# Electronic Access Conference 2000 GET CONNECTED

## 2001-2002 Application Delivery System Update

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Student Financial Assistance

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# 2001-2002 Delivery System Update

- Important Dates
- Application Processing Volumes
- FAFSA Changes
- Paper Renewal FAFSA/Renewal Application Process
- PINs
- Electronic Applications
- Changes to SAR and ISIR
- Changes to System Edits, Database Matches
- EDExpress

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# 2001-2002 Delivery System
## *Important Dates*

- **Nov** — **Delivery System Changes Action Letters on IFAP**
- **Nov** — **Revised ISIR Layout in 2001- 2002 Technical Reference**
- **Nov** — **Federal School Code List mailed**
- **Dec** — **Guide to ISIRs posted on IFAP**
- **Jan 1** — **All electronic applications available**
- ***Jan 2*** — ***2001- 2002 delivery system starts up!!***

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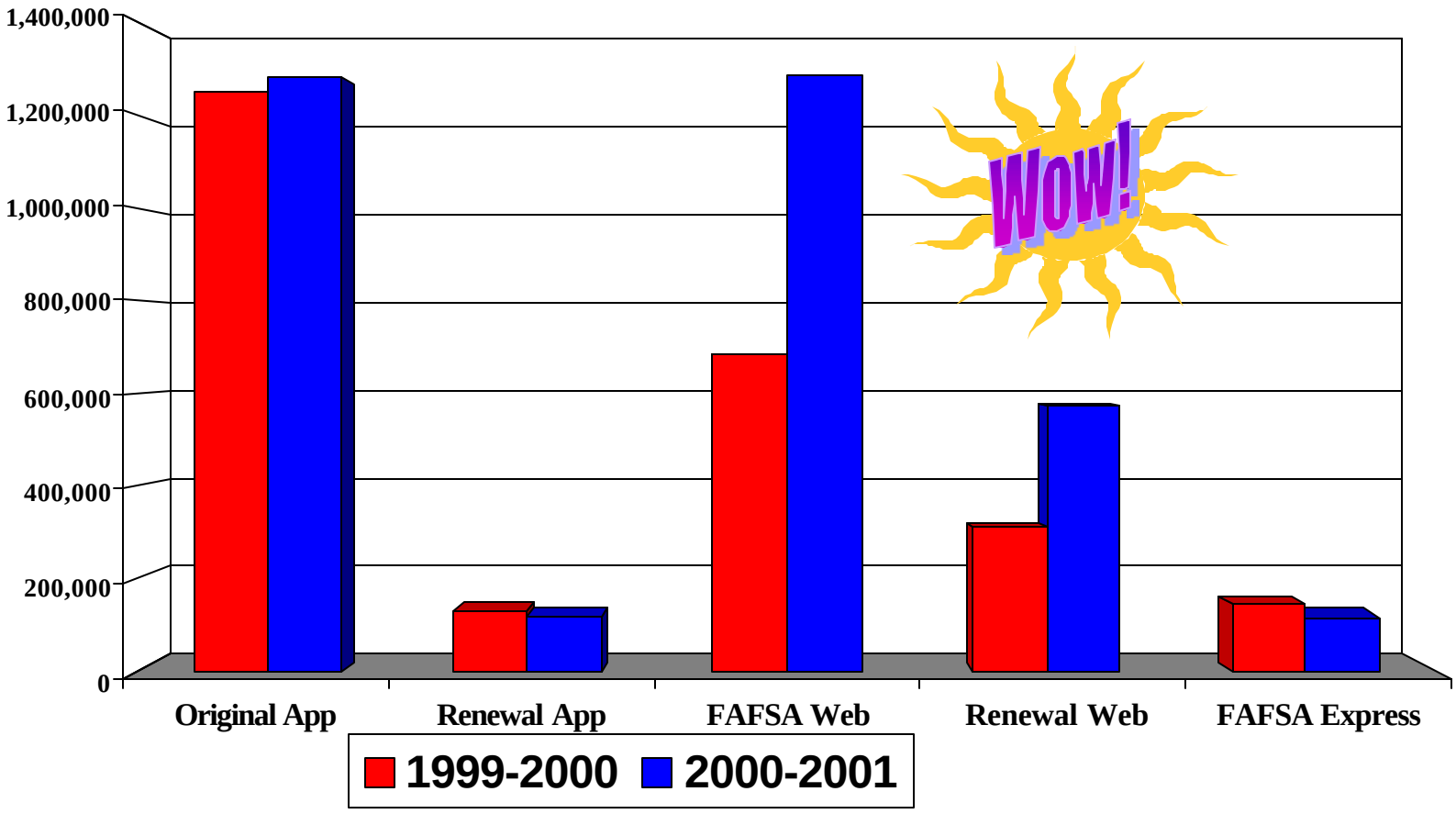
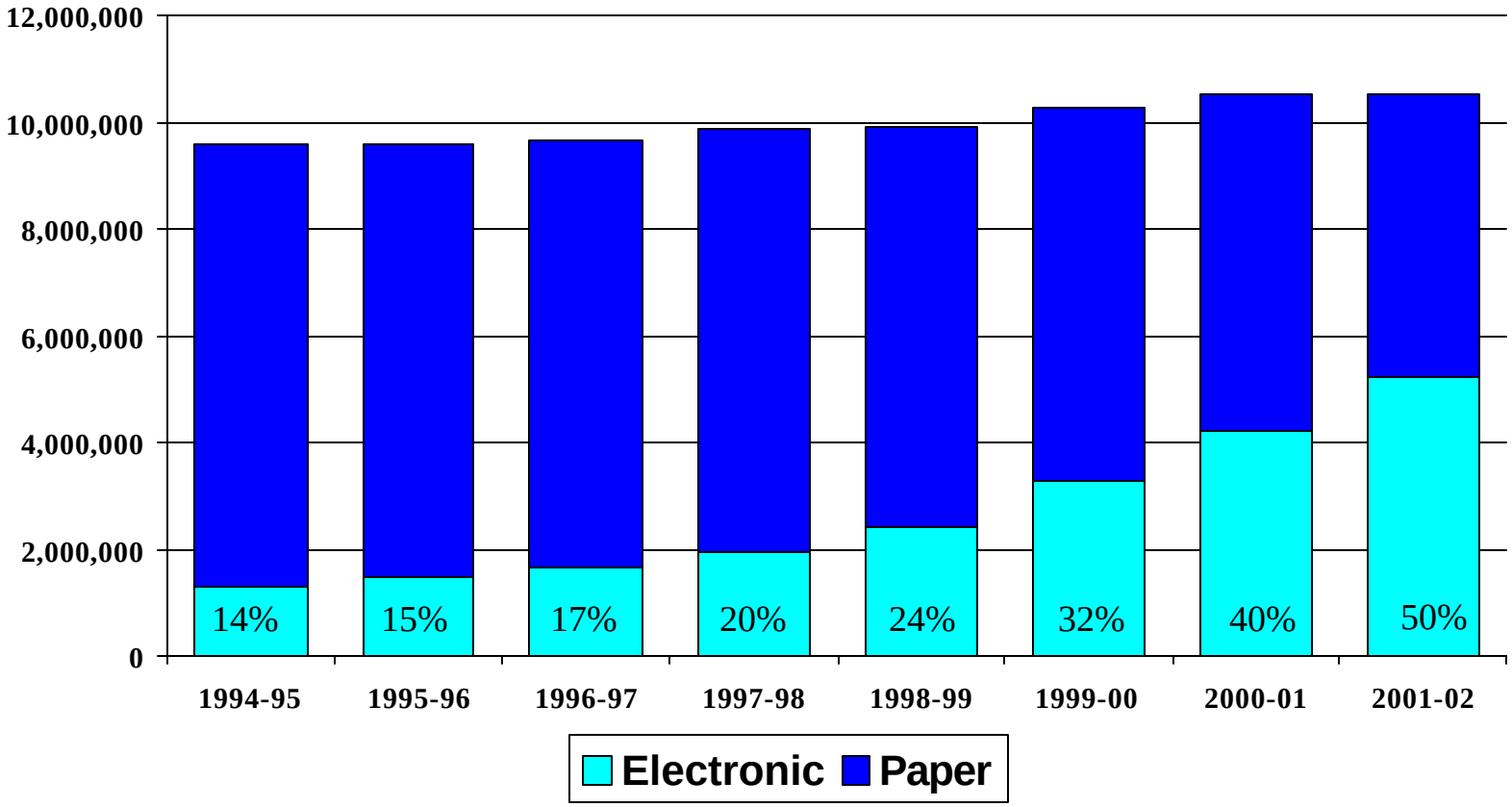
# *Electronic Applications*
## *1999-2000 and 2000-2001*

**For the first 46 weeks of processing for 1999-2000 and 2000-2001**



# *FAFSA Processing*

## Electronic vs. Paper



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# FAFSA on the Web Promotional Kits

- To promote FAFSA on the Web, ED once again developing FAFSA on the Web Promotional Kits
- Will distribute to:
  - **Postsecondary schools**
  - **High schools**
  - **State agencies**
  - **Libraries**
  - **PTAs**
  - **TRIO Centers**

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# 2001-2002 FAFSA

- FAFSA Ordering/Distribution
  - Announcement on IFAP (ANN-00-14)
  - Distribution began in October
- 2001-2002 FAFSA Color Scheme
  - **Green = PANTONE CVU 344U**
  - **Purple = PANTONE CVU 263**
- Includes postcard

