

**Table of Contents**

[1.0 Introduction 1](#_Toc479632274)

[1.1 What is Transfer Student Monitoring (TSM)? 1](#_Toc479632275)

[1.2 What is Financial Aid History (FAH)? 1](#_Toc479632276)

[2.0 Transfer Student Monitoring on the Web 2](#_Toc479632277)

[2.1 School Transfer Profile 3](#_Toc479632278)

[2.1.1 School Transfer Profile Add 3](#_Toc479632279)

[2.2 Transfer Monitoring Profile Update 7](#_Toc479632280)

[2.3 Transfer Monitoring List 10](#_Toc479632281)

[2.4 Student Monitoring 12](#_Toc479632282)

[2.4.1 Student Monitoring Add Page 13](#_Toc479632283)

[2.4.2 Student Monitoring Detail 14](#_Toc479632284)

[2.4.2.1 Student Monitoring Update 15](#_Toc479632285)

[2.4.2.2 Student Monitoring Delete 16](#_Toc479632286)

[2.4.3 Loan History 17](#_Toc479632287)

[2.4.4 Grants 19](#_Toc479632288)

[2.5 Monitoring Alert Review 22](#_Toc479632289)

[2.5.1 Loan Alert Display 25](#_Toc479632290)

[2.5.2 Grant Alert Display 27](#_Toc479632291)

[2.6 Transfer Monitor Re-Populate 28](#_Toc479632292)

[2.6.1 Re-Populate Transfer Monitoring List page 30](#_Toc479632293)

[2.6.1.1 Errors 33](#_Toc479632294)

[3.0 Financial Aid History on the Web 35](#_Toc479632295)

[3.1 Request for Financial Aid History Report 35](#_Toc479632296)

[4.0 Additional Information 37](#_Toc479632297)

[4.1 Transfer Monitoring Summary Report 37](#_Toc479632298)

[4.2 Organization Contact 39](#_Toc479632299)

[Appendix A – TSM / FAH Batch File Layouts 41](#_Toc479632300)

[What’s New! i](#_Toc479632301)

[1.0 Transfer Student Monitoring and Financial Aid History Batch Processes 1](#_Toc479632302)

[1.1 Batch File Process 1](#_Toc479632303)

[1.2 Batch Files Used 2](#_Toc479632304)

[1.3 Message Classes 4](#_Toc479632305)

[1.4 Definitions of Columns 5](#_Toc479632306)

[2.0 Transfer Student Monitoring and Financial Aid History Batch Inform File Layouts 6](#_Toc479632307)

[2.1 Batch Inform File Layouts 6](#_Toc479632308)

[2.1.1 Batch Inform Header Record Layout 6](#_Toc479632309)

[2.1.2 Batch Inform Detail Record Layout 9](#_Toc479632310)

[2.1.3 Batch Inform Trailer Record Layout 13](#_Toc479632311)

[2.2 Batch Inform Error/Acknowledgment File Layout 14](#_Toc479632312)

[2.2.1 Batch Inform Error/ Acknowledgment Header Record Layout 15](#_Toc479632313)

[2.2.2 Batch Inform Error/ Acknowledgment Detail Record Layout 16](#_Toc479632314)

[2.2.3 Batch Inform Error/ Acknowledgment Trailer Record Layout 17](#_Toc479632315)

[2.2.4 Error and Warning Codes Legends 19](#_Toc479632316)

[3.0 Transfer Student Monitoring Alert/Financial Aid History Batch File Layout 21](#_Toc479632317)

[3.1 TSM/FAH Header Batch Record Layout 21](#_Toc479632318)

[3.2 TSM/FAH Detail Batch Record Layout –Type 1: Aggregates, Perkins, and Flags Record 23](#_Toc479632319)

[3.3 TSM/FAH Detail Batch Record Layout – Type 2: Name History Record 43](#_Toc479632320)

[3.4 TSM/FAH Detail Batch Record Layout – Type 3: Overpayment Record 45](#_Toc479632321)

[3.5 TSM/FAH Detail Batch Record Layout – Type 4: Grant Payment Record 48](#_Toc479632322)

[3.6 TSM/FAH Detail Batch Record Layout – Type 5: Loan Detail Record 54](#_Toc479632323)

[3.7 TSM/FAH Trailer Batch Record Layout 64](#_Toc479632324)

[4.0 Transfer Student Monitoring Alert and Financial Aid History Batch Report Layout 66](#_Toc479632325)

**Table of Figures**

[Figure 2‑1, NSLDS Professional Access Web site Menu page 2](#_Toc488787327)

[Figure 2‑2, School Transfer Profile Add Page 3](#_Toc488787328)

[Figure 2‑3, School Transfer Profile Add Page - Successfully Added 6](#_Toc488787329)

[Figure 2‑4, Transfer Monitoring Profile Update – Organization Profile Page 7](#_Toc488787330)

[Figure 2‑5, School Transfer Profile Update Page 8](#_Toc488787331)

[Figure 2‑6, School Transfer Profile Update Page - Successfully Updated 9](#_Toc488787332)

[Figure 2‑7, Transfer Monitoring List Page 10](#_Toc488787333)

[Figure 2‑8, Student Monitoring Add Page 13](#_Toc488787334)

[Figure 2‑9, Student Monitoring Detail Page 14](#_Toc488787335)

[Figure 2‑10, Student Monitoring Update Page 15](#_Toc488787336)

[Figure 2‑11, Student Monitoring Delete Page 16](#_Toc488787337)

[Figure 2‑12, Loan History Page—Add Student to Monitoring List Button 18](#_Toc488787338)

[Figure 2‑13, Loan History Page—Student Monitoring Detail Button 19](#_Toc488787339)

[Figure 2‑14, Grant History Page—Add Student to Monitoring List Button 20](#_Toc488787340)

[Figure 2‑15, Grant History Page—Student Monitoring Detail Button 21](#_Toc488787341)

[Figure 2‑16, Monitoring Alert Review Page 22](#_Toc488787342)

[Figure 2‑17, Loan Alert Display Page 25](#_Toc488787343)

[Figure 2‑18, Grant Alert Display Page 27](#_Toc488787344)

[Figure 2‑19, Transfer Monitoring Re-Populate Page 28](#_Toc488787345)

[Figure 2‑20, Re-Populate Transfer Monitoring List Page 30](#_Toc488787346)

[Figure 2‑21, Re-Populate Transfer Monitoring List Page – with Error Message 33](#_Toc488787347)

[Figure 2‑22, Re-Populate Transfer Monitoring List Page – Updates Complete 34](#_Toc488787348)

[Figure 3‑1, Request for Financial Aid History Report Parameters 36](#_Toc488787349)

[Figure 4‑1, Transfer Monitoring Summary Report Parameters 38](#_Toc488787350)

[Figure 4‑2, Transfer Monitoring Summary Report 39](#_Toc488787351)

[Figure 4‑3, Organization Contact List Page 40](#_Toc488787352)

[Figure 4‑1, Transfer Student Monitoring/Financial Aid History Report, Part 1 67](#_Toc488787353)

[Figure 4‑2, Transfer Student Monitoring/Financial Aid History Report, Part 2 69](#_Toc488787354)

# Introduction

This User Guide provides instructions on how to use Transfer Student Monitoring (TSM) online, how to request Financial Aid History (FAH), and the TSM/FAH Batch process.

## What is Transfer Student Monitoring (TSM)?

In accordance with final regulations published on November 1, 2000, and on July 1, 2001, NSLDS implemented a new Transfer Student Monitoring Process for students who transfer from one school to another during the same award year. Under the Transfer Student Monitoring Process, a school ‘Informs’ NSLDS of its transfer students, NSLDS ‘Monitors’ changes in the financial aid history of those students, and ‘Alerts’ the school of any relevant changes.

In order to monitor students you, as the school, must have at least one authorized online NSLDS User. The User will need to establish a School Transfer Profile on the [NSLDS Professional Access Web site](http://www.nsldsfap.ed.gov) (NSLDSFAP). Although it is not required, but recommended, schools may sign up at [FSAWebenroll.ed.gov](http://www.FSAWebenroll.ed.gov) to use the “Inform” feature for the TSM/FAH batch service.

After a school informs NSLDS of a transfer student, NSLDS monitors changes to the student’s financial aid history information from the date that the latest Institutional Student Information Record (ISIR) for the student was generated and sent to the school. NSLDS checks to see if there have been any changes in the student’s financial aid history that may be relevant to the student’s eligibility for aid at the new school. Such changes might include a new or cancelled loan or a new or revised disbursement of a loan or grant. NSLDS continues to monitor changes in the student’s financial aid history after the last Alert it sent to the school.

