating that you will be separated from the military prior to June 30, 2007. If you are not and will not be a veteran, you must change the answer to Item 54 from “Yes” to “No” and provide parental information, including the signature of at least one of your parents.</p>	<p>Resolution required.</p> <p>Student must provide documentation to the school that shows upcoming release orders from a military branch, typically in memorandum format or letter, stating intent to release. There is no requirement to reconfirm actual separation during the award year.</p>
	4	<p>Match conducted.</p> <p>Record found on VA database, but applicant is on active duty and record is independent for a reason other than veteran status.</p>	No comment.	No resolution required.

## Department of Veterans Affairs Veteran Status Match (continued)

SAR C Code/Reject	SAR C Code/Reject	SAR C Code/Reject	SAR C Code/Reject	SAR C Code/Reject
	8	<p>No match conducted.</p> <p>Record could not be sent to VA because of last name, date of birth, and/or signature provided.</p>	<p><b>161</b> We could not match your information with the Department of Veterans Affairs because you did not give us your full name (Items 1 and 2) and/or date of birth (Item 9). Therefore, you should review and correct these items on your SAR.</p>	<p>No resolution required.</p> <p>Help student make corrections to name, date of birth, or signature if necessary so that the student's record can be sent to VA for matching. Review subsequent transactions for updated match flag.</p>

## National Student Loan Data System (NSLDS) Match

SAR C Code/Reject	Results Flag	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
	1  Match found; NSLDS data sent.	1	Match conducted.  Student not in default or overpayment.	No comment	No resolution required.
	3  Match found; No relevant NSLDS data to send.	1	Match conducted.  Student not in default or overpayment.	No comment	No resolution required.
	4  Student not found; No NSLDS data to send.	1	Match conducted.  Student not in default or overpayment.	No comment	No resolution required.

## NSLDS Match (continued)

SAR C Code/Reject	Results Flag	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
C code	2  Incomplete match; no NSLDS data sent.	7	Match conducted.  SSN matched, but name and DOB did not match.	<b>138</b> The National Student Loan Data System (NSLDS) found your reported Social Security Number (Item 8) on their database, but your name (Items 1 and 2) and date of birth (Item 9) did not match. Therefore, this SAR does not contain the financial aid history that is associated with your reported Social Security Number.	Resolution required.  Determine if the NSLDS record is that of the applicant by accessing NSLDS online and using “SSN only” to retrieve the matching data. This will help determine whether that SSN belongs to the student being assisted. This method will reveal which data provider supplied the conflicting SSN information. This provider can then be contacted directly to resolve the discrepancy. There is no need to wait for NSLDS to be updated before continuing the award process.  If the record belongs to the student, use the information in NSLDS to determine eligibility for FSA funds.

## NSLDS Match (continued)

SAR C Code/Reject	Results Flag	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
C code	1  Match found; NSLDS data sent.	2	Match conducted.  Applicant has at least one loan in default.  Defaulted loan is held by a Guaranty Agency, Direct Loan Servicer, or ED Region.	<b>132</b> The National Student Loan Data System (NSLDS) indicates that you are in DEFAULT on a federal student loan. You are not eligible to receive any federal student aid until your default has been resolved.  <b>124</b> Contact the following agency(ies) regarding your defaulted federal student loan:  Up to three names and phone numbers of Guaranty Agencies, Direct Loan Servicers or ED Regions that are holding the student's defaulted loan(s) will be listed (comments 181 to 253).	Resolution required.  Depending on loan status, student needs to contact GA, DLS, or EDR and make satisfactory arrangements to repay loan. If student has already repaid loan, obtain documentation that the loan identified as being in default is the loan that was paid off by the student.  See attached list of loan status codes and information on student eligibility.
C code	1  Match found; NSLDS data sent.	2	Match conducted.  Applicant has at least one loan in default.  Defaulted loan is held by ED.	<b>132</b> The National Student Loan Data System (NSLDS) indicates that you are in DEFAULT on a federal student loan. You are not eligible to receive any federal student aid until your default has been resolved.	Resolution required.  Depending on loan status, the student may need to contact ED and make satisfactory arrangements to repay the loan. If student has already repaid loan, obtain documentation that the loan identified as being in default is the loan that was paid off by the student.  See attached list of loan status codes and information on student eligibility.

## NSLDS Match (continued)

SAR C Code/Reject	Results Flag	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
C code	1  Match found; NSLDS data sent.	2	Match conducted.  Applicant has at least one loan in default.  Defaulted loan is held by lender.  Defaulted loan is held by school.	<b>132</b> The National Student Loan Data System (NSLDS) indicates that you are in DEFAULT on a federal student loan. You are not eligible to receive any federal student aid until your default has been resolved.  <b>135</b> To resolve your defaulted federal student loan(s), contact the lender associated with the loan.  <b>136</b> To resolve your defaulted federal student loan(s), contact the school associated with the loan.	Resolution required.  Comment 132 will be provided with one of the following comments: 135 or 136.





## NSLDS Match (continued)

SAR C Code/Reject	Results Flag	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
C code	1  Match found; NSLDS data sent.	3 (cont.)	<p>Match conducted.</p> <p>Applicant has at least one FSEOG Overpayment.</p> <p>Overpayment contact code is blank.</p> <p>Overpayment held by ED Region 4.</p> <p>Overpayment held by ED Region 5.</p>	<p><b>133</b> The National Student Loan Data System (NSLDS) indicates that you received at least one overpayment of federal student aid funds. You are required by law to repay any funds received from the federal student aid programs to which you were not entitled. You are not eligible to receive any federal student aid until your overpayment has been resolved.</p> <p><b>010</b> For additional information about your FSEOG overpayment, your Financial Aid Administrator must access NSLDS.</p> <p><b>065</b> To resolve your FSEOG overpayment, call the U.S. Department of Education at 1-800 - 621-3115, or write to the U.S. Department of Education, P.O. Box 4222, Iowa City, Iowa 52245.</p> <p><b>066</b> To resolve your FSEOG overpayment, call the U.S. Department of Education at 1-800 - 621-3115, or write to the U.S. Department of Education, P.O. Box 4222, Iowa City, Iowa 52245.</p>	<p>Resolution required.</p> <p>Comment 133 will be provided with one of comments 010, 065, 066, 067, 077, or 079.</p>

## NSLDS Match (continued)

SAR C Code/Reject	Results Flag	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
C code	1  Match found; NSLDS data sent.	3 (cont.)	Overpayment held by ED Region 9.  Overpayment held by school.  More than one overpayment.	<p><b>067</b> To resolve your FSEOG overpayment, call the U.S. Department of Education at 1-800 - 621-3115, or write to the U.S. Department of Education, P.O. Box 4222, Iowa City, Iowa 52245.</p> <p><b>077</b> To resolve your FSEOG overpayment, your FAA must contact the school associated with the FSEOG overpayment.</p> <p><b>079</b> To resolve your FSEOG overpayments, your Financial Aid Administrator must access NSLDS for additional FSEOG overpayment information.</p>	



## NSLDS Match (continued)

SAR C Code/Reject	Results Flag	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
C code	1  Match found; NSLDS data sent.	3 (cont.)	Overpayment held by ED Region 5.  Overpayment held by ED Region 9.  More than one overpayment.	<b>101</b> To resolve your Perkins overpayment, call the U.S. Department of Education at 1-800- 621-3115, or write to the U.S. Department of Education, P.O. Box 4222, Iowa City, Iowa 52245.  <b>102</b> To resolve your Perkins overpayment, call the U.S. Department of Education at 1-800- 621-3115, or write to the U.S. Department of Education, P.O. Box 4222, Iowa City, Iowa 52245.  <b>107</b> To resolve your Perkins overpayments, your Financial Aid Administrator must access NSLDS for additional Perkins overpayment information.	
C code	1  Match found; NSLDS data sent.	4	Applicant has at least one loan in default and owes at least one overpayment.  See information for match flags 2 and 3.	<b>134</b> The National Student Loan Data System (NSLDS) indicates that you are in DEFAULT on at least one federal student loan and that you received at least one overpayment of federal student aid funds. You are not eligible to receive any federal student aid until these items have been resolved.	Resolution required.  See resolution for match flags 2 and 3 above.