# 2001-2002 FAFSA

- Building on success of 1999-2000 Redesign
- Rejection rate for original paper FAFSAs through first 6 months of processing
  - 1998-1999: 16%
  - 1999-2000: 8.1%
  - 2000-2001: 7.6%

# 2001-2002 FAFSA

- Changes made to the 2001-2002 FAFSA based on
  - Feedback from students, parents, schools, and states
  - Usability Testing
- Send suggestions and comments to:

  U.S. Department of Education

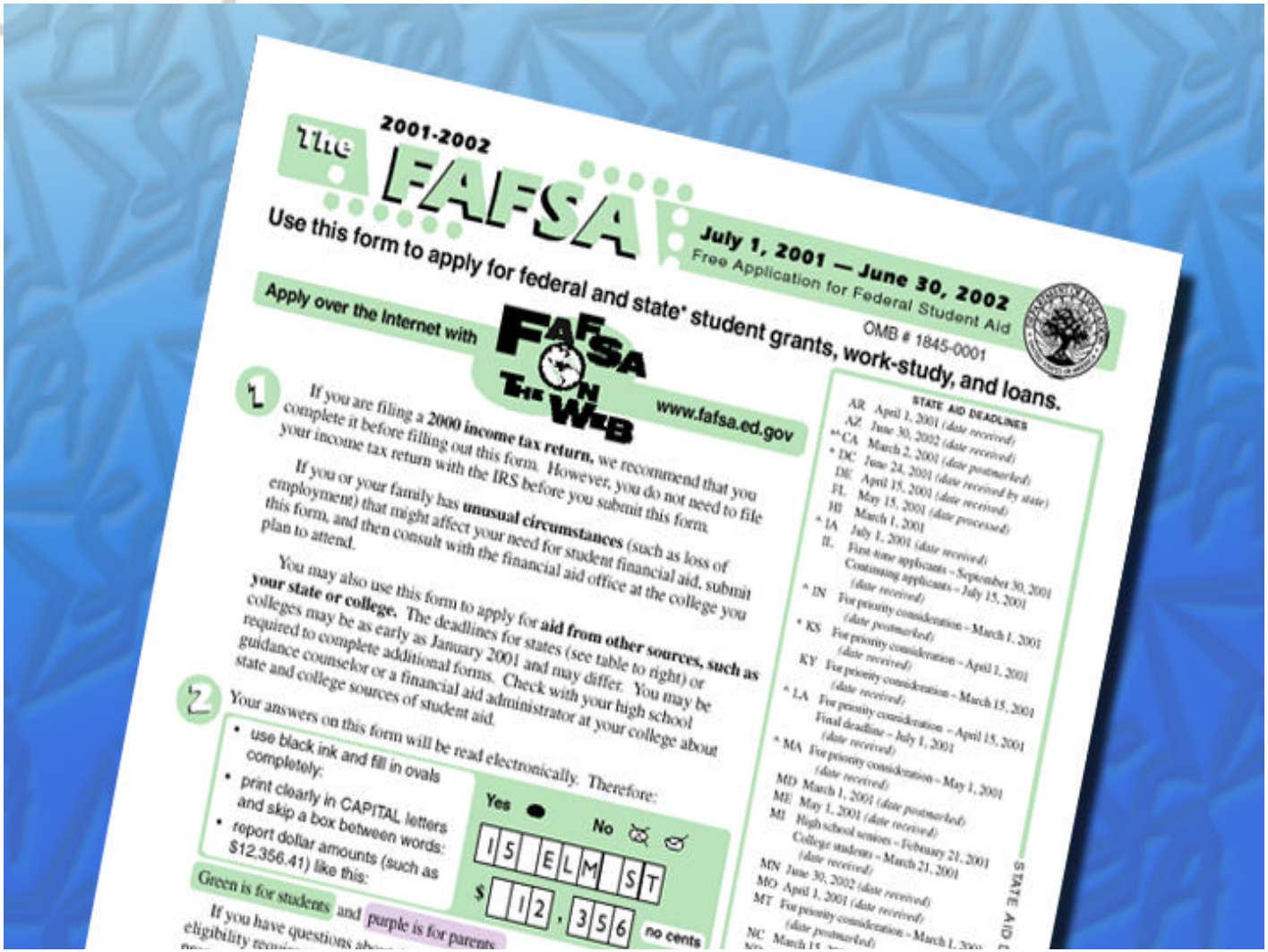
  FAFSA Coordinator

  Application Processing

  ROB-3, Room 4621

  Washington, DC 20202-5454

# 2001-2002 FAFSA



# 2001-2002 FAFSA
## Notes on Page 2

- Values of "grade level" question (#30) changed to better correspond to year in school
  - "0" for 1st year/never attended college
  - Graduate/professional split into first-year and continuing