## What is Financial Aid History (FAH)?

According to Dear Colleague Letter GEN 01-09, schools can request a FAH of a transfer student to confirm a student’s eligibility for Title IV aid. NSLDS combines the Transfer Student Monitoring (TSM) and Financial Aid History (FAH) batch processes into one input format file and one output format file. FAH informs schools of a student’s default on a Title IV loan, obligation to an overpayment of Title IV aid, and it assists in the determination of the type and amounts of aid that the student is eligible to receive.

Additionally, schools may submit FAH request on the NSLDSFAP under the Report tab by selecting the Request for Financial Aid History Report, see Section 3.1.

# Transfer Student Monitoring on the Web

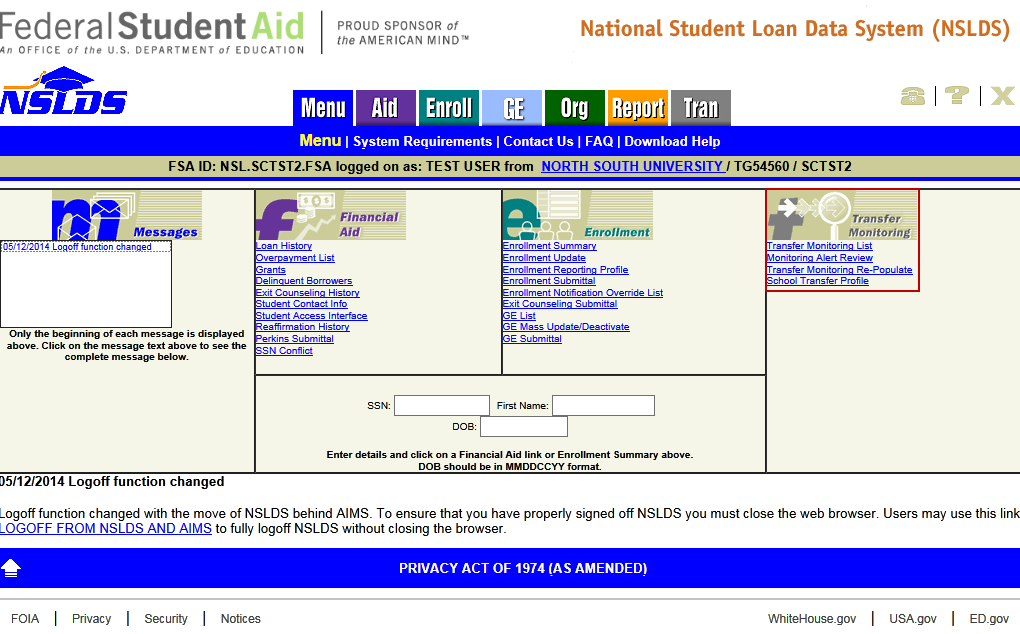


Figure 2‑1, NSLDS Professional Access Web site Menu page

The Transfer Student Monitoring section is located on the [NSLDS Professional Access Web site](http://www.nsldsfap.ed.gov) (NSLDSFAP) under the TRAN tab and Transfer Monitoring Column (Figure 2‑1) and it includes:

1. Transfer Monitoring List – This page displays detailed transfer monitoring student information that can be updated or deleted.
2. Monitoring Alert Review– On this page you can monitor the list of changes that NSLDS sends you regarding student aid information that may affect eligibility for the current award year.
3. Transfer Monitoring Re-Populate– On this page you can retrieve those students previously submitted that no longer display on the Transfer Monitoring List page. This will allow the user to add students back to the Transfer Monitoring List for additional monitoring.
4. School Transfer Profile - Displays school contact information for the Transfer Monitoring Process. It will also display information on your school setup for submitting Inform files and receiving Alert notifications. This information is provided and updated by school users, and must be completed prior to submitting your school’s first inform.

Note: Users must have the Enrollment Update for NSLDS Online Services option enabled through [www.fsawebenroll.ed.gov](http://www.fsawebenroll.ed.gov) in order for school users to view the GE tab.

The following sections will take you through the steps on how to set up and utilize Transfer Student Monitoring.

## School Transfer Profile

In order to monitor students you must have at least one authorized online NSLDS User. The User will need to establish a School Transfer Profile on the [NSLDS Professional Access Web site](http://www.nsldsfap.ed.gov).

### School Transfer Profile Add

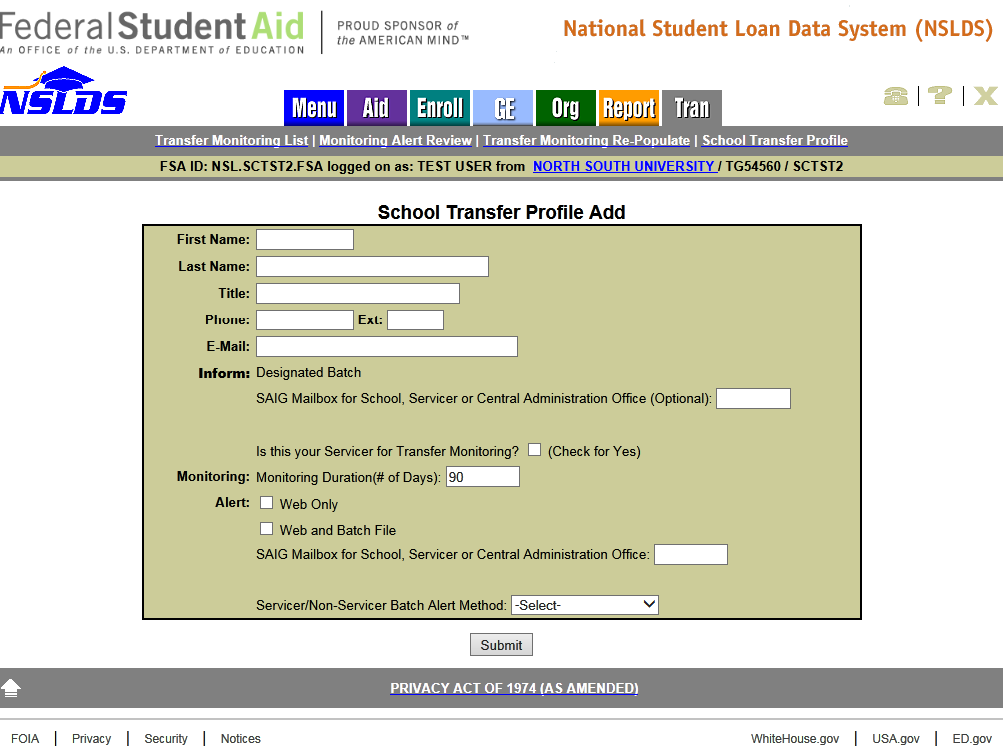


Figure 2‑2, School Transfer Profile Add Page

To establish a TSM profile, school users access the School Transfer Profile Add page. The School Transfer Profile Add page is the first page a school must complete before using the Transfer Student Monitoring process. This page is accessible on TRAN tab or from the Main Menu page by clicking the School Transfer Profile page link in the Transfer Monitoring Column (Figure 2‑1). This page is also accessible through the ORG tab under the Organization Profile link by clicking on the Transfer Monitoring Profile Add button at the bottom of the page.

The School Transfer Profile Add page (Figure 2-2) allows you to add your School Transfer Profile information, which includes contact information as well as preferences for submitting Inform files, receiving Alert notifications and selecting the duration of the monitoring (in number of days).You must complete this page before submitting your first Inform data.

The School Transfer Profile Add page displays the following fields, which need to be completed by the School:

* **First Name**—The first name of the school contact.
* **Last Name**—The last name of the school contact.
* **Title**—The school contact’s title.
* **Phone**—The school contact’s phone number and extension.
* **E-mail**—The e-mail address of the person(s) assigned to receive Alert notifications. You may use a group e-mail address established by your school if you would like more than one person to receive Alert messages. If an Alert has been generated for your school, NSLDS sends an e-mail to the contact listed on the School Transfer Profile page, stating that an alert file for the school is available.
* **Inform**—The SAIG mailbox from which Inform Batch Files will be submitted. Anyone with a User ID associated with this school can submit an Inform file via batch. A school also can request that an outside agency, such as a servicer, submits Inform files. However, the school must provide a SAIG mailbox from which those files will be sent. If a servicer is chosen, the “Is this your Servicer for Transfer Monitoring?” box must be checked. These fields are optional for schools that opt to use the NSLDSFAP web site solely for managing their Transfer Monitoring List.

Note: A SAIG mailbox is the TG number used to transmit data. It starts with “TG.”

* **Monitoring**—This field tracks the monitoring time period (in number of days) designated by a school for monitoring their transfer students.

Note: The Monitoring Duration, which defaults to 90 days but can be updated between 30-120 days.