## NSLDS Match (continued)

SAR C Code/Reject	Results Flag	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
C code	1		Loan is discharged due to disability.	<b>115</b> The National Student Loan Data System (NSLDS) indicates that one or more of your federal student loans have been discharged. If you have questions, see the Financial Aid Administrator at your school.	Resolution required.  Refer to the <i>2006-07 Federal Student Aid Handbook</i> , Volume 1 - FSA Handbook: Student Eligibility, chapter 3.
C code	1		Loan is in Bankruptcy.	<b>116</b> The National Student Loan Data System (NSLDS) indicates you have one or more student loans in an active bankruptcy status. Before you can receive additional federal student loans, you must contact your Financial Aid Administrator.	Resolution required  Refer to the <i>2006-07 Federal Student Aid Handbook</i> , Volume 1 - FSA Handbook: Student Eligibility, chapter 3.
		8	Match not conducted due to processing problems.	None	If corrections to the student's data are required, NSLDS match will be conducted again when corrections are sent to the CPS.

## NSLDS Match (continued)

SAR C Code/Reject	Results Flag	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
C code			<p>NSLDS Subsidized or Combined Loan Total has exceeded loan limits based on NSLDS Loan Limit Flags or Postscreening reason code 09 or 10.</p>	<p><b>254</b> Based upon data provided by the National Student Loan Data System (NSLDS) and your reported grade level, we have determined that you may have received subsidized student loans in excess of loan limits established for the federal loan programs.</p> <p><b>256</b> Based upon data provided by the National Student Loan Data System (NSLDS) and your reported grade level, we have determined that you may have received a total amount of student loans that exceeds the loan limits established for the federal loan programs.</p> <p><b>260</b> Based upon data provided by the National Student Loan Data System (NSLDS) and your reported grade level and dependency status, we have determined that you may have received student loans in excess of loan limits established for the federal loan programs.</p>	<p>Resolution required.</p> <p>In general, students who borrow in excess of aggregate loan limits are ineligible to receive further Title IV assistance. However, if the school determines that the student inadvertently borrowed in excess of the limits, the student may regain eligibility either by repaying the amount borrowed in excess of the aggregate limits or by making satisfactory (to the loan holder) repayment arrangements for the excess amount.</p> <p>Refer to Section 668.35(b) (1) of the Federal Student Financial Aid Regulations and Dear Colleague Letter GEN 96-13, Q&amp;A #17 for additional information.</p>

## NSLDS Match (continued)

SAR C Code/Reject	Results Flag	Match Flag	Reason for Comment/Results	Comment Number/Text	Action Needed
			NSLDS Subsidized or Combined Loan Total is close to exceeding the loan limits based on NSLDS Loan Limit Flags.	<b>257</b> Based upon data provided by the National Student Loan Data System (NSLDS) and your reported grade level, we have determined that you may have received a total amount of student loans that is close to or equal to the loan limits established for the federal loan programs. Therefore, your eligibility for additional student loans may be limited.	No resolution required.  On the CPS 01 transaction, loan limits may already be exceeded. However, the CPS would not detect it until NSLDS postscreening occurs. Schools must check aggregate amounts to determine if loans to the student are close to, equal to, or exceeding loan limits. If they are exceeding, see action needed for comment 256. If loan limits are close to or equal to the limits, caution should be used to ensure that the student does not exceed his or her loan limits with subsequent Title IV loans.

---

## Drug Abuse Hold

SARC Code/ Reject	Reason for Comment/ Results	Comment Number/Text	Action Needed
Reject 19	Applicant is placed on the Drug Abuse Hold file by the Department of Justice.	<b>009</b> We cannot process your FAFSA because of issues related to the Anti-Drug Abuse Act of 1988. To address these issues, you must contact us within 30 days from the date of this letter by telephone at 202-377-3243.	No resolution required. Only ED can resolve this issue.  Student is not eligible for aid.
	Applicant has been released from the Drug Abuse Hold file.	<b>055</b> Your denial of benefits under the Anti-Drug Abuse Act of 1988 has been resolved and processing of your FAFSA may continue.	No resolution required.

**Note:** No match flag values are associated with hold files. Hold files are maintained at the CPS and not at an outside matching agency.

## Drug Conviction Question #31

SARC Code/ Reject	Reason for Comment/ Results	Comment Number/Text	Action Needed
C code	Applicant left question 31 blank.	<b>053</b> You left Item 31 blank. Your failure to provide an answer to this question makes you ineligible to receive federal student aid. Either indicate that you have never been convicted of possessing or selling illegal drugs or use the enclosed worksheet to determine your answer to this question. In any case, you can correct this item by calling 1-800-4-FED-AID (1-800-433-3243) or by going to <a href="http://www.fafsa.ed.gov">www.fafsa.ed.gov</a> . You can also use your SAR. Please understand that a drug conviction does not necessarily disqualify you from receiving student aid.	Resolution required.  Applicant is not eligible for federal aid if this response is left blank. A correction to provide a response must be made by following the directions provided in the comment text.
C code	Applicant response to question 31 was "Yes (Part Year)."	<b>054</b> You reported a '2' in response to Item 31. This indicates that you are ineligible for federal student aid for part of the 2006-2007 school year. The period of ineligibility resulting from your drug-related conviction(s) ends on or after July 1, 2006. You should contact your Financial Aid Administrator after July 1, 2006 so that he or she can determine if you may receive federal funds during the 2006-2007 award year.	Resolution required.  Applicant is not eligible for federal aid until ineligibility period expires between July 1, 2006, and June 30, 2007.

## Drug Conviction Question #31 (continued)

SARC Code/Reject	Reason for Comment/Results	Comment Number/Text	Action Needed
C code	Applicant response to question 31 was "Yes" on a paper FAFSA.	<p><b>056</b> You reported in Item 31 that you have been convicted of an illegal drug offense. Use the enclosed worksheet to determine if your conviction(s) affect your eligibility for federal student aid. If you determine that your conviction(s) do not affect your eligibility for federal student aid, or affect it for only part of the 2006-2007 school year, you must correct Item 31 by using your SAR. You can also correct this item or get additional help with this question by calling 1-800-4-FED-AID (1-800-433-3243). <b>YOU ARE NOT ELIGIBLE FOR FEDERAL STUDENT AID WHILE YOUR ANSWER TO ITEM 31 IS '3, YES'.</b> Whether or not your conviction(s) affect your eligibility for federal student aid, you may still be eligible to receive state, school, or other non-federal student aid.</p>	<p>Resolution required.</p> <p>Applicant is not eligible for federal aid. If response is incorrect, applicant should follow directions in the comment text to make a change. Applicant should not be referred to any other phone numbers at the Department of Education for resolution.</p>
C code	Applicant response to question 31 was "Yes" on other than a paper FAFSA.	<p><b>058</b> You reported in Item 31 that you are not eligible for federal student aid as a result of a drug-related conviction, or that you do not know if your conviction(s) affect your eligibility. However, you may still be eligible to receive state, school, or other non-federal student aid. If you have answered this question incorrectly, you must correct Item 31 by using your SAR. You can also correct this item or get help with this question by calling 1-800-4-FED-AID (1-800-433-3243).</p>	<p>Resolution required.</p> <p>Applicant is not eligible for federal aid. If response is incorrect, applicant should follow directions in the comment text to make a change. Applicant should not be referred to any other phone numbers at the Department of Education for resolution.</p>
	Applicant changed response to question 31 from "Yes (Part Year)" or "Yes/Don't Know" to "No."	<p><b>052</b> Your answer to Item 31 has changed since you filed your initial FAFSA.</p>	<p>No resolution required.</p>

## Verification Selection Edits

SARC Code/ Reject	Reason for Comment/ Results	Comment Number/Text	Action Needed
	Applicant was selected for Verification.	<p>If dependent:  <b>170</b> Your FAFSA has been selected for review in a process called verification. Your school has the authority to request copies of certain financial documents from you and your parent(s).</p> <p>If independent:  <b>171</b> Your FAFSA has been selected for review in a process called verification. Your school has the authority to request copies of certain financial documents from you (and your spouse).</p>	<p>Resolution required.</p> <p>If requested to do so by the school, student must complete verification and submit all necessary documentation within the necessary time frames.</p> <p>See <i>The Verification Guide</i> for information that is more detailed.</p>

For 2006-2007, we use a Verification Tracking Flag range of 0001 to 0999. The higher the Verification Tracking Flag number, the higher the priority for selection. Blank is valid for those records without an EFC or system-generated transactions. Even though a record might have a Verification Tracking Flag, it does not necessarily mean that the student will be selected for verification by the federal processor. This is because Federal Student Aid keeps the number of records selected to around the 30 percent maximum level.

