

# FAT'S ARE HISTORY: Transfer Monitoring is Live!

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# Agenda

- Financial Aid History - Policy Breakthroughs
- New Transfer Monitoring Process
  - Inform
  - Monitor
  - Alert
- School Transfer Profile
- Future Enhancements
- Questions and Discussion



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- **Financial Aid History - Policy Breakthroughs**
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# Financial Aid History Policy Development

- GEN-96-13 - July 1996 - May use NSLDS except for mid-year transfers
- GEN-00-12 - July 2000 - May use NSLDS for all applicants including mid-year transfers
- GEN-01-09 - July 2001 - Must use NSLDS for mid-year transfers using new Transfer Monitoring Process

FATs are History!!!



# Transfer Monitoring Process

## Thank you!

- Negotiated rule making team
- FOCUS groups
  - NASFAA 2000
  - August 2000
  - MASFAA 2000
- EAC conferences
- Direct Loan Conference



# Transfer Monitoring Process Objectives

- Reduce school workload to meet regulatory requirements
- School informs NSLDS of students to be monitored (inform)
- NSLDS monitors data received and determines who to notify (monitor)
- NSLDS alerts new school of relevant changes (alert)
- Distribute results only to new school
- Provide convenient input and output options



# Financial Aid History

## General Policies

- Use NSLDS for all applicants (excluding HHS)
- Must inform NSLDS of mid-year transfer students
- May rely on NSLDS information in making student eligibility and award determinations
- Not required to respond to requests for FATs
- Assist colleagues by responding to inquiries
- Prescreening and postscreening continue to report on defaults, overpayments and aggregates



# Transfer Monitoring Process

## School Responsibilities

- Inform NSLDS of its mid-year transfers
- Wait at least 7 days before disbursing aid unless
  - Check [NSLDSFAP.ed.gov](http://NSLDSFAP.ed.gov); or
  - Receive an Alert before 7 days expire
- Access alerts sent to school
- Review student eligibility
- Adjust scheduled disbursements if needed
- Determine if student is responsible for repaying or reaffirming aid already disbursed





# NSLDS Transfer Monitoring

## Who is a mid-year transfer?

- Students that transfer from one school to another school during the same award year (July 1-June 30)
- Includes students who were enrolled at another school on July 1 or later and subsequently transferred to another school (summer school)
- Does not include students whose enrollment at another institution ended during a previous award year