* **Alert**—A school must indicate whether it wants Alert information via the Web Only or via Web and Batch Files. One of the options must be checked. A SAIG mailbox must be provided by the school if the Web and Batch File option is selected. This is the mailbox where the Alert Batch File records are sent.
* **SAIG Mailbox**—If the school designated “Web and Batch File Alerts” as the output option, provide the TG number to which those Batch File Alerts will be sent. If the school uses an outside agency, such as a servicer, this TG number must belong to the servicer. If the school requested Web Only Alerts, this box is blank.
* **Servicer/Non-Servicer Batch Alert Method**—If the school designated Web and Batch File Alerts as the output option, provide the format of the Batch File Alert to be received, in either Extract or Report format. The Extract format is strictly a string of data, usually imported into a spreadsheet format, such as MS Excel or a mainframe. The Report format presents the data in an easy to read report format. If the school uses a servicer, select between the Servicer options, otherwise select one of the Non-Servicer options.

The minimum information required for a School Transfer Profile includes:

* First and Last Names
* Phone number and extension
* E-mail address
* Alert Method
* Servicer/Non-Servicer Batch Alert Method (if chosen by the school)

Once the profile is completed, click **Submit**. Once submitted, a message will appear stating that the profile was successfully added (Figure 2-3) when the School Transfer Profile Add page was accessed via the TRAN tab or the Main Menu page. If the School Transfer Profile Add page was accessed via the Organization Profile page, the user is navigated back to the Organization Profile page (Figure 2-4) where the input can be reviewed.

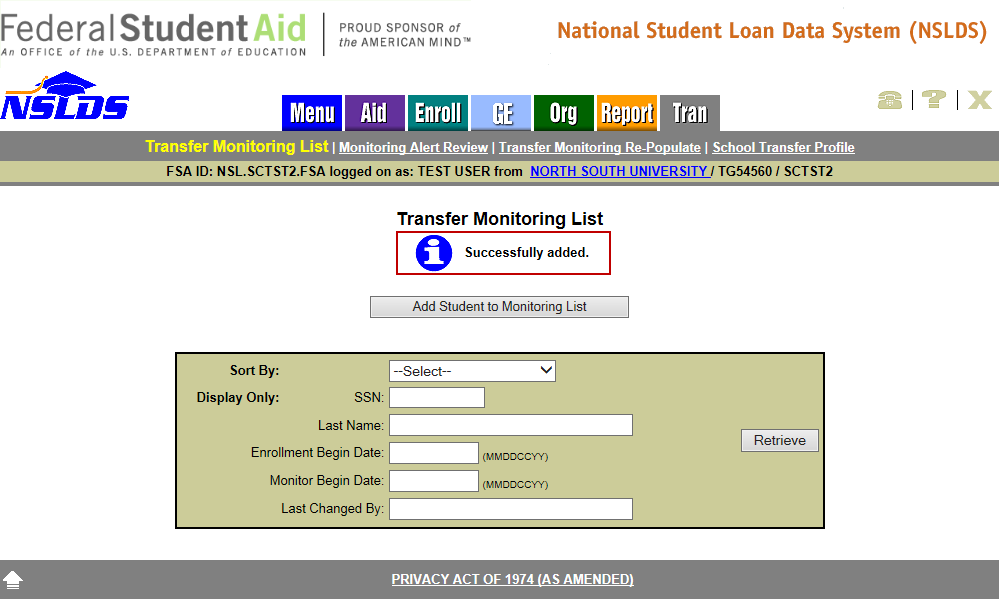


Figure 2‑3, School Transfer Profile Add Page - Successfully Added

* If a school user tries to access any of the Transfer Monitoring functions before completing the School Transfer Profile, the user will be directed to the School Transfer Profile Add page.

If a school attempts to add a student to its Transfer Monitoring List via batch before completing the School Transfer Profile, NSLDS will reject and send back an error file. This page will only display once. After the information and preferences on this page are completed, a school must use the School Transfer Profile Update function to make any changes. Although every NSLDS online user associated with the 8 digit OPEID level has access to complete the School Transfer Profile page initially, you should designate a specific person to manage this function to ensure it is always up to date.

## Transfer Monitoring Profile Update

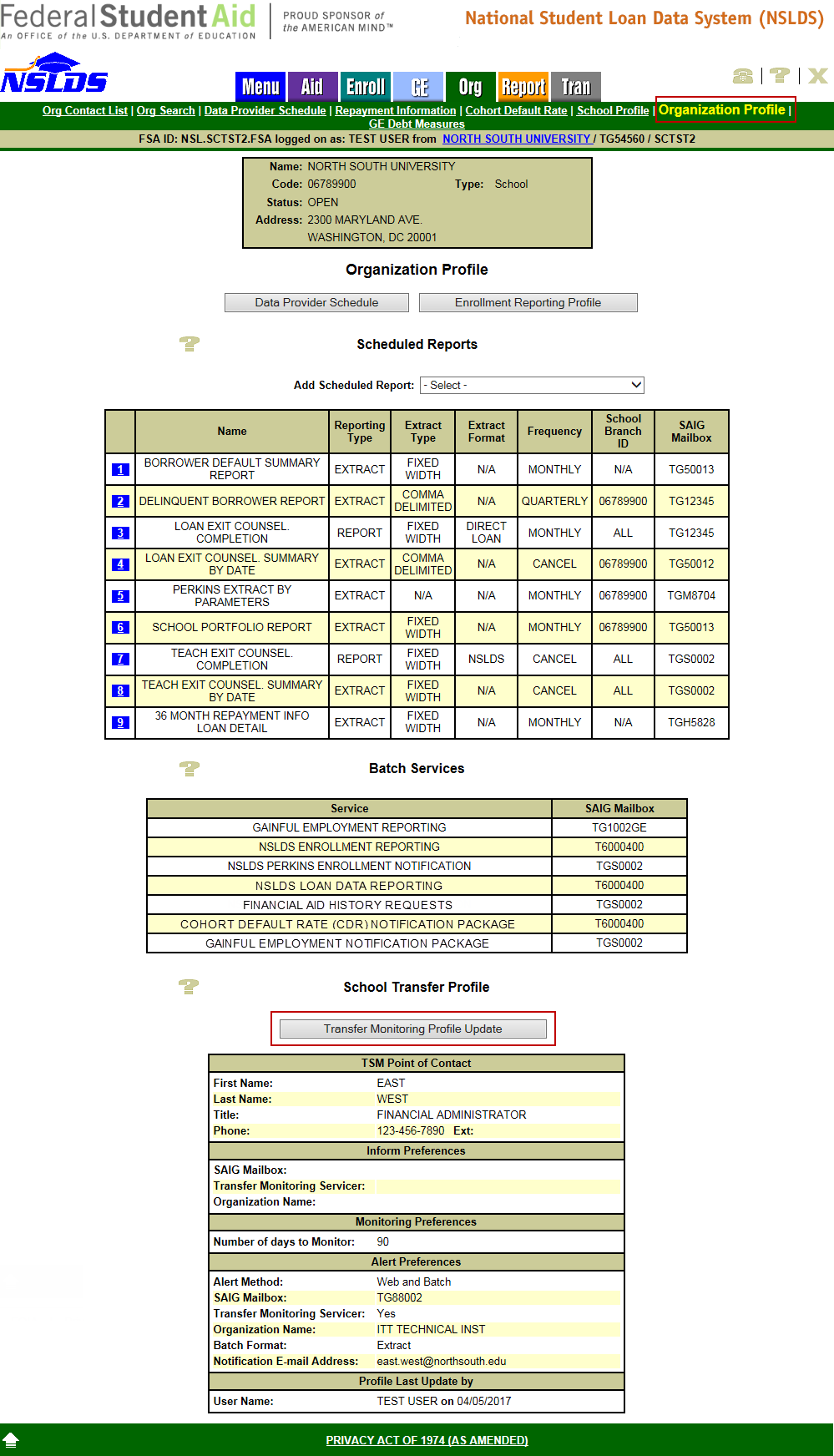


Figure 2‑4, Transfer Monitoring Profile Update – Organization Profile Page

The Transfer Monitoring Profile Update option, found on the Organization Profile page of the ORG Tab, (Figure 2‑4) provides information about the Transfer Monitoring information on record for the school. It also displays the name of the last person to update the page and the date of the update.

The School Transfer Profile section, at the bottom of the Organization Profile page, displays the Transfer Monitoring Profile Update button which links the user to the School Transfer Profile Update page. Note: The School Transfer Profile section can also be accessed by clicking on the School Transfer Profile link under the TRAN tab.