---

## 2006-2007 Comments that Generate the C-Flag on SARs and ISIRs

\*Comments that generate the C-Flag grouped together:

10, 20, 30, 33, 38, 39, 41, 42, 43, 46, 53, 54, 56, 57, 58, 63, 64, 65, 66, 67, 77, 79, 86, 90, 100, 101, 102, 105, 107, 109, 115, 116, 124, 132, 133, 134, 135, 136, 138, 141, 142, 144, 146, 162, 173, 180, 254, 256, and 260.

Comments that generate the C-Flag broken out into the areas that the comments are associated with:

- Selective Service Match  
30, 33, and 57
- DHS Match  
46, 105, 109, 141, 142, and 144
- Social Security Administration Citizenship Status  
146
- Student's Social Security Number Match  
63 and 64
- Veterans Affairs Status Match  
162, 173, and 180
- NSLDS  
10, 20, 38, 39, 41, 42, 43, 65, 66, 67, 77, 79, 86, 90, 100, 101, 102, 107, 115, 116, 124, 132, 133, 134, 135, 136, 138, 254, 256, and 260
- Responses to Question 31/Drug Conviction  
53, 54, 56, and 58

\* **Note:** In some extremely isolated cases, the C-Flag will be provided on an ISIR/SAR without a corresponding comment. This would only happen if the applicant receives an excessive number of comments (including C-Flag comments) and some of the comments have to be suppressed so that a SAR/ISIR can be generated.

# APPENDIX C - LOAN STATUS CODES AND ELIGIBILITY CHARTS

---

## Overview

Appendix C describes the loan status codes, Federal Direct Loan servicer codes, selected servicer codes, guaranty agency codes, selected lenders, and contact information/fields associated with loan status.

The Loan Status Codes table on the following pages contains a column titled “Group (ISIR sort order).” This column describes the order that these loans are sorted and displayed on the ISIR with regard to their current loan status and are categorized in the following different groups:

- Group 1 includes loans in a defaulted or deceased status making the student ineligible for aid.
- Group 2 includes loans where a school may have to take action; this includes loans in an abandoned, disability, active bankruptcy, or temporary uninsured status.
- Group 3 are loans in an open status not included in groups 1 and 2.
- Group 4 includes closed loans (those not included in groups 1, 2, and 3).

## Loan Status Codes

Code	Status	Eligibility for Title IV	Group (ISIR sort order)
AL	Abandoned Loan	Yes	2
BC	No Prior Default, Bankruptcy Claim, Discharged	Yes, because loan was not in default and was discharged	4
BK	No Prior Default, Bankruptcy Claim, Active	Yes, because loan was not in default	2
CA	Cancelled (Perkins = Loan Reversal)	Yes	4
CS	Closed School Discharge	Yes	4
DA	Deferred	Yes	3
DB	Defaulted, then Bankrupt, Active. (Perkins: all bankruptcies. FFELP and Direct Loans: Chapter 13)	No, unless debtor can show that loan is dischargeable. See Dear Colleague letter GEN-95-40, dated September 1995.	1
DC	Defaulted, Compromise	Yes, because compromise is recognized as payment in full	4
DD	Defaulted, then Died	No, because if borrower is reapplying, then loan status is in error	1
DE	Death	No, because if borrower is reapplying, then loan status is in error	1
DF	Defaulted, Unresolved	No	1
DI	Disability	Yes	2

## Loan Status Codes (Continued)

Code	Status	Eligibility for Title IV	Group (ISIR sort order)
DK	Defaulted, then Bankrupt, Discharged. (Perkins: all bankruptcies. FFELP and Direct Loans: Chapter 13)	Yes, because defaulted loan has been totally discharged	4
DL	Defaulted, in Litigation	No	1
DN	Defaulted, then Paid in Full through Consolidation Loan	Yes	4
DO	Defaulted, then Bankrupt, Active, other. For FFELP and Direct Loans in Chapters 7, 11, and 12	No, unless debtor can show that loan is dischargeable. See Dear Colleague letter GEN-95-40, dated September 1995.	1
DP	Defaulted, then Paid in Full	Yes, because loan was paid in full	4
DR	Defaulted Loan Included in Roll-up Loan	Yes, because the loan was combined with other loans and subrogated to the Department of Education, which reported the same information to NSLDS in one loan. The status of that record will determine eligibility.	4
DS	Defaulted, then Disabled	Yes, because loan debt is canceled	2
DT	Defaulted, Collection Terminated	No	1
DU	Defaulted, Unresolved	No	1
DW	Defaulted, Write-Off	No	1
DX	Defaulted, Satisfactory Arrangements, and Six Consecutive Payments	Yes, assuming student continues to comply with repayment plan on defaulted loan or is granted forbearance by the GA	3
DZ	Defaulted, Six Consecutive Payments, then Missed Payment	No, loan is back in active default status	1

## Loan Status Codes (Continued)

Code	Status	Eligibility for Title IV	Group (ISIR sort order)
FB	Forbearance	Yes	3
FC	False Certification Discharge	Yes	4
IA	Loan Originated	Yes	3
IG	In Grace Period	Yes	3
ID	In School or Grace Period	Yes	3
IM	In Military Grace	Yes	3
IP	In Post-Deferment Grace (Perkins only)	Yes	3
OD	Defaulted, then Bankrupt, Discharged, other. For FFELP and Direct Loans in Chapters 7, 11, and 12	Yes, because defaulted loan has been totally discharged	4
PC	Paid in Full through Consolidation Loan	Yes. Does not matter whether consolidation loan was through FFELP or Direct Loan or whether underlying loans were in default	4
PF	Paid in Full	Yes	4
PM	Presumed Paid in Full	Yes	4
PN	Non-defaulted, Paid in Full through Consolidation Loan	Yes	4
RF	Refinanced	Yes, because defaulted loans cannot be refinanced	4
RP	In Repayment	Yes	3
UI	Uninsured/Unreinsured	Yes, does not matter if loan was in default	2
UA	Temporarily Uninsured – No Default Claim Requested	Yes	2

## Loan Status Codes (Continued)

Code	Status	Eligibility for Title IV	Group (ISIR sort order)
UB	Temporarily Uninsured – Default Claim Denied	Yes, because the loan is not a federal loan while temporarily uninsured	2
UC	FFEL: Permanently Uninsured/Unreinsured – Non-Defaulted Loan Perkins: Non-Defaulted Loan Purchased by School	Yes	4
UD	FFEL: Permanently Uninsured/Unreinsured – Defaulted Loan Perkins: Defaulted Loan Purchased by School	Yes, because the loan is no longer a federal loan	4
XD	Defaulted, Satisfactory Arrangements, and Six Consecutive Payments	Yes, assuming student continues to comply with repayment plan on defaulted loan or is granted forbearance by the GA	3

---

## Federal Direct Loan Servicer

<b>Code</b>	<b>Name</b>	<b>Phone Number</b>
SV0101	Direct Loan Servicing Center, Utica, NY	800-848-0979