# Transfer Monitoring Process Resources

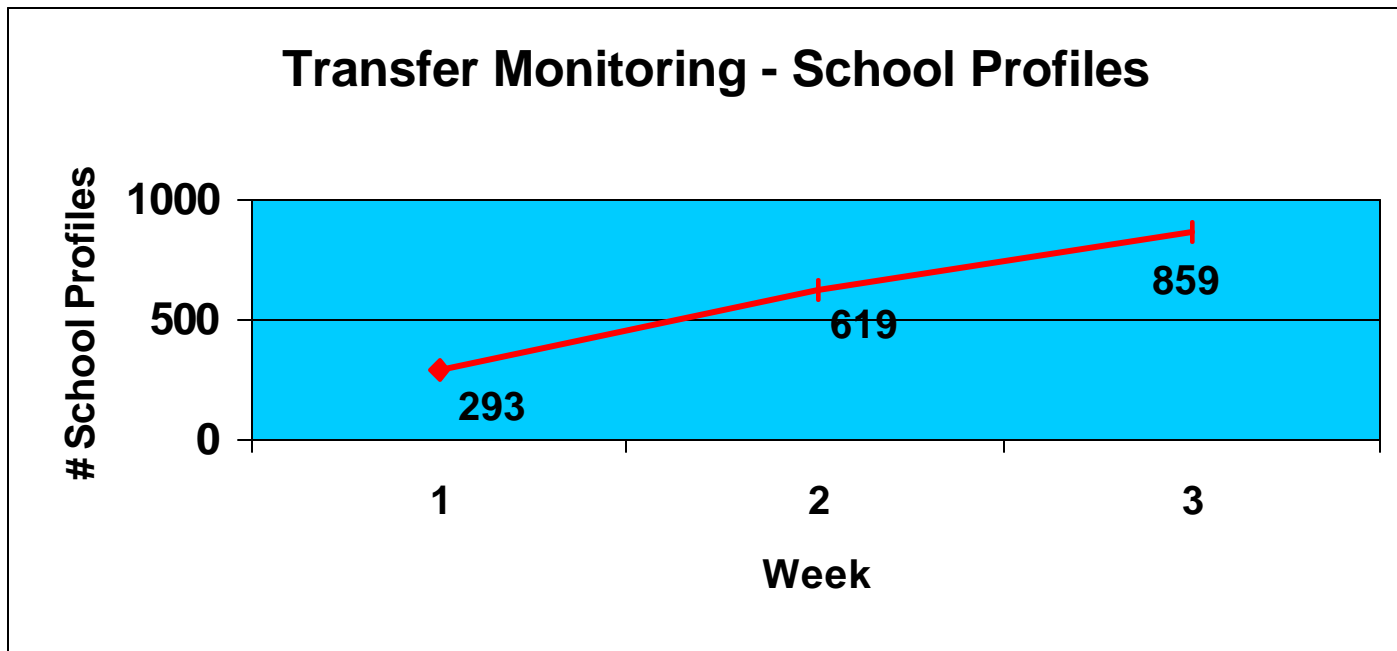
- Visit computer lab during conference
- GEN-01-09 and attachment
- User guide (to be published shortly)



# Transfer Monitoring Process

## Stats

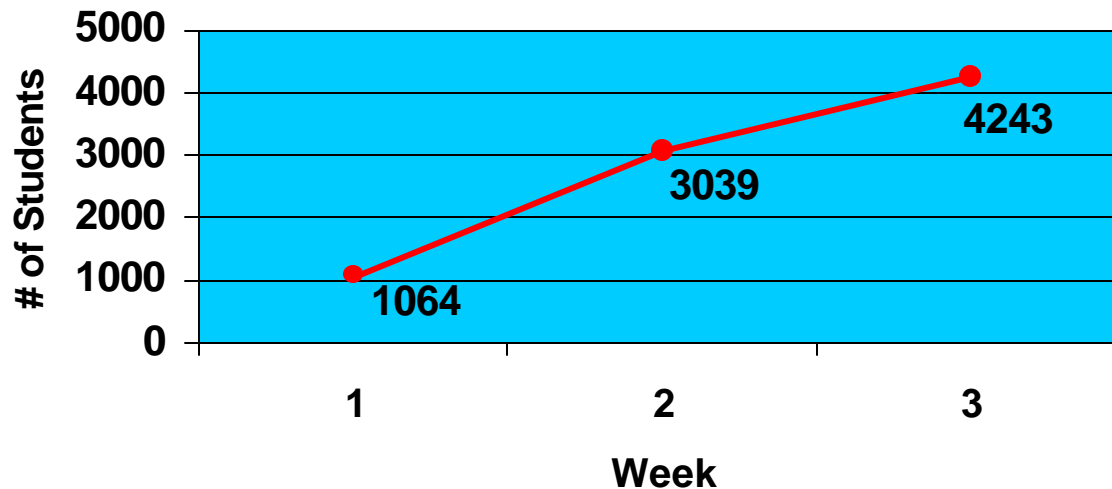
- Completed School Transfer Profile on NSLDS
  - Number of schools - 859
  - First school: Lane College