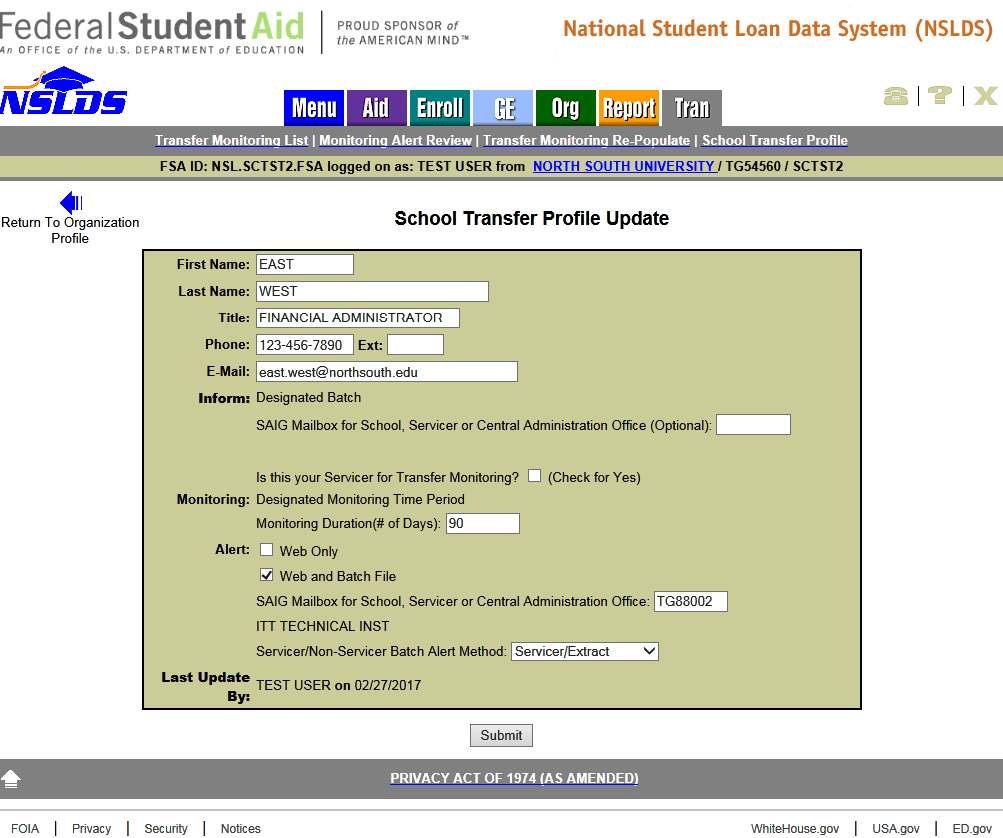


Figure 2‑5, School Transfer Profile Update Page

The School Transfer Profile Update page (Figure 2‑5) allows you to update the School Transfer Profile information.

The School Transfer Profile Update page displays the same fields as the Add page. Once you have made the necessary changes, click the **Submit** button at the bottom of the page. Once submitted a message will appear stating that the profile was successfully added (Figure 2-6).

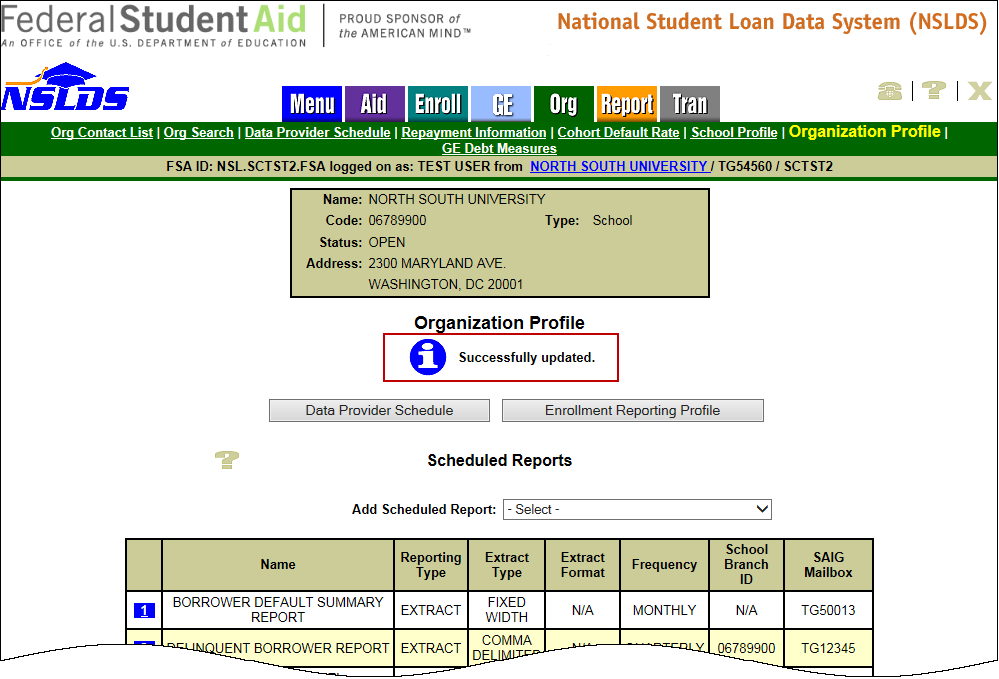


Figure 2‑6, School Transfer Profile Update Page - Successfully Updated

## Transfer Monitoring List

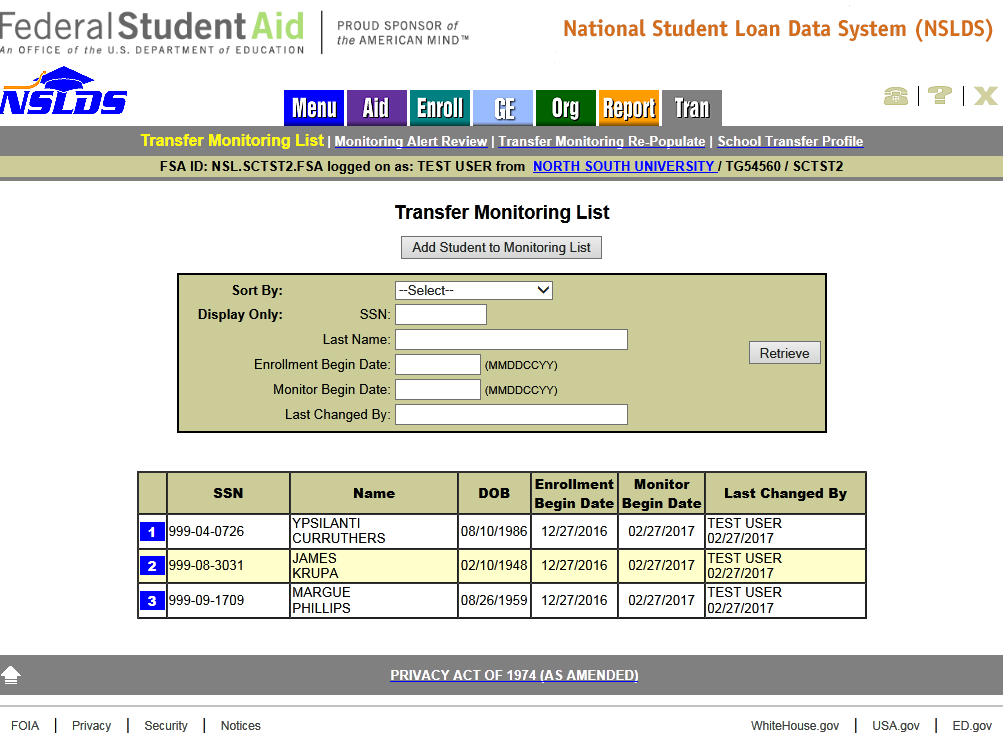


Figure 2‑7, Transfer Monitoring List Page

The Transfer Monitoring List page (Figure 2‑7) is the default page displayed when you click the TRAN tab after your initial completion of the School Transfer Profile Add page. This page lists all students that were submitted by the school via the online Student Monitoring Add page or via the Transfer Monitoring Inform Batch File. If a record was submitted online, the name associated with the user ID of the person creating the record displays under the Last Changed By column. If the record was submitted via a Transfer Monitoring Inform Batch File, “BATCH” Displays under this column.

The Transfer Monitoring List page displays the following fields:

* **Sort By**—This field is located below the **Add Student** **to Monitoring List** button. The default sort order for the Transfer Monitoring List is alphabetical by Student Last Name. You may change the sorting order by selecting any of the following options from the dropdown box:
* Name
* SSN
* Enrollment Begin Date
* Monitor Begin Date
* Last Changed By
* **Display Only**—You can filter the list by providing any of the Display Only fields and selecting the **Retrieve** button. You may specify a group of students on the list to be displayed by the following filtering fields:
* SSN
* Last Name
* Enrollment Begin Date
* Monitor Begin Date
* Last Changed By

Sorting/filtering occurs when you click the **Retrieve** button. The list displays up to 125 records per scrollable page. **Next** and **Previous** navigation arrows are displayed at the bottom and top of page, respectively, if more than 125 records exist.