---

## Selected Servicers

<b>Code</b>	<b>Name</b>	<b>City</b>	<b>State</b>
700006	AFSA - Academic Financial Services Assoc	Long Beach	CA
700008	Southwest Student Services Corp	Mesa	AZ
700009	Bank of North Dakota	Bismarck	ND
700010	Bank One Columbus	Columbus	OH
700014	Brazos Higher Ed Authority	Austin	TX
700022	College Finance Assistance Corp	Deerfield	IL
700023	Colorado Student Loan Pgm	Denver	CO
700027	COSTEP	McAllen	TX
700030	Intuition Inc	Jacksonville	FL
700121	UNIPAC Service Corp	Denver	CO
700037	Education Loan Services Inc	Braintree	MA
700038	Educational Assistance Service Corp Inc	Aberdeen	SD
700041	Education Financial Services	Indianapolis	IN
700043	USA Group Loan Services Inc	Indianapolis	IN
700054	FISC	Lewiston	ME
700057	Great Lakes Higher Ed Corp	Madison	WI
700059	Georgia Student Finance Authority	Tucker	GA
700065	Iowa Higher Ed Loan Pgm	Des Moines	IA
700067	Illinois State Scholarship Commission	Deerfield	IL
700072	Kentucky Higher Ed Student Loan Corp	Louisville	KY
700077	Sallie Mae	Reston	VA
700081	Missouri Higher Ed Loan Authority	St. Louis	MO
700082	Maine Ed Service	Augusta	ME
700085	Massachusetts Higher Ed Assoc	Boston	MA
700086	Mitchell Sweet and Associates	Tempe	AZ
700088	Montana Guaranteed Student Loan Pgm	Helena	MT
700096	Nellie Mae	Braintree	MA
700097	New Jersey Dept of Higher Ed	Trenton	NJ
700098	New Mexico Ed Assistance Foundation	Albuquerque	NM

## Selected Servicers (Continued)

<b>Code</b>	<b>Name</b>	<b>City</b>	<b>State</b>
700100	PHEAA	Harrisburg	PA
700101	Opportunity Plan Inc Panhandle	Canyon	TX
700106	South Carolina Student Loan Corp	Columbia	SC
700109	TGSLC Loan Servicing	Austin	TX
700112	Student Loan Fund of Idaho	Fruitland	ID
700113	Student Loan Funding Corp	Cincinnati	OH
700119	Trans World Insurance Co	Sacramento	CA
700120	USA Funds	Indianapolis	IN
700126	Utah State Board Of Regents	Salt Lake City	UT
700127	Vermont Secondary Loan Market	Winooski	VT
700133	Wyoming Student Loan Corp	Cheyenne	WY
700138	Student Information Service Center	Albany	NY
700139	Student Initiated Loan Servicing	Montgomery	AL
700143	Indiana Secondary Market	Indianapolis	IN
700147	Lender Service Pgm	Madison	WI
700165	Credit Union Processing	Battle Creek	MI
700172	Student Assistance Foundation of MT	Helena	MT
700181	Student Loan Servicing Center	Minneapolis	MN
700194	SUNTECH Inc	Ridgeland	MS
700195	Academic Loan Resource	Fruitland	ID
700196	Iowa Student Loan Liquidity Corp	Des Moines	IA
700198	AFSA Data Corp	Utica	NY
700200	Greater East Texas Servicing Corp	Bryan	TX
700202	Wells Fargo Ed Financial Service	Sioux Falls	SD
700203	Educaid	Sacramento	CA
700204	Granite State Management & Resource	Concord	NH
700790	UNIPAC Service Corp	Lincoln	NE

---

## Guaranty Agencies

<b>Number</b>	<b>Short Name</b>	<b>Name</b>
555	DCS	Debt Collection Service Department of Education
575	DDP	The Conditional Disability Discharge Tracking System (CDDTS)
577	RDS	CDDTS Repayment Servicing
705	Arkansas	Student Loan Guaranty Foundation of Arkansas
706	California	EDFund of California
708	Colorado	College Access Network
709	Connecticut	Connecticut Student Loan Foundation
712	Florida	Florida Department of Education OSFA
713	Georgia	Georgia Higher Education Assistance Corporation
716	Idaho	Student Loan Fund of Idaho, Inc.
717	Illinois	Illinois Student Assistance Commission
719	Iowa	Iowa College Student Aid Commission
721	Kentucky	Kentucky Higher Education Assistance Authority
722	Louisiana	Louisiana Office of Student Financial Assistance
723	Maine	Maine Education Assistance Division
725	Massachusetts	American Student Assistance of Massachusetts
726	Michigan	Michigan Higher Education Assistance Authority
729	Missouri	Missouri Coordinating Board for Higher Education
730	Montana	Montana Guaranteed Student Loan Program
731	Nebraska	National Student Loan Program, Inc.
733	New Hampshire	New Hampshire Higher Education Assistance Foundation
734	New Jersey	New Jersey Higher Education Assistance Authority
735	New Mexico	New Mexico Student Loan Guarantee Corporation
736	New York	New York State Higher Education Services
737	North Carolina	North Carolina State Ed. Assistance Authority
738	North Dakota	Student Loans of North Dakota
740	Oklahoma	Oklahoma Guaranteed Student Loan Program
742	PHEAA	Pennsylvania Higher Education Assistance Agency
744	Rhode Island	Rhode Island Higher Education Assistance Authority
745	South Carolina	South Carolina State Ed Assistance Authority
746	South Dakota	Education Assistance Corporation-South Dakota
747	Tennessee	Tennessee Student Assistance Corporation
748	Texas	Texas Guaranteed Student Loan Corporation
749	Utah	Utah Higher Education Assistance Authority

## Guaranty Agencies (Continued)

<b>Number</b>	<b>Short Name</b>	<b>Name</b>
750	Vermont	Vermont Student Assistance Corporation
753	Washington	Northwest Education Loan Association-Washington
755	Great Lakes	Great Lakes Educational Loan Services, Incorporated-Wisconsin
800	USAF	United Student Aid Funds, Incorporated
927 / 951	ECMC	Education Credit Management Corporation

---

## Selected Lenders

<b>Code</b>	<b>Name</b>	<b>City</b>	<b>State</b>
803072	Amcore Bank NA	Rockford	IL
819928	AmSouth Bank NA	Birmingham	AL
828478	Associated Bank	Stevens Point	WI
800802	Bank of America	Los Angeles	CA
826548	Bank of Boston	Providence	RI
814392	Bank of Hawaii	Honolulu	HI
807581	Bank of New York	Newark	DE
816386	Bank of North Dakota	Bismarck	ND
809063	Bank of Oklahoma	Tulsa	OK
805156	Bank One	Columbus	OH
802933	Bank One	Columbus	OH
805069	Bank One	Columbus	OH
813697	BankBoston NA	Providence	RI
831857	Bankers Bank/EdSouth	Atlanta	GA
802340	Barnett First National Bank	Jacksonville	FL
805270	Bay Bank Harvard Trust	Cambridge	MA
805321	Bay Bank Norfolk County Trust Co	Dedham	MA
819414	Beneficial Savings Bank	Philadelphia	PA
826762	California Federal Bank FSB	Sacramento	CA
818508	Carteret Savings Bank	Parsippany	NJ
807745	Charter One Bank	Albany	NY
832142	Chase Bank as Trustee for SLFC	Cleveland	OH
830469	Chase Manhattan Bank	Garden City	NY
821623	CHELA Financial USA Inc	San Francisco	CA
826878	Citibank Student Loan Center	Pittsford	NY
804937	Citizens Fidelity Bank & Trust	Louisville	KY
809383	Clearfield Bank & Trust	Clearfield	PA
810148	CLS – National City Bank	Cleveland	OH
822660	Comerica Bank	Detroit	MI
813979	Commerce Bank	St Louis	MO
822973	Commercial National Bank In Shreveport	Shreveport	LA
802030	Connecticut Bank & Trust	Hartford	CT