# Transfer Monitoring Process Stats

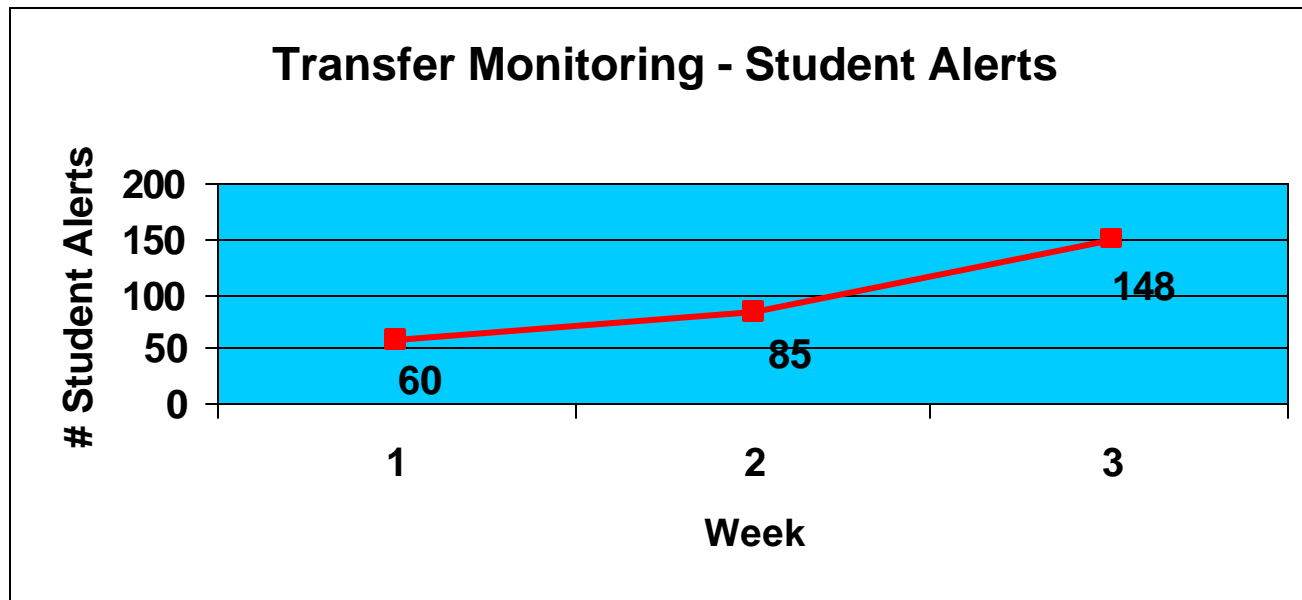
- Entered students to be monitored
  - Number of schools - 320 (4,243 students)
  - First school: ITT Technical Institute

**Transfer Monitoring - Students Monitored**



# Transfer Monitoring Process Stats

- Alerts sent
  - Number of students - 148
  - Number of schools - 70
  - First school: Prairie View A&M University



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# NSLDS Transfer Monitoring

## Who Is Monitored? (Inform)

- School tells NSLDS which students/applicants to monitor
  - Applicant identifiers
  - Enrollment Begin Date - for the period for which aid is being awarded
  - Monitor Begin Date (optional)
- Input methods (may use either or both):
  - Enter on NSLDSFAP
  - Send data file to NSLDS





### Transfer Monitoring List

Add Student to Monitoring List

Sort By:

Display Only: SSN:

Last Name:

Enrollment Begin Date:  (MMDDCCYY)

Monitor Begin Date:  (MMDDCCYY)

Last Changed By:

	SSN	Name	DOB	Enrollment Begin Date	Monitor Begin Date	Last Changed By
<a href="#">1</a>	043-88-3117	MICHAEL GALLIAH	05/29/1960	11/11/2001	07/18/2001	DUMMY SCHOOL ID FOR TRAINING 07/18/2001
<a href="#">2</a>	043-85-9668	THOMAS SANDLER	09/01/1973	08/08/2001	08/01/2001	DUMMY SCHOOL ID FOR TRAINING 07/18/2001
<a href="#">3</a>	043-86-5256	MAXWELL SHEFFIELD	01/02/1958	01/14/2002	07/18/2001	DUMMY SCHOOL ID FOR TRAINING 07/18/2001







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[Transfer Monitoring List](#) | [Monitoring Alert Review](#) | [School Transfer Profile](#)

SSN:  First Name:  DOB:  (MMDDCCYY)

Logged on as: DUMMY SCHOOL ID FOR TRAINING from [FAIRFAX HOSPITAL MEDICAL TECHNOLOGY PROGRAM](#)



Return To Transfer  
Monitoring List

No student currently selected.