Each student is displayed on the list with the following attributes, reading from left to right across the page:

* **Active Bullet Number Icon**—Numbering does change with sorting. Clicking the hyperlinked blue list number takes you to the Transfer Monitoring Detail page for the selected record.
* **Inactive Bullet Number Icon**—Numbering does change with sorting. If an Alert was previously generated for a student and has since been deleted or updated with a more recent Transfer Monitoring List record, the row will become inactivated and turn gray. The inactive record will stay on the Transfer Monitoring List page for at least 90 days after the Enrollment Begin Date but no more than your customized monitoring duration. This is to maintain the history of your Alerts for audit purposes.
* **SSN**—The student’s Social Security number. If NSLDS generates an Alert for a student record, this record will display an Alert icon next to the Social Security number.
* **Name**—The student’s first and last name as reported by the school on the Inform Batch File or Student Monitoring Add page.
* **DOB**—The student’s date of birth. If a student record was added to the Transfer Monitoring List, but is not found in NSLDS, the DOB defaults to the value you provided for the record.
* **Enrollment Begin Date**—The date the student is scheduled to begin classes at the school.
* **Monitor Begin Date**—The date the school wants NSLDS to begin monitoring the student.
* **Last Changed By**—This box displays the name of the person who added or made the last update to this student’s monitoring record, and the date that change was made. If there have been no updates since the student was added to the list, the name of the user who added the student is displayed along with the date that the student was added. If the student was added or last updated via batch process, “BATCH” and the process date display.

## Student Monitoring

Schools may add students for monitoring online and through batch:

* Online – Schools can use the Student Monitoring Add page to add students. If a record was submitted online, the name associated with the user ID of the person creating the record displays under the Last Changed By column. The Student Monitoring Add page is accessible on the following pages:
  + Transfer Monitoring List page
  + Loan History page
  + Grant page
* Batch – Schools can submit the Transfer Monitoring Inform Batch File to add students. If the record was submitted via a Transfer Monitoring Inform Batch File, “BATCH” Displays under this column.

### Student Monitoring Add Page

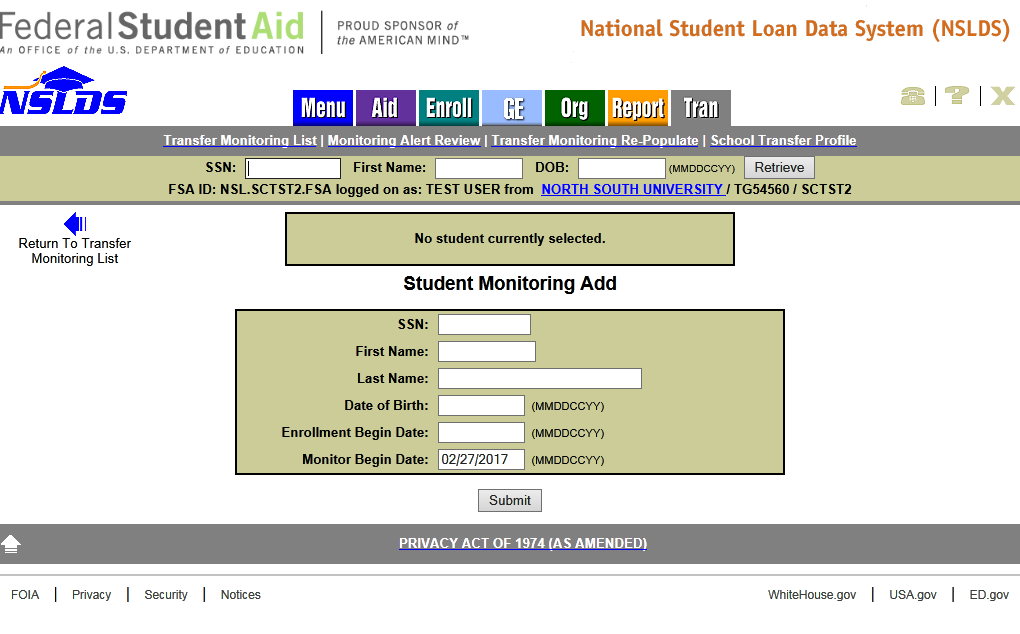


Figure 2‑8, Student Monitoring Add Page

The Student Monitoring Add page (Figure 2‑8) allows you to use the Web to inform NSLDS of students to be added to the Transfer Monitoring List. If you are adding a student to the Transfer Monitoring List who is not in the NSLDS database, the student is only added to the Transfer Monitoring List and does not display on any other pages of the NSLDS Professional Access Web site. This page is accessible from the Transfer Monitoring List page or from a student’s Loan History or Grants History page by selecting the Add Student to Monitoring List button.

The Student Monitoring Add page displays the following fields:

* **SSN**—The student’s Social Security number. It automatically displays when the Student Monitoring Add page is accessed from the Loan History, Grants History, or Transfer Monitoring List pages, assuming a student has been selected.
* **First Name**—The student’s first name. It automatically displays when the Student Monitoring Add page is accessed from the Loan History, Grants History, or Transfer Monitoring List pages, assuming a student has been selected.
* **Last Name**—The student’s last name. It automatically displays when the Student Monitoring Add page is accessed from the Loan History, Grants History, or Transfer Monitoring List pages, assuming a student has been selected.
* **Date of Birth**—The student’s date of birth. It automatically displays when the Student Monitoring Add page is accessed from the Loan History, Grants History, or Transfer Monitoring List pages, assuming a student has been selected.
* **Enrollment Begin Date**—The date reported for when a student is to begin classes at the school. You must type a date in this box in the format indicated.
* **Monitor Begin Date**—The date the school wants NSLDS to begin monitoring the student. Today’s date will display by default, but this date can be changed as necessary. You may request monitoring to begin on a future date. If the date is a future date, make sure the date is not after the date you have listed as the Enrollment Begin Date.

To add the student to the school’s Transfer Monitoring List, click the **Submit** button.

### Student Monitoring Detail

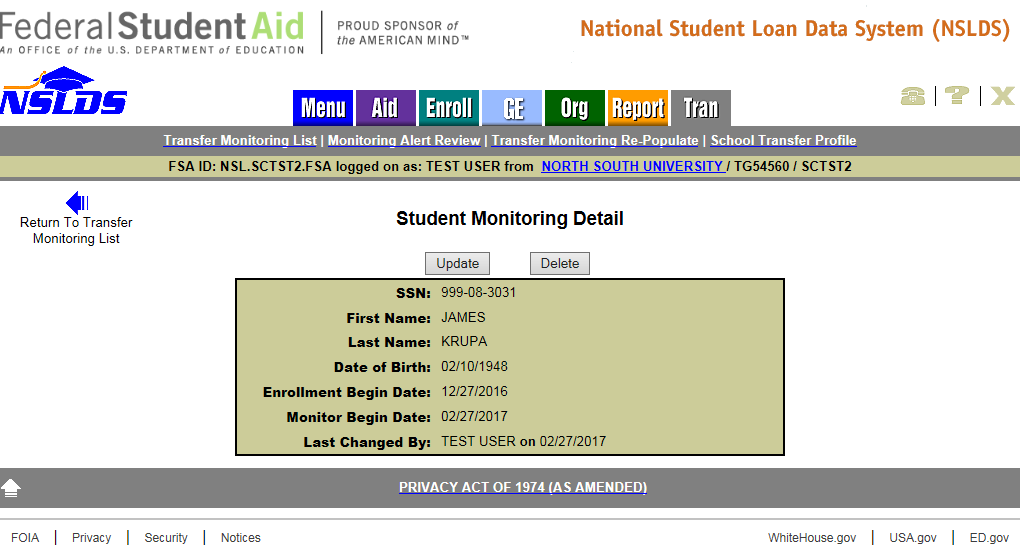


Figure 2‑9, Student Monitoring Detail Page

The Student Monitoring Detail page (Figure 2‑9) provides detailed transfer monitoring student information that can be updated or deleted. It is accessible from the Loan History page, Grants History page, or Transfer Monitoring List page. To access this page from the Transfer Monitoring List, click the hyperlinked blue list number next to the student’s information.

The Student Monitoring Detail page displays the following fields:

* **Update Button**—Located below the title. To update the student’s record, click the **Update** button. This button links you to the Student Monitoring Update page.
* **Delete Button**—Located beside the **Update** button. To delete the student’s record from the Transfer Monitoring List or Inform Batch File, if applicable, click the **Delete** button. This links you to the Student Monitoring Delete page.