# 2001-2002 FAFSA
## Page 3

- Application form layout revised
  - Friendlier look
  - Questions more prominent and delineated
- First name changed from 9 to 12 characters
- Address changed from 28 to 35 characters

# 2001-2002 Paper Original FAFSA
## Page 3, The Drug Question

- The Drug Question moved from Question 28 to 35:
  - Increase its prominence
  - Move from under instruction directing applicants to leave blank questions that do not apply to them
- "Do not leave question blank" wording added
- Reworded question for "Yes/No" response
- Treatment of Renewal FAFSA, electronic applications, and SAR same as current year

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# 2001-2002 FAFSA
## Page 3, The Drug Question, cont.

- FAFSA answers translate to following values on SAR:

  "No" on FAFSA = "1" on SAR

  "Yes" on FAFSA = "3" on SAR

- SAR values for Question 35 are:

  1 = No (eligibility not affected)

  2 = Yes (part-year eligibility)

  3 = Yes / Don't know

- Worksheet included with SAR if Question 35 is "blank" or "3"

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# 2001-2002 FAFSA
## Page 3, The Drug Question, cont.

- Question #35 correctable
  - On SAR
  - Via the Web
  - Through school
  - By calling Federal Student Aid Information Center
- Response of “2” or “3” will generate SAR “C” code and comment
- Blank response will generate comment, but no SAR “C” code
- When a “2” or “3” response changed to “1,” SAR comment generated

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# 2001-2002 FAFSA
## Pages 4 and 5

- Tax return filing (#36 and 70)
  - "Have filed" now "Have completed"
  - "Will file" now "Will file, but have not completed"
- Earned Income Credit (EIC) moved to Worksheet A
- Business and Investment Farm questions combined
- Cash/savings moved to after assets

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# 2001-2002 FAFSA
## Page 4, Step Three

- Two changes made to Step Three "dependency questions"
  - Changed wording of the graduate or professional student question
  - Split "Do you have dependents" question into two separate questions

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# 2001-2002 FAFSA
## Worksheets

- Untaxed income split into
  - Worksheet A, and
  - Worksheet B
- Income Exclusion worksheet now Worksheet C

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# 2001-2002 Renewal FAFSA

- Questions reworded and reordered to correspond to FAFSA changes
- Change made to carry forward all schools listed on student's 2000-2001 application, unless student tells us to delete school or enters different school code

# Renewal FAFSA

- CPS pre-populated data from 2000-2001 FAFSA
  - Number in College for dependent students
  - Legal Resident by 1/1/96?
  - Parent SSNs and Last Name
  - Business and farm net worth
  - “No” answer to “Legal Dependents” question
  - Drug Question
- Renewal FAFSA and Instructions on IFAP

# Renewal Application Process

- CPS will automatically send PINs instead of paper Renewal FAFSAs to:
  - All 2000-2001 web filers (FOTW/RFOTW/COTW)
  - Applicants who indicated on 2000-2001 FAFSA that they were graduate or 5th year undergraduate students
- PIN mailers alert students of Renewal Application process and encourage them to use RFOTW to reapply for aid
- Postal Service will forward PINs to these students if they filed a change of address form

# Renewal Application Process

- Schools can use RAD file to determine if student received PIN or paper Renewal FAFSA
- Schools can request RAD file and print report:
  - PIN indicator set: Student received PIN
  - PIN indicator blank: Student received paper Renewal FAFSA

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# Renewal Application Process *New for 2001-2002*

- Changed Renewal Application Process so that:
  - Students can reapply for aid using Renewal FAFSA on the Web on continual basis
  - FAAs can use web to request Renewal Applications be printed and mailed to school for distribution (available in Spring 2001)

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# Renewal Application Process *Important Dates*

- Nov 2 - 10:  CPS mailed Renewal FAFSAs to schools to distribute to students
- Nov 13 - Dec 29: CPS prints and mails Renewal FAFSAs not requested by schools
- Nov 13 - Dec 29: PIN mailers sent to students

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# PINs

- Key to electronic commerce and modernizing our systems
- Serves as electronic signature
- Used to gain access to ED systems, including
  - Renewal FAFSA on the Web
  - Corrections on the Web
  - NSLDS
  - Direct Loan Origination
  - Direct Loan Servicing
  - Loan Consolidation (status of application)

# PINs

- PINs mailed to all 2001-2002 applicants if they:
  - Did not receive one as part of the Renewal Application Process
  - Signed their FAFSA
  - Provided a complete mailing address, and
  - Successfully matched with SSA
- PIN mailer will include tear-out card
- From PIN web site, users can create their own PIN
- Students can change their address on the PIN web site