### Student Monitoring Add

SSN:	<input type="text"/>
First Name:	<input type="text"/>
Last Name:	<input type="text"/>
Date of Birth:	<input type="text"/> (MMDDCCYY)
Enrollment Begin Date:	<input type="text"/> (MMDDCCYY)
Monitor Begin Date:	<input type="text" value="07/18/2001"/> (MMDDCCYY)



[PRIVACY ACT OF 1974 \(AS AMENDED\)](#)



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[Transfer Monitoring List](#) | [Monitoring Alert Review](#) | [School Transfer Profile](#)

SSN:  First Name:  DOB:  (MMDDCCYY)

Logged on as: DUMMY SCHOOL ID FOR TRAINING from [FAIRFAX HOSPITAL MEDICAL TECHNOLOGY PROGRAM](#)



Return To Transfer  
Monitoring List

TANYA J LANGLAIS

SSN: 043-90-5190

DOB: 01/15/1973

### Student Monitoring Add

SSN:	<input type="text" value="043-90-5190"/>
First Name:	<input type="text" value="TANYA"/>
Last Name:	<input type="text" value="LANGLAIS"/>
Date of Birth:	<input type="text" value="01/15/1973"/> (MMDDCCYY)
Enrollment Begin Date:	<input type="text"/> (MMDDCCYY)
Monitor Begin Date:	<input type="text" value="07/18/2001"/> (MMDDCCYY)



PRIVACY ACT OF 1974 (AS AMENDED)



SSN:  First Name:  DOB:  (MMDDCCYY)

Logged on as: DUMMY SCHOOL ID FOR TRAINING from [FAIRFAX HOSPITAL MEDICAL TECHNOLOGY PROGRAM](#)

Return To Transfer Monitoring List

TANYA J LANGLAIS  
SSN: 043-90-5190      DOB: 01/15/1973

### Student Monitoring Add

Please enter an Enrollment Begin Date in the MMDDCCYY format.

SSN:

First Name:

Last Name:

Date of Birth:  (MMDDCCYY)

Enrollment Begin Date:  (MMDDCCYY)

Monitor Begin Date:  (MMDDCCYY)



### Transfer Monitoring List



Successfully added.

Add Student to Monitoring List

Sort By:

Display Only: SSN:

Last Name:

Enrollment Begin Date:  (MMDDCCYY)

Monitor Begin Date:  (MMDDCCYY)

Last Changed By:

	SSN	Name	DOB	Enrollment Begin Date	Monitor Begin Date	Last Changed By
<a href="#">1</a>	043-88-3117	MICHAEL GALLIAH	05/29/1960	11/11/2001	07/18/2001	DUMMY SCHOOL ID FOR TRAINING 07/18/2001
<a href="#">2</a>	043-90-5190	TANYA LANGLAIS	01/15/1973	03/10/2002	07/18/2001	DUMMY SCHOOL ID FOR TRAINING 07/18/2001
<a href="#">3</a>	043-85-9668	THOMAS SANDLER	09/01/1973	08/08/2001	08/01/2001	DUMMY SCHOOL ID FOR TRAINING 07/18/2001
<a href="#">4</a>	043-86-5256	MAXWELL SHEFFIELD	01/02/1958	01/14/2002	07/18/2001	DUMMY SCHOOL ID FOR TRAINING 07/18/2001