#### Student Monitoring Update

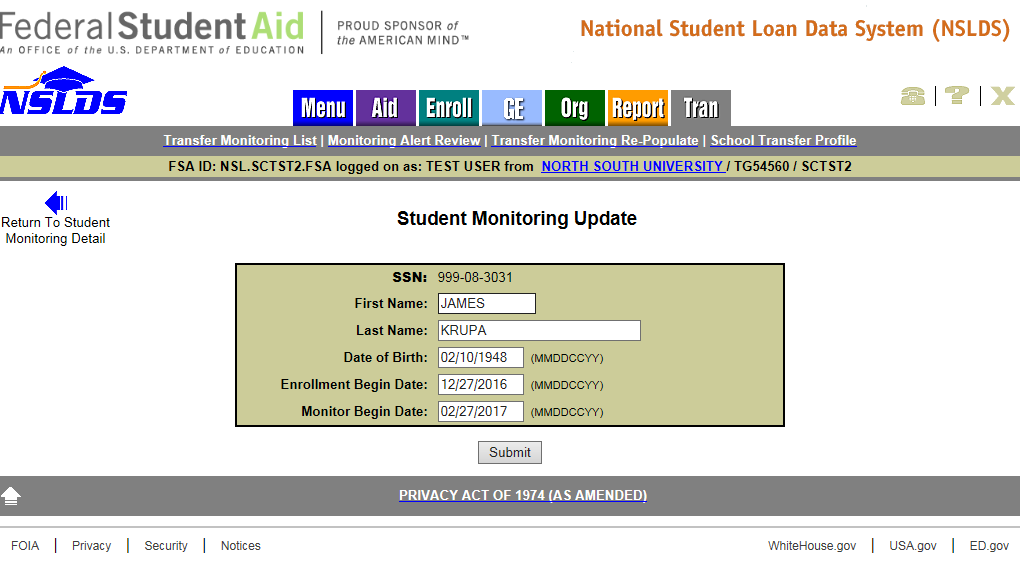


Figure 2‑10, Student Monitoring Update Page

The Student Monitoring Update page (Figure 2‑10) allows you to update the student’s Transfer Monitoring Detail information and is accessible from the Student Monitoring Detail page.

The Student Monitoring Update page displays the same fields as the Add page. Once you have made the necessary changes, click the **Submit** button at the bottom of the page. Once submitted, a message will appear stating that the profile was successfully updated.

#### Student Monitoring Delete

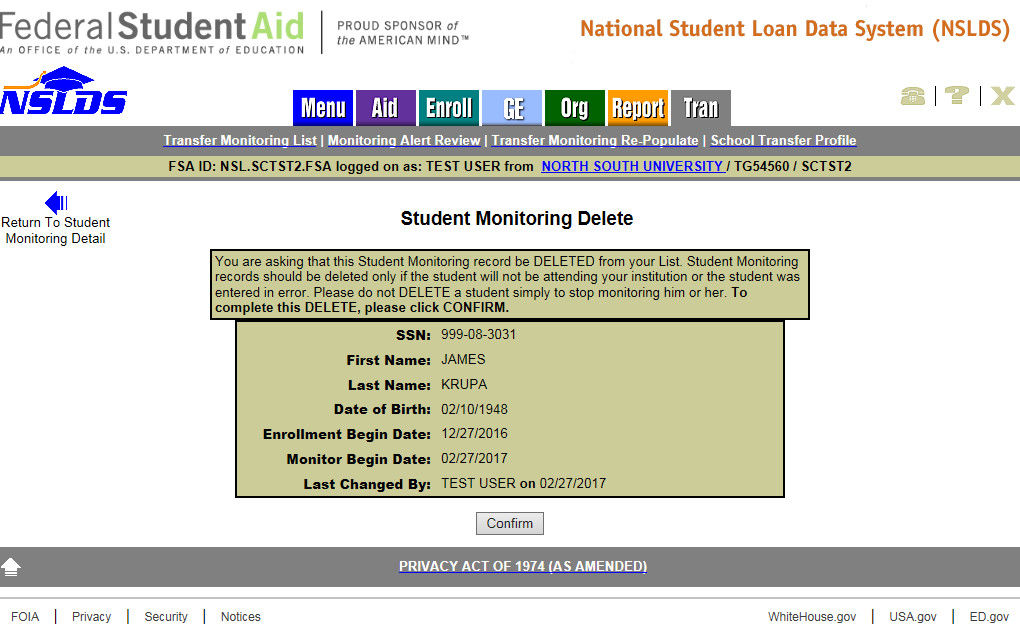


Figure 2‑11, Student Monitoring Delete Page

The Student Monitoring Delete page (Figure 2‑11) allows you to delete the student from your school’s Transfer Monitoring List or Inform Batch File. Student Monitoring records should only be deleted if the student will not be attending your school or was added in error. Do not delete a student simply to stop monitoring him or her.

Schools’ capability to update the Monitoring Duration time period (selecting from a 30-120 day range instead of a fixed period of 90 days at all times), as part of the School Transfer Profile, provides schools greater flexibility in controlling the monitoring time periods for records. This added flexibility eliminates the need to stop a record from being monitored, as monitoring will automatically stop for all students once the Monitoring Duration time period (30-120 days after the Enrollment Begin Date) has been reached.

The Student Monitoring Delete page displays after you click the **Delete** button on the Student Monitoring Detail page. This page confirms the student’s deletion from the Transfer Student Monitoring process. Once the delete has been confirmed, the student’s information is removed from the Transfer Monitoring List or Inform Batch File, if applicable.

To delete a record, click the **Confirm** button at the bottom of the page. Deletion ends the Monitoring process and removes the student from the Transfer Monitoring List. A record of the deletion is maintained by NSLDS for auditing purposes.

### Loan History

The Loan History page provides you a link to the Student Monitoring Add page or the Student Monitoring Detail page, depending on whether the student is already on the Transfer Monitoring List for your school or not. Once a student record has been retrieved, the student identifiers display at the top of the page under the Student Search Boxes. Below these identifiers, the information icon and a command button display.

* If the student displayed is not on the Transfer Monitoring List for your school, the **Add Student to Monitoring List** button displays (Figure 2‑12). Clicking this button links you to the Student Monitoring Add page (Figure 2‑8). You will only need to complete the Enrollment Begin Date and Monitoring Begin Date—if other than the default date—and select the **Submit** button to have the student added to your Inform list.

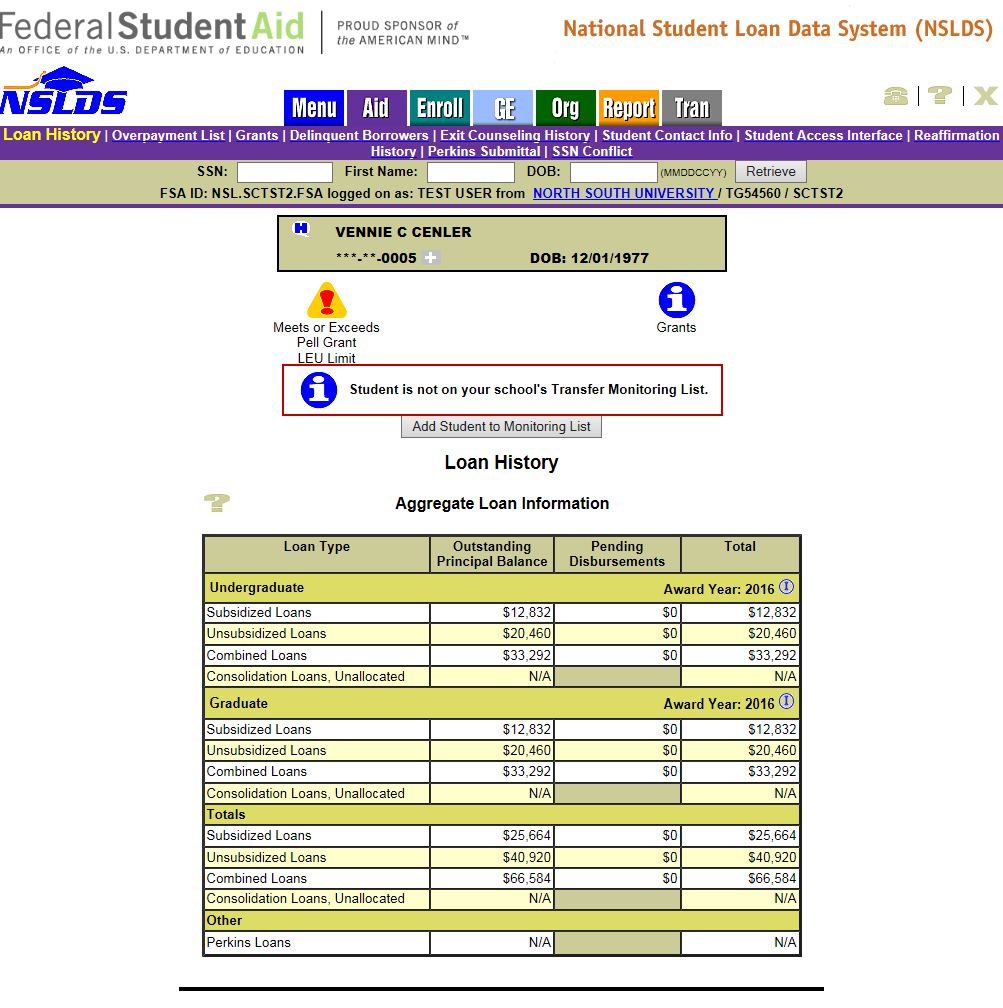


Figure 2‑12, Loan History Page—Add Student to Monitoring List Button

* If the student displayed is already on the Transfer Monitoring List for your school, the **Student Monitoring Detail** button displays on the Loan History page (Figure 2‑13). Clicking this button links you to the Student Monitoring Detail page (Figure 2‑9).