## Selected Lenders (Continued)

Code	Name	City	State
802837	Continental Illinois National Bank	Chicago	IL
814119	CoreState NJ National Bank	Wilmington	DE
817846	Corus Bank	Deerfield	IL
809431	Dauphin Deposit Bank & Trust	Harrisburg	PA
829589	Dearborn Federal Credit Union	Dearborn	MI
815843	Dime Savings Bank of Norwich	Norwich	CT
822583	Dollar Bank	Pittsburgh	PA
824852	Eastern Bank	Lynn	MA
831453	Educational Funding of the South	Knoxville	TN
820872	ESB Bank	Ellwood City	PA
808780	Fifth Third Bank	Cincinnati	OH
810611	First American National Bank	Donelson	TN
822046	First Bank	St. Louis	MO
828374	First Independent Trust Company	Sacramento	CA
805353	First Mutual of Boston	Boston	MA
813651	First National Bank	Ames	IA
827311	First City Bank	New Orleans	LA
805829	First of America Bank	Kalamazoo	MI
810563	First Tennessee Bank	Maryville	TN
806078	Fifth Third Bank	Grand Rapids	MI
807542	First Union National Bank	Charlotte	NC
833191	First Union National Bank	North Brunswick	NJ
802366	First Union National Bank	Roanoke	VA
821835	First Virginia Bank	Falls Church	VA
802844	Firststar Bank Illinois	St. Paul	MN
804609	Firststar Bank, NA	Lawrence	KS
804031	Firstier Bank NA	Lincoln	NE
827204	Fleet National Bank	Utica	NY
831495	Fleet National Bank	Utica	NY
831173	Florida Federal Savings & Loan	Jacksonville	FL
831143	Florida Federal Savings Bank	St Petersburg	FL
830974	Foundation for Educational Funding	Lincoln	NE
807789	Goldome Bank	Amherst	NY
813544	Great American Federal Savings & Loan	Pittsburgh	PA

## Selected Lenders (Continued)

Code	Name	City	State
830485	Great Western Bank FSB	Chatsworth	CA
807973	Greater New York Savings Bank	Lake Success	NY
818426	Heritage Bank for Savings	Northampton	MA
827542	Heritage Community Bank	Riverdale	IL
805125	Hibernia National Bank	New Orleans	LA
804076	Hills Bank & Trust Company	Hills	IA
826481	Home Owners Savings Bank FSB	Burlington	MA
807989	Home Savings Bank	White Plains	NY
803094	Household Bank	Wood Dale	IL
808047	HSBC Bank USA	Buffalo	NY
821396	Hudson City Savings Bank	Paramus	NJ
822947	Iberia Savings Bank SSB	New Iberia	LA
829268	Imperial Federal Savings	San Diego	CA
831036	Independence FSB	Washington	DC
808173	Independence Savings Bank	Brooklyn	NY
808007	Jamaica Savings Bank	Lynbrook	NY
809675	Jersey Shore State Bank	Jersey Shore	PA
831848	Key Bank USA	Cleveland	OH
812205	LaSalle FSB	Chicago	IL
808036	M & T Bank Educational Lending	Buffalo	NY
803172	Marquette National Bank	Orland Park	IL
813476	MassBank	Lowell	MA
821024	Medford Savings Bank	Medford	MA
809747	Mellon Bank NA	Pittsburgh	PA
822892	Merchants National Bank	Leominster	MA
812731	Meridian Bank	North Brunswick	PA
824289	Michigan National Corp LLP	Farmington Hills	MI
827427	Mission Federal Credit Union	San Diego	CA
826269	NationsBank	Charlotte	NC
832006	NBD Bank	Flint	MI
806437	Northern State Bank	Thief River Falls	MN
824221	Northern Trust Bank	Chicago	IL
828141	Northwest Savings Bank	Warren	PA
803694	Old National Bank	Evansville	IN

## Selected Lenders (Continued)

Code	Name	City	State
829526	Onbank & Trust Co	Syracuse	NY
829525	Onondaga Savings Bank	Syracuse	NY
817588	Pathway Financial	Matteson	IL
812967	Penn Security Bank & Trust Co	Scranton	PA
820341	PFC Bank	Clarion	PA
822366	Pinnacle Bank of Papillion	Papillion	NE
826855	Pioneer Savings Bank	Troy	NY
809921	PNC Education Loan Center	Pittsburgh	PA
822691	Ponce Federal Bank FSB	Ponce	PR
805138	Premier Bank of Baton Rouge	Baton Rouge	LA
821288	Provident Savings Bank	Jersey City	NJ
800097	Regions Bank	Mobile	AL
828899	Reliance Savings Bank	Altoona	PA
808012	Republic National Bank of New York	Brooklyn	NY
828577	Rhode Island Student Loan Authority	Warwick	RI
808136	Richmond County Savings Bank	Staten Island	NY
815880	Savings Bank of Manchester	Manchester	CT
808680	Second National Bank of Warren	Warren	OH
823373	Service First Federal Credit Union	Sioux Falls	SD
811101	Signet Bank	Richmond	VA
815745	Simmons First National Bank	Pine Bluff	AR
800023	Southtrust Bank of Alabama	Birmingham	AL
809514	Southwest National Bank of Pennsylvania	Greensburg	PA
828707	Sovereign Bank New England	Providence	RI
820175	Standard Bank & Trust Co	Evergreen Park	IL
809081	Stillwater National Bank & Trust Co	Stillwater	OK
807642	Summit Bank	Dayton	NJ
802560	SunTrust Bank	Richmond	VA
811304	SunTrust Bank	Richmond	VA
822163	SunWest Bank of El Paso	El Paso	NM
826953	Texas Commerce Bank NA	Arlington	TX
827445	Third Federal Savings Bank	Newtown	PA
803282	Town & Country Bank of Springfield	Springfield	IL
813721	TrustMark National Bank	Jackson	MS

## **Selected Lenders (Continued)**

<b>Code</b>	<b>Name</b>	<b>City</b>	<b>State</b>
808543	Union Bank & Trust	Lincoln	NE
810166	Union National Bank & Trust Company	Souderton	PA
802968	Union Planters Bank	Des Moines	IA
815961	United Missouri Bank of Kansas City	Kansas City	MO
824772	Wachovia Bank	Winston-Salem	NC
820284	Waypoint Bank	York	PA
807176	Wells Fargo Ed Financial Service	Sioux Falls	SD
808471	Wells Fargo Education Financial	Sioux Falls	SD
822840	Wells Fargo Education Financial Svc	Pleasant Hill	CA
805187	Whitney National Bank	New Orleans	LA
802218	Wilmington Trust	Killeen	TX
817455	Zions First National Bank	Salt Lake City	UT

## Contact Information & Fields Associated with Loan Status

Loan	Contact	GA Code	School Code	Current Holder	Region Code
FFEL	Primary: Servicer or Lender (as applicable) Secondary: GA	Yes	OPE-ID	Servicer or Lender Code (as applicable)	Blank
FFEL, defaulted	GA	Yes	OPE-ID	000### (### is the GA Code)	Blank
FFEL, subrogated*	ED (See Region)	555 (ED)	OPE-ID	000555 (ED)	Yes
FFEL, CDDTS	ED	575 (ED)	OPE-ID	000575 (ED)	Blank
FFEL, CDDTS Repayment Servicing	ED	577 (ED)	OPE-ID	000577 (ED)	Blank
FDLP	Servicer	Blank	OPE-ID	Servicer Code	Blank
FDLP, Held by DCS	ED. (See Region)	555 (ED)	OPE-ID	000555 (ED)	Yes
FISL	Primary: Servicer (if applicable) Secondary: School (is primary contact if no servicer used)	Blank	OPE-ID	Servicer Code (if applicable), or blank	Blank
FISL, Held by DCS	ED (See Region)	555 (ED)	OPE-ID	000555 (ED)	Yes
Perkins	Primary: Servicer (if applicable) Secondary: School (is primary contact if no servicer used)	Blank	OPE-ID	Servicer Code (if applicable), or blank	Blank
Perkins, Held by DCS	ED (See Region)				
Perkins, CDDTS Repayment Servicing	ED	577 (ED)	OPE-ID	000577 (ED)	Blank

\*Subrogated = Held by Debt Collection Service (DCS).

**Note:** The School Code field always shows the OPE-ID of the school the student attended when the loan was received.

# APPENDIX D – SAR AND SAR ACKNOWLEDGEMENT

---

## Overview

For each transaction processed at the Central Processing System (CPS), an output document is sent to the applicant. This will be a paper Student Aid Report (SAR), SAR Acknowledgement, or an E-mail Notification of SAR Processing with information to access SAR data on the Web. The type of document sent is determined by the type of record that was submitted by the applicant as follows:

<b>Record Submitted</b>	<b>Output</b>
Paper FAFSA or SAR	SAR
FAFSA on the Web, Renewal FAFSA on the Web, or Correction on the Web	SAR Acknowledgement
FAFSA on the Web, Renewal FAFSA on the Web, or Correction on the Web without signatures	SAR
FAA Access to CPS Online Application or Correction	SAR Acknowledgement

Students who meet the following criteria will receive an e-mail to access the SAR on the Web instead of the paper SAR or paper SAR Acknowledgement:

- E-mail address exists and has valid syntax,
- SSA match equals 4.