Return To Transfer  
Monitoring List

### Student Monitoring Detail

[Update](#)

[Delete](#)

<b>SSN:</b>	043-90-5190
<b>First Name:</b>	TANYA
<b>Last Name:</b>	LANGLAIS
<b>Date of Birth:</b>	01/15/1973
<b>Enrollment Begin Date:</b>	03/10/2002
<b>Monitor Begin Date:</b>	07/18/2001
<b>Last Changed By:</b>	DUMMY SCHOOL ID FOR TRAINING on 07/18/2001





Return To Student  
Monitoring Detail

### Student Monitoring Update

SSN:	043-90-5190
First Name:	TANYA
Last Name:	LANGLAIS
Date of Birth:	01/15/1973
Enrollment Begin Date:	<input type="text" value="03/10/2002"/> (MMDDCCYY)
Monitor Begin Date:	<input type="text" value="07/18/2001"/> (MMDDCCYY)
Last Changed By:	DUMMY SCHOOL ID FOR TRAINING on 07/18/2001

Submit





Return To Student  
Monitoring Detail

### Student Monitoring Delete

You are asking that this Student Monitoring record be DELETED from your List. Student Monitoring records should be deleted only if the student will not be attending your institution or the student was entered in error. Please do not DELETE a student simply to stop monitoring him or her. **To complete this DELETE, please click CONFIRM.**

**SSN:** 043-90-5190  
**First Name:** TANYA  
**Last Name:** LANGLAIS  
**Date of Birth:** 01/15/1973  
**Enrollment Begin Date:** 03/10/2002  
**Monitor Begin Date:** 07/18/2001  
**Last Changed By:** DUMMY SCHOOL ID FOR TRAINING on 07/18/2001

Confirm





SSN: [input] First Name: [input] DOB: [input] (MMDDCCYY) Retrieve

Logged on as: DUMMY SCHOOL ID FOR TRAINING from ST MARKS HOSP SCH OF MED-TECH

TANYA J LANGLAIS  
SSN: 043-90-5190      DOB: 01/15/1973

**i** Student is on your school's Transfer Monitoring List.

Student Monitoring Detail



Defaulted



Pell Grants



### Aggregate Loan Information

Loan Type	Outstanding Principal Balance (OPB)	Pending Disbursements	Total
Subsidized Loans	\$3,938	N/A	\$3,938
Unsubsidized Loans	\$124	N/A	\$124
Combined Loans	\$4,062	N/A	\$4,062
FFEL Consolidation	N/A		N/A
Perkins	N/A		N/A





SSN:  First Name:  DOB:  (MMDDCCYY)

Logged on as: DUMMY SCHOOL ID FOR TRAINING from [FAIRFAX HOSPITAL MEDICAL TECHNOLOGY PROGRAM](#)

MAXWELL J KLINGER  
SSN: 561-49-1551      DOB: 09/04/1932



Student is not on your school's Transfer Monitoring List.



Overpayments



Discharge



### Aggregate Loan Information

Loan Type	Outstanding Principal Balance (OPB)	Pending Disbursements	Total
Subsidized Loans	N/A	N/A	N/A
Unsubsidized Loans	N/A	N/A	N/A
Combined Loans	N/A	N/A	N/A
FFEL Consolidation	N/A		N/A
Perkins	\$0		\$0

# Batch Process

- Batch file specifications (IFAP)
  - <http://www.ifap.ed.gov/eannouncements/attachments/0628MonitoringProcess.pdf>
- Title IV WAN message classes
  - TRNINFIN—School Inform file
  - TRNINFOP—Error/Acknowledgment file
  - TRLTRPOP—Alert - Report format
  - TRALRTOP—Alert - Extract format



# Inform Data File Single School

- Header record
- Detail record (one per student)
  - Applicant identifiers
  - Enrollment Begin Date
  - Monitor Begin Date
  - Remove applicant from monitoring list
- Trailer record
- Error/Acknowledgement file