FAFSA
ON
THE WEB
www.fafsa.ed.gov

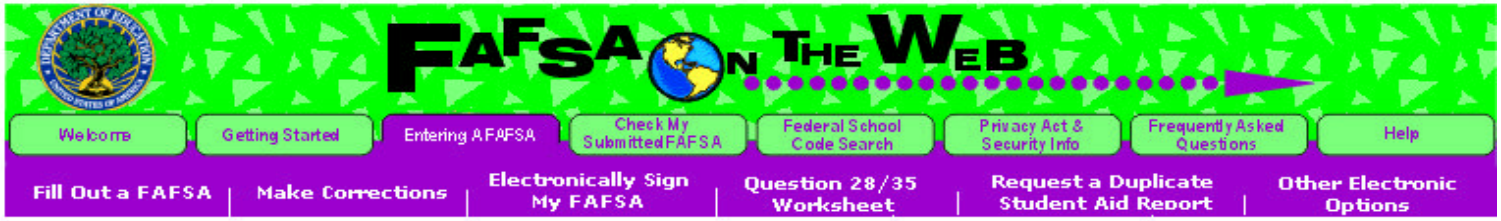
# FAFSA on the Web
## *Enhancements*

- Available Jan. 1, 2001
- Improved form-based version; eliminated interview format
  - Most students using form-based format
  - Incorporates best of both formats
  - Includes skip logic and editing
- Spanish version available

# FAFSA on the Web
## Enhancements

- Captures PIN up front
- Makes signature process easier
- Will scan to see if student is eligible to file a Renewal FAFSA
  - If eligible, student given option to apply using Renewal FAFSA on the Web

# Redesigned Screens




## Entering a FAFSA

Fill Out a FAFSA
To start your FAFSA on the Web application, including Renewals.

Make Corrections
If you want to make Corrections to your FAFSA.

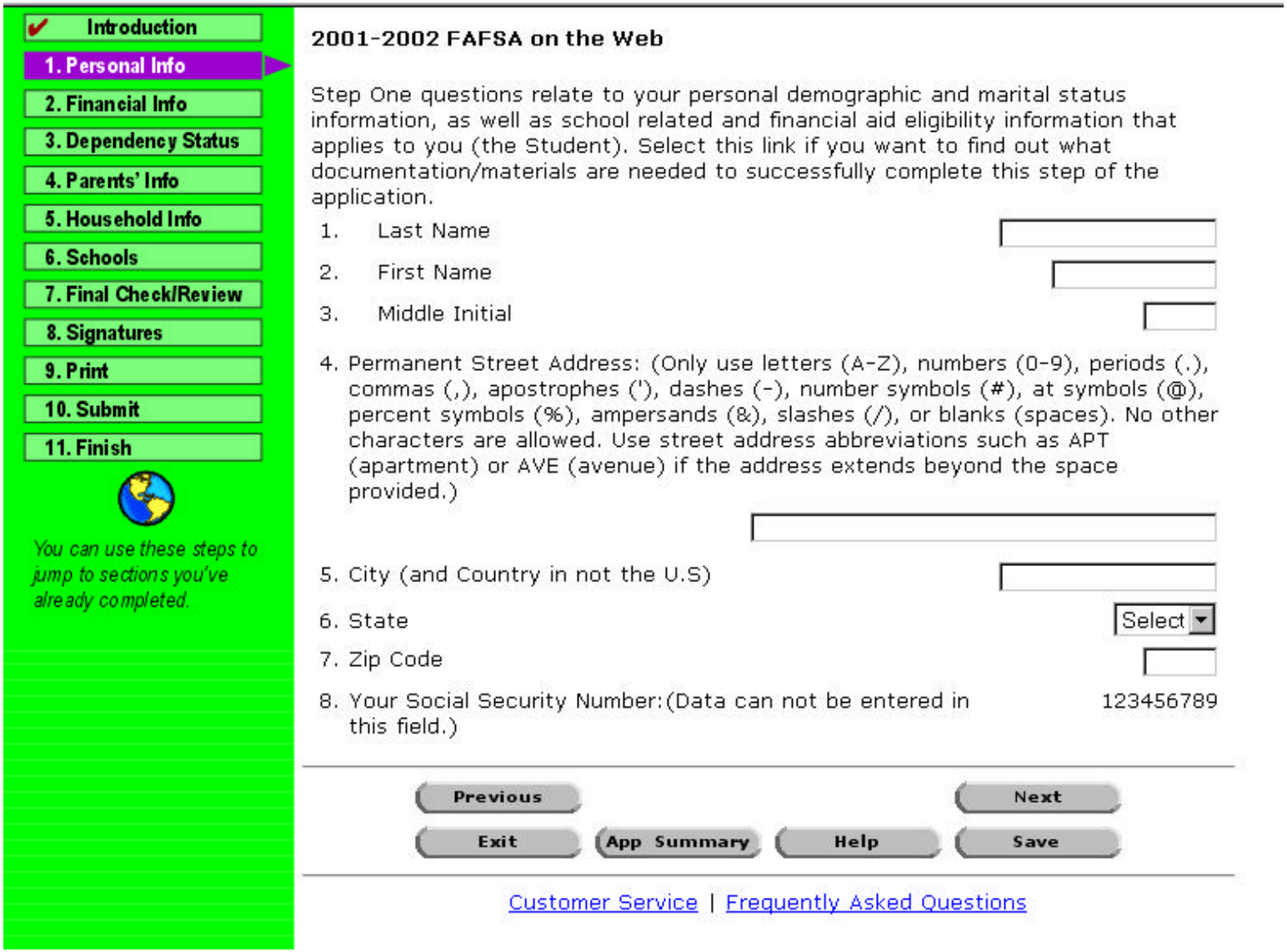
Electronically Sign My FAFSA
If you've already submitted your application ,you can sign your FAFSA application electronically. You must have a U.S. Department of Education PIN.