We print and mail a paper SAR to students with undeliverable e-mail addresses and to those whose E-mail Notifications of SAR Processing were returned to us.

The SAR serves two basic purposes:

1. Notifies the student of application and eligibility status
2. Provides a means for correcting or verifying applicant data

The SAR Acknowledgement is designed to notify the student of application and eligibility status. If corrections are needed, the student will use Corrections on the Web or contact the school to submit them through FAA Access to CPS Online or a mainframe system.

The E-mail Notification of SAR Processing is designed to notify the student of application and eligibility status more quickly than either the paper SAR or the SAR Acknowledgement. It enables the student to view the SAR online and to print the online SAR document as often as needed. It also links the student directly to Corrections on the Web for correcting or verifying the applicant data.

---

# Paper SAR

The paper SAR follows the order of the questions as they are presented on the paper FAFSA. All SARs will be eight-page documents. The first four pages are in a letter format and include the comment text, NSLDS information, and FAA information. The last four pages display the question responses reported by the student and provide space for making corrections. Each page of the SAR is described in detail below. In addition, to match the color scheme of the paper FAFSA, the 2006-2007 SAR is printed on blue paper.

A draft copy of the 2006-2007 SAR can be downloaded from the IFAP Web site at [ifap.ed.gov/sarmaterials/0607SARMockUp.html](http://ifap.ed.gov/sarmaterials/0607SARMockUp.html).

## SAR–Page 1

The number appearing above the student’s name and address is a tracking number for the FAFSA processor and the CPS and is not used for any institutional purpose.

Printed to the right of the student’s name is the date the record was processed at the CPS. Below the processed date is the student’s Expected Family Contribution (EFC). The EFC printed on the front page of the SAR is the primary EFC, calculated for a nine-month academic year. An asterisk printed next to the EFC indicates that the student was selected for verification by the CPS. A “C” printed next to the EFC means the student has an eligibility problem that must be resolved before Title IV aid can be disbursed to the student.

The body of the letter on page 1 of the SAR is designed to help the applicant understand where he or she is in the financial aid application process as well as what roles are played in the process by the student, the Department of Education, and the school. The page is graphically displayed in three sections as follows.

- *You (the Student)*

This section describes the basic steps to be completed by the student in the application process.

The text for step 1 will vary based on whether the record is an application or a correction.

The text for step 2 will vary based on whether an EFC was calculated or the record was rejected. If an EFC was calculated, the text will read, “Now you should check your SAR information. If it is correct, you do not need to return it to us.” or “Now you should check your SAR information and resolve the issues described on page 3.” If the record was rejected, the text will read, “Now you need to check your SAR information and make the necessary corrections.” or “Now you need to check your SAR information and resolve the issues described on page 3.”

The text for step 3 will vary depending on whether the record has been selected by the CPS for verification. If so, the text will read, “Your school has the authority to request copies of certain financial documents to verify information you reported on your application.” If the record is not selected, the text will read, “You will need to verify your information if asked to do so by your school.”

- *U.S. Department of Education*

In this section, the role of the U.S. Department of Education is summarized and the results of processing the record are indicated. The text for each of the three steps will be the same on all SARs. However, the text printed under the steps will vary based on processing results. If an EFC has been calculated, the following text will be printed: “Based on the information you have submitted, we have used the standard formula to calculate your EFC, which is XXXXX. Your school will use this number to determine what types of aid and how much you are eligible for based on your educational costs.” The student’s calculated EFC number will be inserted into this text. If the record has been rejected, the following text will be printed: “You must give us more information before we can calculate your EFC.”

- *School(s)*

The final section describes the actions taken by the school. The text printed for steps 1 and 3 will be the same on all SARs. The text for step 2 will vary depending on whether the record has been selected by the CPS for verification. If so, the text will read, “Your school has the authority to ask you to verify your information.” If the record is not selected, the text will read, “Your school(s) may ask you to verify your information.”

The student’s four-digit Data Release Number (DRN) appears in the bottom left-hand corner. The DRN can be used by schools to access the student’s electronic application record if they were not originally listed on the student’s application or SAR. In addition, the student can use the DRN to make a telephoned request for corrections through the Federal Student Aid Information Center at 800/4FED AID (800/433-3243) or TDD/TTY 800/730-8913. The following types of changes can be made:

- Correct data entry errors made by the FAFSA processor
- Update the response to FAFSA question 31 (drug question)
- Request a change of address, e-mail address, telephone number, or institution
- Update the assumption overrides.

On the SAR, two strings of numbers appear at the bottom of every page. The left-hand numbers are processing codes used by the FAFSA processor and the CPS for tracking and quality control purposes. The right-hand numbers are the student identifiers: the original Social Security Number (SSN), the first two letters of the student's original last name, and the transaction number.

**Note:** If a student changes his or her SSN or name in the CPS, the original SSN and first two letters of the original last name will continue to be used as the student ID. The SAR fields that show the last name and the SSN, fields 1 and 8, will carry the corrected name and SSN. However, the student should use the original SSN and name as identifiers on correspondence. If the student applies in the following award year using the correct name and SSN, the records for that year will carry the correct identifiers.

## **SAR–Page 2**

The top half of page 2 contains required information from the Office of Management and Budget regarding the:

- Paperwork Reduction Act,
- Information Release to Schools, and
- Drug convictions affecting aid eligibility.

The For Financial Aid Office Use Only section on the SAR appears on the bottom half of page 2. Descriptive labels are intended to help the FAA identify reject codes, match flags, intermediate values, and so forth. This data is similar to the FAA information provided on the ISIR, which is described in detail earlier in this guide.

**Important Note:** The comment codes listed on this part of the SAR are not all comments generated for this student. Only the comment codes that do not have a corresponding flag or other indicators already provided in the FAA information section are printed in this section.

## **SAR–Page 3**

On page 3, the specific comments that have been set during processing of the record at the CPS will be printed. These identify problem areas, such as missing information or eligibility problems, as well as give the student more detailed information and directions for getting additional help if needed.

## **SAR–Page 4**

The top of the page displays financial aid history information for the student. This is a subset of the information received from NSLDS, which is included on the ISIR record and described earlier in this guide. The information printed on the SAR includes the Aggregate Loan information for FFEL, Direct, and Perkins loans.

The bottom of page 4 displays the codes for responding to Question 23, Type of Degree/Certificate.

## **SAR–Pages 5-8**

The remaining four pages of the SAR display the information that was reported by the applicant for each question on the FAFSA/SAR. The SAR is also a vehicle for corrections in the paper system. A shortened version of each numbered item corresponding to a question on the FAFSA is displayed. Printed beneath the numbered item is the student’s response to that question. Below or to the right of each question response are boxes or ovals that resemble the answer fields on the FAFSA and that the student may use to indicate or write in a corrected answer, if necessary.

Items that are highlighted (printed in boldface type) require special attention. Highlighting means the CPS identified the responses as questionable or inconsistent with other answers. The CPS may assume answers to certain questions by comparing them with other information on the application. Answers might be assumed when the question is left blank, or a positive number might be assumed when the answer to an income question is given as a negative number.

When the CPS assumes an answer, both the value reported by the applicant and the assumed value are printed on the SAR. The word “ASSUMED” will appear in parentheses with the assumed value. Assumed values are used by the CPS in performing the calculation and should always be reviewed carefully. If the assumed values are correct, the student does not need to change them on the SAR.

The student can always correct other items, if necessary, whether or not they are highlighted.

When an applicant receives a reject, the resulting SAR will not have a calculated EFC. The SAR requires the student to correct information and return pages 5 through 8 of the SAR to the FAFSA processor for reprocessing.