# Inform Data File Servicer

- First school
  - Header record
  - Detail records for first school
  - Trailer record
- Second school
  - Header record
  - Detail records for second school
  - Trailer record
- Nth school
  - Header record
  - Detail records for nth school
  - Trailer record



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# Transfer Monitoring Process

## Monitor

- Is there new data affecting applicant's eligibility?
  - New data means a new loan or Pell Grant was added or changed for attendance at another school (since last ISIR or alert)
    - New loan originated
    - New or cancelled disbursement on a loan
    - New or reduced Pell Grant Award
    - New or cancelled disbursement on a Pell Grant
  - Data for attendance at school to which the applicant is transferring is not monitored
  - Changes in default status or overpayments would continue as done currently (postscreening) so that all schools are notified
- Monitoring program run weekly (Wednesday night)



# Monitor Period

- Starts on Monitor Begin Date
  - Date student is added to list (default); or
  - Future date requested by school
- Ends 90 days after Enrollment Begin Date
  - Stops automatically
  - 90 day period will be evaluated during the year to determine if period should be extended or shortened



# Monitor Rules

- FFEL/FDLP Loans
  - Loan at school A overlaps Enrollment Begin date at school B; or
  - Loan period begin date at school A is less than 30 weeks before Enrollment Begin date at school B
  - Loan period begin date at another school begins after Enrollment Begin Date at school B





# Monitor Rules (cont)

- Perkins Loans
  - Disbursement at school A is in the same award year as Enrollment Begin date at school B
- Pell Grants
  - Grant at school A is in the same award year as the Enrollment Begin date at school B



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# How Are Results Communicated?

## (Alert)

- NSLDS communicates results only to the school to which the applicant is transferring
  - The school that informed NSLDS
- Output methods:
  - NSLDSFAP
  - Financial aid history data file - shows entire FA history
- Email notification that an Alert has been generated (be sure to include email address in School Profile)
- Look for alerts on Thursday



# Email Alert

## **For schools set up for Web Alerts Only:**

Subject Line: Transfer Monitoring Alert from NSLDS

### NOTICE:

An Alert has been generated for one or more students on your institution's Transfer Monitoring list. Please access [www.NLSDSFAP.ed.gov](http://www.NLSDSFAP.ed.gov) to review.

Do not respond to this address – this mailbox is not monitored. If you have any questions regarding this e-mail, please call the NSLDS Customer Service Center at 800-999-8219 or e-mail them at [nsldscoe@raytheon.com](mailto:nsldscoe@raytheon.com).

Thank you.





### Monitoring Alert Review

Monitoring Results as of : 09/18/2001

Sort By:

Display Only: SSN:  Last Name:

Date Alerted:  (MMDDCCYY) Enrollment Begin Date:  (MMDDCCYY)

Loans Only  Pells Only

SSN	Name	DOB	Change	Reviewed	Date Alerted	Enrollment Begin Date	Monitor Begin Date
570-98-8111	WARREN PEESE	01/02/1961	<a href="#">Loan</a>	<input type="checkbox"/>	05/15/2001	03/12/2000	10/12/2000
			<a href="#">Pell</a>	<input type="checkbox"/>	05/15/2001	03/12/2000	10/12/2000
556-88-1003	ANDREW SINGH	08/08/1968	<a href="#">Pell</a>	<input type="checkbox"/>	05/15/2001	01/12/2001	10/12/2000
645-98-8103	BEN STARR	12/10/1966	<a href="#">Loan</a>	<input type="checkbox"/>	02/12/2004	01/18/2001	10/18/2000
			<a href="#">Loan</a>	<input type="checkbox"/>	02/12/2004	01/18/2001	10/18/2000
987-65-4321	KUTRIANA VON FLORA	03/11/1981	<a href="#">Pell</a>	<input type="checkbox"/>	05/15/2001	06/01/2001	10/12/2000
570-98-8111	WARREN PEESE	01/02/1961	<a href="#">Loan</a>	<input checked="" type="checkbox"/>	05/15/2001	03/12/2000	10/12/2000