Check My Submitted FAFSA
Not only can you check on the status of your application with the Central Processing System, if your application has already been processed you can view your calculated results.

Question 28/35 Worksheet
The Question 28/35 Worksheet helps you determine whether a federal law suspending federal aid to those convicted of possession or sales of drugs (not including alcohol and tobacco) affects you. Question 28 applies to 2000-2001. Question 35 applies to 2001-2002.

Request a Duplicate Student Aid Report
If you've lost your Student Aid Report (SAR) or just need another copy sent to you, request a duplicate here.

# Improved Progress Bar



# FAFSA on the Web
## *Enhancements*

- New and improved “save” process
  - With User ID and self-selected password, application saved to web site
  - Application saved for 45 days

# FAFSA on the Web
## *Enhancements*

- Option to provide e-mail address
  - 50-character field
  - Will be included on ISIR
  - Can correct via web or by calling 1-800-4FED AID
  - Displayed on SAR, but not correctable
- ED will use e-mail address for electronic postcard

# FAFSA on the Web
## *Enhancements*

- Expanded on-line application status checking feature. Students can:
  - View receipt and processing dates, as in current cycle
  - With PIN, view EFC and application data
  - View comments
  - Correct data by clicking on link to Corrections on the Web

# FAFSA on the Web
## *Enhancements*

- Will add real-time help on-line
- Will allow applicants to
  - Ask questions on-line
  - Get help on-line
- Available from multiple points on the web, including within application

# Corrections on the Web

- Implemented on April 28, 2000 for 2000-2001 and beyond
- PIN required to access web corrections
- PIN serves as student's electronic signature
- Student can change all data elements, except SSN and date of birth
- ***Parents*** of dependent students must sign only when ***parent*** data is corrected

# New Shopping Cart Approach to Corrections on the Web

**FAFSA Corrections on the Web Shopping Cart**

---

Welcome to FAFSA Corrections on the Web.

How to make corrections:
1. Review your current information, below
2. Select the questions to be corrected, and then select the "Next" button at the bottom of the screen
3. Correct your information, using the "Next" button to move from question to question
4. When you are done correcting your information, select the "Run Check" button at the bottom of the screen

For further details select this link.

---

Fields where we have assumed a value are marked with an '*' (asterisk) sign.
Fields you previously verified are marked with a '#' (pound) sign.

100101130 TO 01

| **Step 1. (Q1 - Q35)** | |
|---|---|
| 1. Last Name: | Sanders ☐ |
| 2. First Name: | Jeannie ☐ |
| 3. Middle Name: | B ☐ |
| 4. Permanent Street Address: | ☐ |
| 5. City: | San Francisco ☐ |
| 6. State: | CA ☐ |
| 7. Zip Code: | 20816 ☐ |
| 8. Social Security Number:<br>(Data can only be verified for this field) | 100101130 ☐ |
| 9. Date of Birth:<br>(Data can only be verified for this field) | 01/01/1985 ☐ |
| 10. Permanent Home Phone Number: | ☐ |

# FAFSA Express

- Available to download from the Web at www.fafsa.ed.gov on Jan. 1, 2001
- Web signature options available to FAFSA Express users
- 2001-2002 will be last year for FAFSA Express

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# Student Aid Report
## New for 2001-2002

- SAR redesigned to be an easier document for students to understand and use
- SAR redesign effort will occur in two phases
  - In 2001-2002, focused on Part One
  - In 2002-2003, will improve Part Two
- Extensive usability testing with students and parents

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# Redesigned SAR

## UNITED STATES DEPARTMENT OF EDUCATION

1-800-4FED-AID (1-800-433-3243 or TTY: 1-800-730-8913)
http://www.fafsa.ed.gov

OMB No. 1845-0008
Form Approved
Exp. 12/31/2002

000117C041

CHRISTOPHER E. STUDENT
1234 ABCDEFGHIJKLMNOP
ABCDEFGHIJKLMNOPQ, M

June 27, 2001
EFC: 00000
DRN: 2401

Dear Christopher E. Student,

Thank you for submitting your information for federal student aid to the U.S. Department of Education.