When an item is questioned by the CPS, it too will be highlighted (printed in bold type) and an arrow will print in the column pointing to the response field for the questioned item. This indicates that the student must provide a new answer because the original response was blank or illegible, and the item is necessary to perform an EFC calculation. The student can also correct other items, if necessary, even if they are not highlighted.

At the top of page 5 are instructions to the student explaining the meaning of the arrows and the proper way to fill in an oval or to correct, verify, or delete an answer. To verify a previously reported answer, the student must rewrite the same value in the answer field and return the SAR.

Sometimes students are caught in a reject “loop” because they do not respond to all of the highlighted and arrowed items to turn off the reject or because they provide new information that the edit check still considers inconsistent or questionable.

Refer to the Corrections and Updates section of this guide for more information on using the SAR to make corrections.

---

# SAR Acknowledgement

The SAR Acknowledgement will continue to be a two-page document. The intent of this document is to allow a student to review processing results when the information was input electronically by the school or by the student using the Web. Because this type of SAR is a paper report to the student, but is also an eligibility document like the correctable SAR and the ISIR, it contains information for both student and FAA.

## SAR Acknowledgement–Page 1

Page 1 of the SAR Acknowledgement is in a letter format addressed to the applicant. The format of this page looks similar to the SAR letterhead. The top left of the page prints the ED seal, followed by the U.S. Department of Education letterhead, which includes the Federal Student Aid Information Center telephone number and the FAFSA on the Web internet address. The box at the top right shows the Office of Management and Budget (OMB) form-clearance number and the expiration date.

Beneath the headings appear the student's name, address, and the date the CPS processed the transaction. The number appearing above the student's name and address is a tracking number for the FAFSA processor and the CPS and it is not used for any institutional purpose. Below the processed date is the student's EFC. The student ID is at the bottom of the page.

The SAR Acknowledgement comments are fewer and less detailed and generally refer the student to the FAA for assistance in making corrections or resolving problem issues.

## SAR Acknowledgement–Page 2

The back of page one displays in condensed form every numbered question from the FAFSA and prints the answer the student gave to that question or the value the CPS assumed.

At the bottom of the page, a section headed "For Financial Aid Office Use Only" includes information and codes for FAAs. We have included here all the important match flags showing results of eligibility matches, as well as other information that an FAA would need when using the SAR Acknowledgement as an eligibility document.

**Note:** All comment codes generated for the student's ISIR are printed here regardless of whether an associated match flag or other indicator is also provided.

The comment text printed on the front page is a basic set of comments directed to the student, but the comment codes listed at the bottom of the section include all SAR comments that were generated for this record.

# APPENDIX E – SAR COMMENT CODES AND TEXT

---

## Comment Codes

For a complete listing of SAR comment codes, see the companion document to the *2006-2007 EDE Technical Reference* entitled *2006-2007 SAR Comment Codes and Text*. These documents can be found at [fsdownload.ed.gov](http://fsdownload.ed.gov) as well as [ifap.ed.gov](http://ifap.ed.gov).

# APPENDIX F – ADDRESSES

## **FAFSA Processor**

Submit FAFSA  
(Including Pacific Islanders) Federal Student Aid Programs  
P.O. Box 4691  
Mt. Vernon, IL 62864-0059

Spanish FAFSA Federal Student Aid Programs  
P.O. Box 4693  
Mt. Vernon, IL 62864-0063

Submit SAR (with corrections) Federal Student Aid Programs  
P.O. Box 4694  
Mt. Vernon, IL 62864-0064

Change of Address Letter Federal Student Aid Programs  
P.O. Box 4695  
Mt. Vernon, IL 62864-0065

## **Submit Signature Pages**

FAFSA on the Web Federal Student Aid Programs  
Renewal FAFSA on the Web P.O. Box 4696  
Corrections on the Web Mt. Vernon, IL 62864-0066  
Spanish FAFSA on the Web

## Department of Education Information Services

For a complete listing of all Federal Student Aid sources of assistance, go to the FSAdownload Web site located at [fsadownload.ed.gov](http://fsadownload.ed.gov) to download the *Sources of Assistance for Schools* guide.

### Federal Student Aid Information Center

#### Telephone Services

800/4-FED AID (800/433-3243)

800/730-8913 TDD/TTY for hearing impaired

319/337-5665 for overseas callers

- Questions on applying for aid
- Whether schools participate in federal aid programs
- Student eligibility
- Provide students with the name and contact information for the holder(s) of their loan(s)
- Request publications on federal student aid

#### Write to:

Federal Student Aid Information Center

P.O. Box 84

Washington, D.C. 20044-0084

- Correct data entry errors made by FAFSA processor
- Assistance with answering specific application questions
- Has application been processed?
- Request SAR
- Change of address, telephone number, response to FAFSA drug question, e-mail address, or assumption overrides

#### FAFSA on the Web Customer Service

800/4-FED-AID (800/433-3243)

- Technical Assistance
- Modem and printer set-up
- Web browser set-up and downloading
- Connectivity and access

#### FSA Customer Service Call Center

800/433-7327

E-mail: [fsa.customer.support@ed.gov](mailto:fsa.customer.support@ed.gov)

Fax: 202/275-5532

- Title IV policy questions
- Help contacting ED staff
- Application processing questions

# APPENDIX G – SAMPLE ISIR

---

## Overview

This section contains a sample of the ISIR that is printed using the EDEExpress software. You are not required to print your Institutional Student Information Record (ISIR) output documents, in this or any other format. If you do choose to print ISIRs, you can also choose to print additional information on the ISIR. Refer to the *2006-2007 EDE Technical Reference*, section 5, Printing for more details.

---

# Sample ISIR

## 2006-2007 Institutional Student Information Record

\*\*\*\*\*  
\* IMPORTANT: Read ALL information to find out what to do with this Report. \*  
\*\*\*\*\*

ELLA SANDERSON  
2526 TIMBER RIDGE DRIVE  
APPLETON CITY CA 20784

OMB Number: 1845-0008  
FEBRUARY 02, 2006

EFC 1499

149  
Based on the information we have on record for you, your EFC is 1499. You may be eligible to receive a Federal Pell Grant and other federal student aid. Your school will use your EFC to determine your financial aid eligibility for federal grants, loans, and work study, and possible funding from your state and school.

006  
If you need to make corrections to your information, you may either make them online at [www.fafsa.ed.gov](http://www.fafsa.ed.gov), or by using this SAR. You must use your PIN to access your record online. If you need additional help with your SAR, contact your school Financial Aid Administrator or the Federal Student Aid Information Center at 1-800-4-FED-AID (1-800-433-3243). If your mailing address or e-mail address changes, you can make the correction online, send in the correction on your SAR, or call 1-800-4-FED-AID and ask a customer service representative to make the change for you.