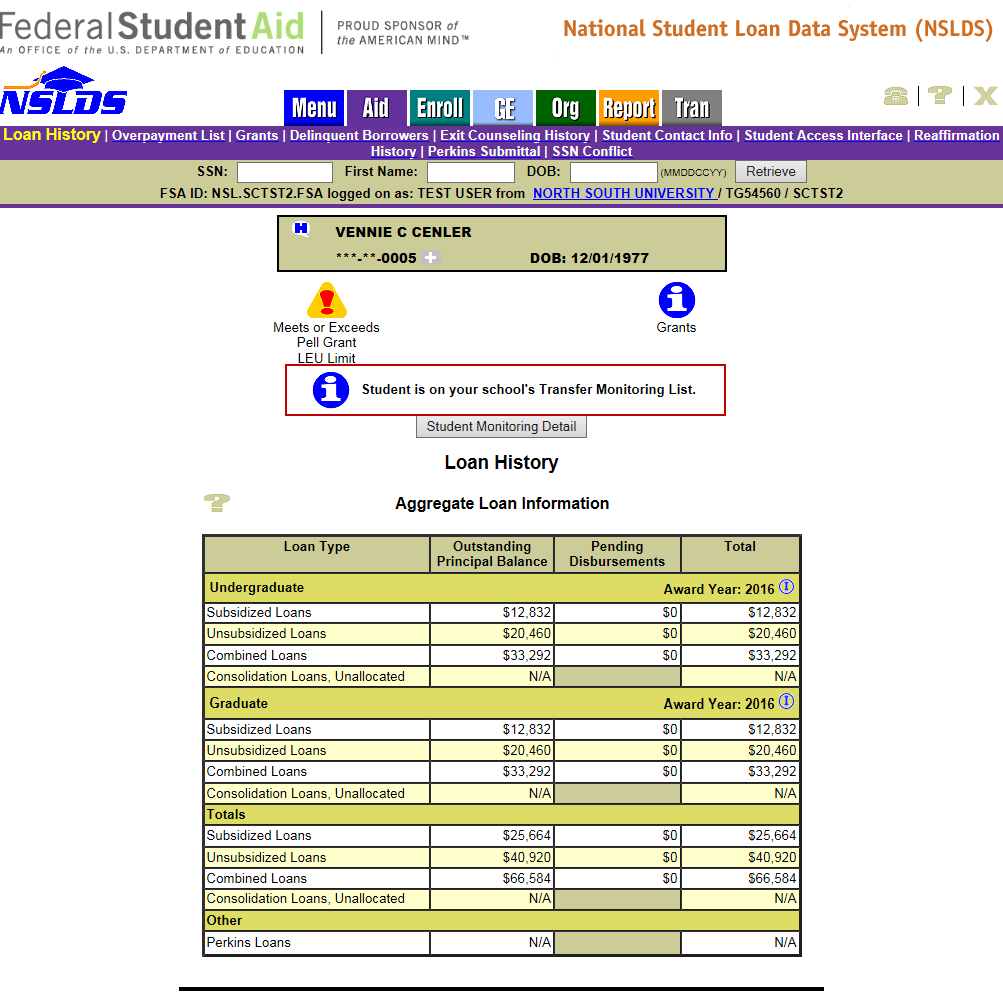


Figure 2‑13, Loan History Page—Student Monitoring Detail Button

### Grants

The Grants History page provides you a link to the Student Monitoring Add page or the Student Monitoring Detail page, depending on whether the student is already on the Transfer Monitoring List for your school or not. Once a student record has been retrieved, the student identifiers display at the top of the page under the Student Search Boxes. Below these identifiers, the information icon and a command button display.

* If the student displayed is not on the Transfer Monitoring List for your school, the **Add Student to Monitoring List** button displays (Figure 2‑14). Clicking this button links you to the Student Monitoring Add page (Figure 2‑8). You will only need to complete the Enrollment Begin Date and Monitoring Begin Date—if other than the default date—and select the **Submit** button to have the student added to your Inform list.

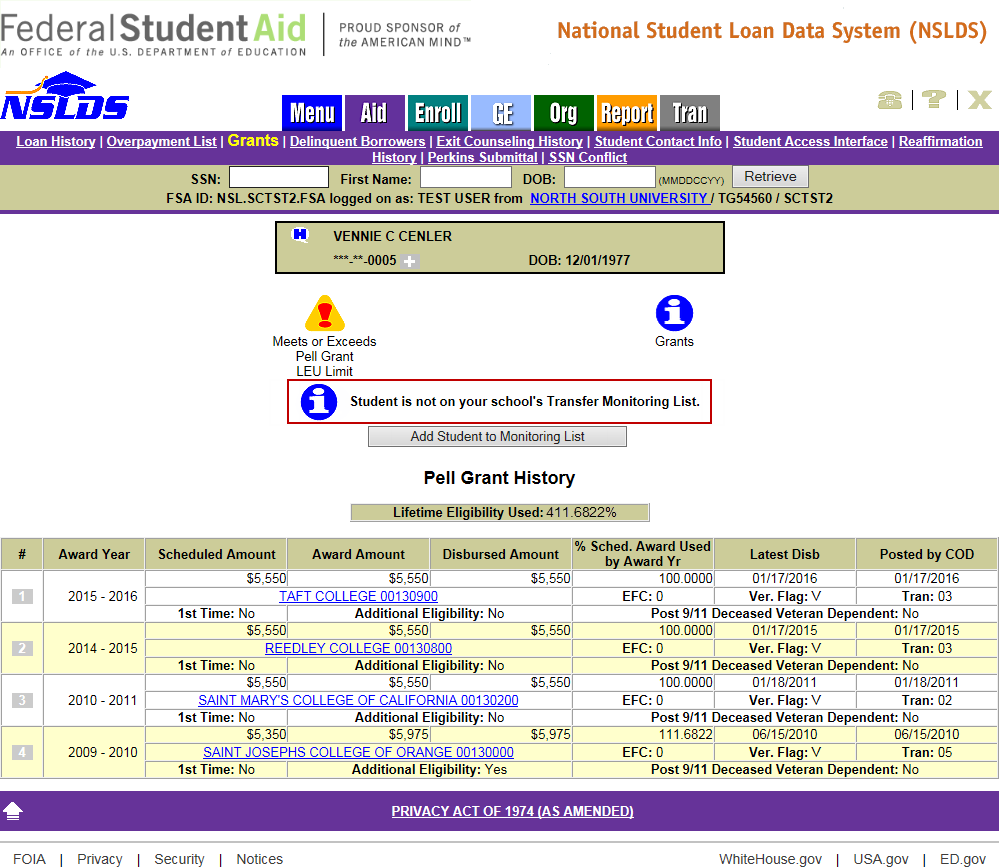


Figure 2‑14, Grant History Page—Add Student to Monitoring List Button

* If the student displayed is already on the Transfer Monitoring List for your school, the **Student Monitoring Detail** button displays. For example see the Grants History page (Figure 2‑15). Clicking this button links you to the Student Monitoring Detail page (Figure 2‑9).