This is your Student Aid Report (SAR) for the 2001-2002 award year.

### You (the Student)

Here is where you are this year in the process of applying for student financial aid:

1. You applied with a FAFSA or a Renewal FAFSA, or you made changes to your SAR.
2. **Now you should check your SAR information. If it is correct, you do not need to return it to us.**
3. You will be asked by your school(s) to provide copies of certain financial documents.

### U.S. Department of Education

Here is where we are in collecting, processing, and storing your information for the 2001-2002 award year:

1. We received your information and processed it. Our results are below.
2. We sent your information and our results to you and your school(s).
3. We will update your federal student aid record with any changes you make.

Your expected family contribution (EFC) is the part of your educational cost that you and/or your family are expected to provide. Based on the information we have on record for you, your EFC is 00000. Your school will use this number to determine what types of aid and how much you are eligible for.

### School(s)

Check with your school(s) to see where they are in putting together your 2001-2002 student financial aid package:

1. Your school(s) received your information and our results
2. Your school(s) will ask you to verify your information
3. Your school(s) may put together or change an aid package and notify

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# SAR Comments

## 2001-2002 Student Aid Report (SAR)

### COMMENTS ABOUT YOUR INFORMATION

Based on the information we have on record for you, YOUR EFC IS 00000. Your school will use this number to determine what types of aid and how much you are eligible for. You may be eligible to receive a Federal Pell Grant and other federal student aid.

You left item 35 blank. IF YOU HAVE A DRUG CONVICTION, you MUST answer item 35. Your failure to accurately answer this question could result in legal action against you by the U.S. Government. Use the enclosed worksheet to determine your answer to this question. You can resolve this matter quickly by calling 1-800-4FED-AID (1-800-433-3243) or by going to www.fafsa.ed.gov. You can also use Part 2 of this report. A drug conviction does not necessarily disqualify you from receiving student aid.

The National Student Loan Data System (NSLDS) indicates that you are in DEFAULT on a federal student loan. You are not eligible to receive any federal student aid until your default status has ben resolved.

Contact the following agency(ies) regarding your defaulted federal student loan.

Call the U.S. Department of Education at 1-800-621-3115, or write to the U.S. Department of Education, Atlanta Service Center, 61 Forsyth Street, Room 19T89, Atlanta, Georgia 30303. (EDR 04)

We assumed certain information to calculate your eligibility for federal aid. We printed the assumption we made and the word "assumed" in the "You told us" column for each of these items. If our assumptions are correct, do not change them.

Be sure to review the items printed in darker print on this SAR and make corrections if necessary.

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# Other Changes to SAR

## For Financial Aid Office Use Only

This information will be used by your Financial Aid Administrator to determine your eligibilty for student aid.

SAR C Flag: C
Agency Source: 5
Record Source Type: R
Record Type: X
Verification Flag: N

Model: I
SysGen: X
Dependency Override: X
Early Analysis Flag: X
FAA Adjustment: X

Reprocessing Code: X
Reject Reasons: X
Application Receipt Date: 06/19/2000
Transaction Receipt Date: 06/19/2000
Subsequent Application Flag: X

| MONTHS: | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| PRIMARY EFC: | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 |
| SECONDARY EFC: | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 | 00000 |

Auto Zero EFC Flag: SNT Flag: N Pell Eligible Flag: Y

MATCH FLAGS:

SSN Match Flag: 4
INS Match Flag: X
INS Sec. Conf. Flag: X
NSLDS Match Flag: 2

Selective Service Registration Flag: X
INS Verification #: XXXXXXXXXX
NSLDS Results Flag: 1
VA Match Flag: 1

Selective Service Match: X
SSA Citizenship Code: X
NSLDS Transaction Number: 01
PRIS Match Flag: X

COMMENTS: 001 002 003 004 005 006 007 008 009 010 011 012 013 014 015 016 017 018 019 020

X9XX999999 999 PAGE 2 OF 8 123-45-6789 ST 01

# Condensed Financial Aid History Information

**Your Financial Aid History Information**

Total Loan Amounts:

| FFELP/Direct loans: | Total principal balance: | Remaining amount to be disbursed to you: | Total: |
|---|---|---|---|
| Subsidized loans: | $ 123,456 | $ 123,456 | $ 123,456 |
| Unsubsidized loans: | $ 123,456 | $ 123,456 | $ 123,456 |
| Combined loans: | $ 123,456 | $ 123,456 | $ 123,456 |
| FFEL Consolidation loans: | $ 123,456 | | $ 123,456 |