2006-2007 Institutional Student Information Record

Student ID	112-34-3606 SA 01	EFC	1499
STEP ONE (THE STUDENT) (Q1 - Q31)		Dependency Status	I
Name	ELLA SANDERSON		
Address:	2526 TIMBER RIDGE DRIVE APPLETON CITY VA 23784	STEP THREE (THE STUDENT) (Q48 - Q54)	
Social Security Number	112-34-3606	Born Before 1-1-1983?	NO
Date of Birth	12/21/1981	Working on Master's/Doctorate Program?	NO
Permanent Home Phone #		Is Student Married?	NO
Driver's License #	NONE	Have Children You Support?	YES
Citizenship Status	U.S. CITIZEN	Dependents Other Than Children/Spouse?	YES
Alien Registration Number		Orphan or Ward of the Court?	NO
E-mail Address		Veteran of U.S. Armed Forces?	NO
Marital Status	UNMARRIED	STEP FOUR (PARENTS) (Q55 - Q83)	
Marital Status Date		Marital Status	
State of Legal Residence	VA	Marital Status Date	
Legal Resident before 1-1-2001?	NO	Father's/Stepfather's SSN	
Legal Residence Date	11/2002	Father's Last Name	
Are You Male?	NO	Father's First Initial	
Register for Selective Service?		Father's Date of Birth	
Degree/Certificate	ASSOC. TECHNICAL	Mother's/Stepmother's SSN	
Grade Level in College	1st YR ATT PREV	Mother's Last Name	
HS Diploma or GED Received?	YES	Mother's First Initial	
First Bachelor's Degree by 7-1-2006?	NO	Mother's Date of Birth	
Interested in Student Loans?		Number of Family Members	
Interested in Student Employment?		Number in College	
Father's Educational Level	UNKNOWN	State of Legal Residence	
Mother's Educational Level	HIGH SCHOOL	Legal Residents before 1-1-2001?	
Drug Conv Affecting Elig?	NO	Legal Residence Date	
		E-mail Address	
STEP TWO (STUDENT & SPOUSE) (Q32 - Q47)		Tax Return Filed?	
Tax Return Filed?	COMPLETED	Type of 2005 Tax Return Used	
Type of 2005 Tax Return Used	1040A/EZ	Eligible to File 1040A or 1040EZ?	
Eligible to File 1040A or 1040EZ?		Adjusted Gross Income	
Adjusted Gross Income	29382	U.S. Income Tax Paid	
U.S. Income Tax Paid	164	Exemptions Claimed	
Exemptions Claimed	03	Father's Income Earned From Work	
Student's Income Earned From Work	29382	Mother's Income Earned From Work	
Spouse's Income Earned From Work		Total from Worksheet A	
Total from Worksheet A	909	Total from Worksheet B	
Total from Worksheet B	1200	Total from Worksheet C	
Total from Worksheet C	0	Cash, Savings, and Checking	
Cash, Savings, and Checking	0	Net Worth of Investments	
Net Worth of Investments	0	Net Worth of Business/Farm	
Net Worth of Business/Farm	0	STEP FIVE (STUDENT HH) (Q84 - Q85)	
# of Months VA Benefits Received	0	Number Family Members	
Monthly VA Education Benefits	0	Number in College	

\*=assumption h=highlight flag #=corrected this trans @=corrected previous trans  
Page 2 of 5

2006-2007 Institutional Student Information Record

Student ID 112-34-3606 SA 01 EFC 1499  
 Last Name SANDERSON  
 STEP SIX (Q86 - Q98)  
 School #1 001002 Housing #1 OFF CAMPUS  
 School #2 Housing #2  
 School #3 Housing #3  
 School #4 Housing #4  
 School #5 Housing #5 OFFICE INFORMATION  
 School #6 Housing #6 Primary EFC Type 6  
 Enrollment Status FULL TIME Secondary EFC Type 3  
 Processed Date 02/02/2006  
 STEP SEVEN (Q99 - Q103)  
 Date Application Completed 02/01/2006 Transaction Data Source/Type: ELECTRONIC APPLICATION  
 Signed By APPLICANT Source of Correction  
 Preparer's SSN Federal School Code Indicator 001002  
 Preparer's EIN Reject Override Codes:  
 Preparer's Signature A: B: C: G: J: K: N: W: 3: 12:  
 Assumption Override Codes:  
 1: 2: 3: 4: 5: 6:

FAA Information CPS Pushed ISIR Flag YES  
 Date ISIR Received 02/02/2006 Rejects Met:  
 Verification Flag N Verification Tracking Flag 1730  
 FAA Adjustment Dependency Override  
 Transaction Receipt Date 02/02/2006 ETI 0  
 Reprocessing Code Correction # Applied To  
 Processed Record Type Application Receipt Date 02/02/2006

Pell Elig Flag Y Intermediate Values  
 Primary EFC 1499 Secondary EFC  
 Mon 1 167 Mon 7 1169 Mon 1 Mon 7 TI 31491 PCA  
 Mon 2 334 Mon 8 1336 Mon 2 Mon 8 ATI 24676 AAI  
 Mon 3 501 Mon 10 1499 Mon 3 Mon 10 STX 2204 TSC 1499  
 Mon 4 668 Mon 11 1499 Mon 4 Mon 11 EA 3000 TPC  
 Mon 5 835 Mon 12 1499 Mon 5 Mon 12 IPA 17060 PC  
 Mon 6 1002 Mon 6 CAI 6815 STI  
 DNW SATI  
 NW SIC  
 APA SDNW  
 SCA  
 FTI 31491

Auto Zero EFC Flag Rejected Status Change Flag Duplicate SSN Flag  
 EFC Change Flag NO CHANGE Verification Selection Flag Address Only Correction  
 SNT Flag YES SAR C Change Flag

Match Flags: SSN 4 SSA A DHS SS NSLDS 1 VA DHS Sec. Conf. Father SSN 8  
 DHS Verification # SS Registration Flag Mother SSN 8  
 NSLDS Transaction Number 1 NSLDS Database Results Flag 1

Comments: 149,006

\*=assumption h=highlight flag #-corrected this trans @=corrected previous trans

2006-2007 Institutional Student Information Record

ELLA SANDERSON  
112-34-3606

2006-2007 NSLDS FINANCIAL AID HISTORY

Processed: 02/02/2006

This page contains your previous financial aid information, which is contained in the National Student Loan Data System (NSLDS). Your Financial Aid Administrator will use it to determine your eligibility.

\*\*\*\*\*

Overpayment: Contact: Discharged Defaulted Loan Sat. Active Post Screening  
Loan Flag:N Loans:N Repayment:N Bankruptcy:N Reason:

Pell: N N/A  
FSEOG: N N/A  
Perkins: N N/A

\*\*\*\*\*

Aggregate Amounts:	Outstanding	Pending	Total:
FFEL/Direct Loans:	Prin. Bal.:	Disb(s):	
Subsidized Loans:	\$ 1,312	\$ 1,313	\$ 2,625
Unsubsidized Loans:	\$ 2,000	\$ 2,000	\$ 4,000
Combined Loans:	\$ 3,312	\$ 3,313	\$ 6,625
Unallocated Consolidation Loans:	\$ N/A		\$

Perkins Loans:  
Outstanding Principal Bal.: \$ N/A Current Year Loan Amount: \$ N/A

\*\*\*\*\*

Pell Payment Data:

Sch.Code:	Tran:	Sch.Amt:	Award Amt:	Disb.Amt:
%Sch.Used:	As Of:	Pell Verification Flag:	EFC:	
Sch.Code:	Tran:	Sch.Amt:	Award Amt:	Disb.Amt:
%Sch.Used:	As Of:	Pell Verification Flag:	EFC:	
Sch.Code:	Tran:	Sch.Amt:	Award Amt:	Disb.Amt:
%Sch.Used:	As Of:	Pell Verification Flag:	EFC:	

\*\*\*\*\*

Loan Detail:	Net Loan	Begin	End	GA	School	Grade	Contact
	Amount	Date	Date	Code	Code	Level	Code/Type
	Additional	Loan	Capitalized	Interest	Date of	Last Disb	Last Disb
	Unsub	Type					
FFEL Stafford Unsubsidized	\$ 4,000	01/20/2005	08/22/2005	951	02334400	1	831453/LEN
	Neither	Recent		NO		02/17/2005	\$2000

Status Code IA as of 01/14/2004

Outstanding Bal. \$2,000 as of 02/17/2004

FFEL Stafford Subsidized	\$ 2,625	01/20/2005	08/22/2005	951	02334400	1	831453/LEN
	Neither	Recent		NO		02/17/2005	\$1312

Status Code IA as of 01/14/2005

Outstanding Bal. \$1,312 as of 02/17/2005

FFEL Stafford Subsidized	\$ 362	02/01/1999	09/01/1999	742	02063400	1	700141/LNS
	Neither	Recent		NO		03/01/1999	\$362

Status Code DP as of 05/01/2003

Outstanding Bal. \$0 as of 05/01/2003

MPN/Loan Limit Information

Direct Loan Subsidized/Unsubsidized MPN: No MPN on File  
Direct Loan PLUS MPN: No MPN on File  
Subsidized Loan Limit Flag: Not near limit  
Combined Loan Limit Flag: Not near limit



# APPENDIX H – Worksheet for Question 31

---

## Overview

The format of the “Worksheet for Question 31” has not changed from the prior cycle. We have eliminated the Renewal FAFSA version of this worksheet. The Worksheet for Question 31 will be available on the IFAP Web site (located at [ifap.ed.gov](http://ifap.ed.gov)).