# Alert Data File Single School

- Header record
- Detail records
  - Aggregates, match flags, MPN
  - Name history
  - Loan detail record(s)
  - Pell Grant payment record(s)
  - Overpayment record(s)
- Trailer record



# Alert Data File Servicer

- First school
  - Header record
  - Detail records for first school
  - Trailer record
- Second school
  - Header record
  - Detail records for second school
  - Trailer record
- Nth school
  - Header record
  - Detail records for nth school
  - Trailer record



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# School Transfer Profile

- Email address to send alert notice:
  - New results are available - go to NSLDSFAP
  - New data file being sent - access TIV WAN mailbox
  - Could be group email address
- TIV WAN Mailbox of person to receive data file (only for schools wanting a file sent)
- Add contact person for Transfer Monitoring questions on Organization Contact List





### School Transfer Profile

[Update](#)

<b>First Name:</b>	BETH		
<b>Last Name:</b>	MARCH		
<b>Title:</b>	FINANCIAL AID DIRECTOR		
<b>Phone:</b>	159-156-8799	<b>Ext:</b>	
<b>E-Mail:</b>	Alcott@stmarks.edu		
<b>Inform:</b>			
<b>Alert:</b>	Web Only		
<b>TIV WAN Mailbox:</b>		<b>Batch Alert Method:</b>	
<b>Last Update By:</b>	DUMMY SCHOOL ID FOR TRAINING on 07/11/2001		



Return To School  
Transfer Profile

### School Transfer Profile Update

First Name:	<input type="text" value="BETH"/>		
Last Name:	<input type="text" value="MARCH"/>		
Title:	<input type="text" value="FINANCIAL AID DIRECTOR"/>		
Phone:	<input type="text" value="159-156-8799"/>	Ext:	<input type="text"/>
E-Mail:	<input type="text" value="Alcott@stmarks.edu"/>		
Inform:	Designated Batch TIV WAN Mailbox for Servicer (Optional): <input type="text"/>		
Alert:	<input checked="" type="checkbox"/> Web Only		
	<input type="checkbox"/> Web and Batch File TIV WAN Mailbox: <input type="text"/>		
Batch Alert Method:	<input type="checkbox"/> Extract <input type="checkbox"/> Report		
Last Update By:	DUMMY SCHOOL ID FOR TRAINING on 07/11/2001		

Submit



Type:  Code:  Name:

Logged on as: DUMMY SCHOOL ID FOR TRAINING from [STONEWALL JACKSON STATE JUNIOR COL](#)

**Name:** STONEWALL JACKSON STATE JUNIOR COL  
**Code:** 00104500 **Type:** School  
**Status:** ERROR  
**Address:** 217 MENDOZA AVE  
RAINSVILLE, AL 35986

Contact successfully added.

### Organization Contact List

Function	First Name / Last Name	Phone / Ext.	Email
<b>1</b> OVERPAYMENT ISSUES	DAN PATRICK	(203)223-3333 23	
<b>2</b> TRANSFER MONITOR	JANE EYRE	(481)196-1378	

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# Transfer Monitoring Process

## Future Enhancements

- Report of students monitored and alerts generated to meet audit requirements
- Enable schools to request a financial aid history file for selected students or selected population (e.g., students about to graduate) (to replace FAT batch requests)

*What are your ideas for changes or additions?*



# NSLDS

## Web sites:

For Financial Aid Professionals:

[www.NSLDSFAP.ed.gov](http://www.NSLDSFAP.ed.gov)

For Students:

[www.NSLDS.ed.gov](http://www.NSLDS.ed.gov)

## Customer Service questions:

Call: 1-800-999-8219

**OR**

e-mail: [nsldscoe@raytheon.com](mailto:nsldscoe@raytheon.com)