Perkins loans:

| | |
|---|---|
| Outstanding principal balance: | $ 123,456 |
| Current year loan amount: | $ 123,456 |

| Defaulted Loan Detail Information: | Net loan amount | Loan begin date | Loan end date | Grade level |
|---|---|---|---|---|
| Loan type: FFEL Stafford Unsubsidized | $ 123,456 | 08/30/99 | 05/14/00 | 2 |
| Status code ID as of 08/30/99<br>Outstanding balance $ 123,456 as of 03/31/00 | | | | |
| Loan type: FFEL Stafford Subsidized | $ 123,456 | 08/30/99 | 05/14/00 | 2 |
| Status code ID as of 08/30/99<br>Outstanding balance $ 123,456 as of 03/31/00 | | | | |
| Loan type: Federal Perkins | $ 123,456 | 08/30/99 | 05/14/00 | 2 |
| Status code DU as of 12/23/96<br>Outstanding balance $ 123,456 as of 09/29/88 | | | | |
| Loan type: FFEL Stafford Unsubsidized | $ 123,456 | 08/30/99 | 05/14/00 | 2 |
| Status code DU as of 04/06/96<br>Outstanding balance $ 123,456 as of 08/26/99 | | | | |

# Student Aid Report
## New for 2001-2002

- Students who apply on web or make corrections on web will receive SAR Acknowledgement, unless application is rejected for signature
- FAFSA Express filers will continue to receive SAR

# 2001-2002 SAR and ISIR

- SAR will be green
- SAR and ISIR record layout follow order of questions on FAFSA
- Draft ISIR record layout available on IFAP

# ISIR Changes

## *You asked for it, you got it!*

- New flag indicates whether correction was made by student, school, or CPS
- New EFC Change Flag indicates if EFC has changed from previous transaction
- Correction flags modified to identify corrections both by transaction and cumulatively

# ISIR Changes

- New file sent daily that lists applicants in Signature Hold File (separate message class)
- Students can call to have their record moved from signature hold file to CPS
- School can collect signature page and transmit signature record to CPS

# ISIR Changes

## NSLDS History Information

- New fields added to ISIR at student level
  - Direct Loan Master Promissory Note (MPN) flag
  - FFEL MPN flag and FFEL Lender Code
- New fields added to ISIR at loan level
  - Additional unsubsidized loan flag
  - Capitalized interest flag

# Changes to Edits

- Edit added to “number in college” for dependent students
  - Currently, number in college set to “1” if equal to number of family members
  - If parents are married, # in college cannot be greater than # of family members minus two
  - If PJ used, edits not applied

# Changes to Edits

- If student corrects marital status or “Are You Married” question, comment generated
- If Date of Birth (DOB) equals 1900, 1901, or 1902, SAR/ISIR rejected (verifiable reject A)
- If taxes paid equal to AGI, SAR/ISIR rejected (verifiable reject C)
- Paper FAFSAs without student signature will be processed; student sent rejected SAR (reject 14)

# Changes to Edits

- New Duplicate SSN Flag will alert FAAs when SSN is equal to transaction already on file, but first two letters of last name are different

# Database Matches

- Match with SSA's Prisoner's File will be implemented in 2001-2002 as postscreening
- Will implement automatic INS secondary confirmation match some time after start up
- Status of IRS Match
  - No match implemented yet
  - Statistical studies underway

# 2001-2002 EDExpress
## New Products

- New EDExpress software enables schools to request, import, and print Renewal FAFSAs
- New Return of Title IV Funds software

# EDExpress
## Changes to Software

- Ability to bring forward majority of set-ups and queries from prior year
- Other EDExpress enhancements will be described in various breakout sessions

# For Help

- IFAP

  http://www.ifap.ed.gov

- SFA Technical Support website

  http://www.ed.gov/sfatech

- SFATech e-mail listserve

  For more info and subscription instructions:
  http://www.ed.gov/sfatech/listserv.html

# For Help

- CPS Customer Support: 1-800-330-5947
- CPS@NCS.COM
- TIV WAN Customer Service:
  1-800-615-1189
- FAFSA Express and FAFSA on the Web Customer Service:
  - 1-800-801-0576
  - TTY: 1-800-730-8913

# *Give Us Your Comments or Questions*

- Application Processing, Students Channel
  - Phone: (202) 260-9988
  - FAX: (202) 401-1637

Questions