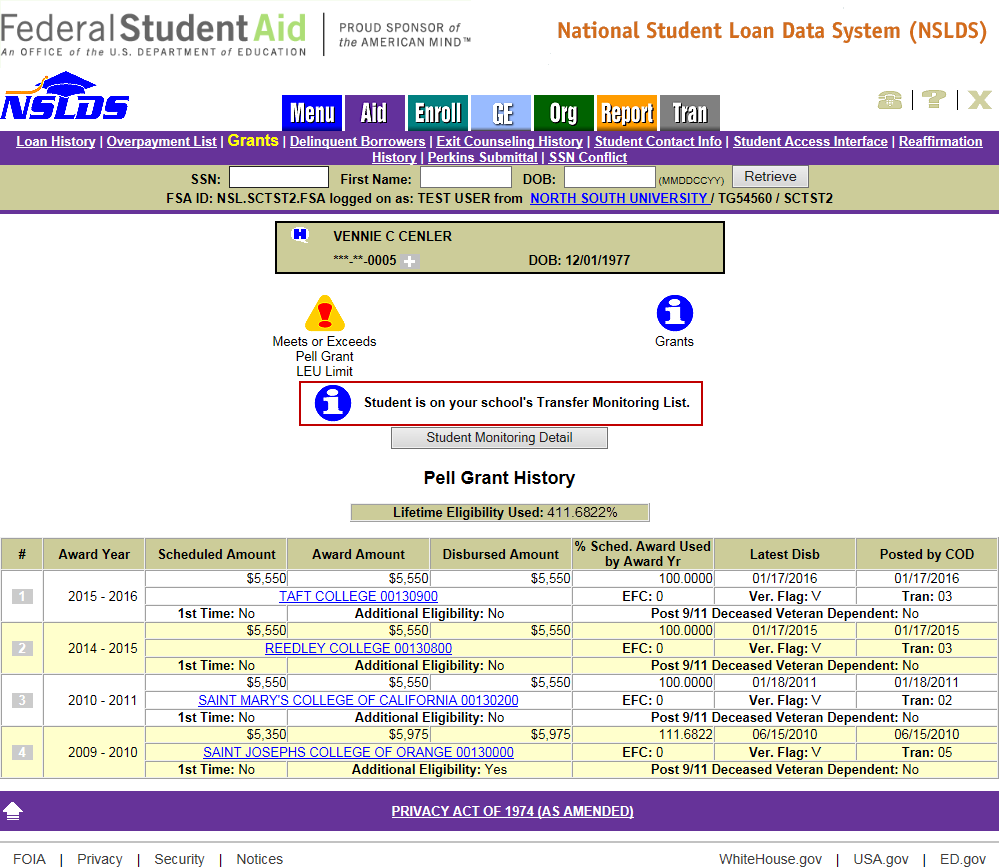


Figure 2‑15, Grant History Page—Student Monitoring Detail Button

## Monitoring Alert Review

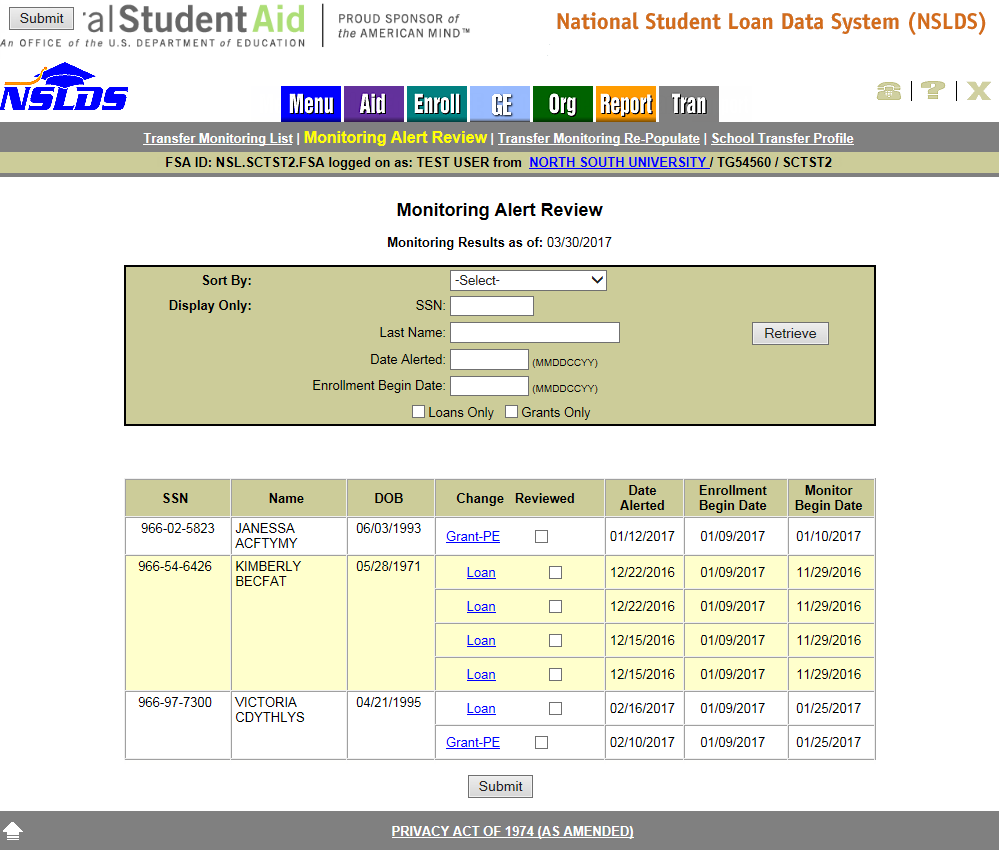


Figure 2‑16, Monitoring Alert Review Page

The Monitoring Alert Review page (Figure 2‑16) lists all students on a school’s Transfer Monitoring List for whom relevant changes in aid history have been reported.

NSLDS sets an Alert on any student listed on a school’s Transfer Monitoring List whenever a relevant aid history change has been reported on a student’s record. Any authorized NSLDS user for a school can view the school’s current Monitoring Alert Review list online at any time. In addition, a school can request that any Alerts be sent in an Alert Batch File via its SAIG mailbox (or the school servicer’s SAIG mailbox). The school’s requested Alert Method is displayed on the School Transfer Profile (Figure 2‑4).

Note: Go to the School Transfer Profile Update page (Figure 2‑5) if you would like to change the method in which NSLDS sends your school an Alert. The next Alert notification will use the updates.

A student’s record will be monitored for up to the number of monitoring days specified on the school’s profile after the Enrollment Begin Date reported by the school. On the day after this monitoring time period ends, the record will drop off from both the Transfer Monitoring List and Monitoring Alert Review pages. Be aware of the timing of the Alert process when you attempt to review Alerts as records may have dropped from the Monitoring Alert Review page if the number of monitoring days for the records was exceeded (see Section 4.1 Transfer Monitoring Summary Report to help reconcile records NSLDS identified during the Alert process).

The Monitoring Alert Review page displays the following fields:

* **Monitoring Results as of**—Located directly below the title. This date indicates when the last Monitoring process occurred. It is automatically updated after NSLDS runs the weekly Monitoring process.
* **Sort By**—This field is located directly below the “Monitoring results as of” date. The default sort order for this list is alphabetical by Student Last Name. The default display sort order for the Transfer Monitoring List is broken into two sections: Reviewed and Not Reviewed. A record with no check mark in the Reviewed box will display at the top of the list alphabetically by last name. Records that have check marks in the Reviewed boxes will display next, also alphabetically by last name. You may change the sorting order by selecting any of the following options from the dropdown box-
* Name
* SSN
* Alert Date
* Enrollment Begin Date
* Monitor Begin Date
* Loan First
* Grant First
* **Display Only**—You can filter the list by providing any of the Display Only fields and selecting the **Retrieve** button. You may specify a group of students on the list to be displayed by the following filtering fields:
* SSN
* Last Name
* Date Alerted
* Enrollment Begin Date
* Loans Only
* Grants Only

Sorting/filtering will take place when you click the **Retrieve** button. The list displays up to 75 records per scrollable page. **Next** and **Previous** navigation arrows are displayed at the bottom and top of page, respectively, if more than 75 records exist

Below the Sort By/Display Only box, the Student Record Information box displays. The following fields are included in this box:

* **SSN**—The student’s Social Security number.
* **Name**—The student’s first and last name.
* **DOB**—The student’s date of birth.
* **Change/Reviewed**—This column lists links to the changes that prompted the Alert. A column of check boxes is also displayed. Once an Alert is reviewed, check the box to mark it as reviewed. Clicking the link to the Alert information does not check the Reviewed box automatically. If the school requested that its Alerts be sent via Alert Batch File, any change reported via batch is automatically checked online as Reviewed.
* **Date Alerted**—The date when NSLDS added the student’s record to the Monitoring Alert Review list and/or sent an Alert Batch File on the student to the school, if applicable.
* **Enrollment Begin Date**—The date the student is scheduled to begin classes at the school.
* **Monitor Begin Date**—The date the school wants NSLDS to begin monitoring the student.

The **Submit** button at the bottom of the page applies the Reviewed checked boxes, saves updates to the database, and re-orders the list by checked Reviewed boxes.

### Loan Alert Display

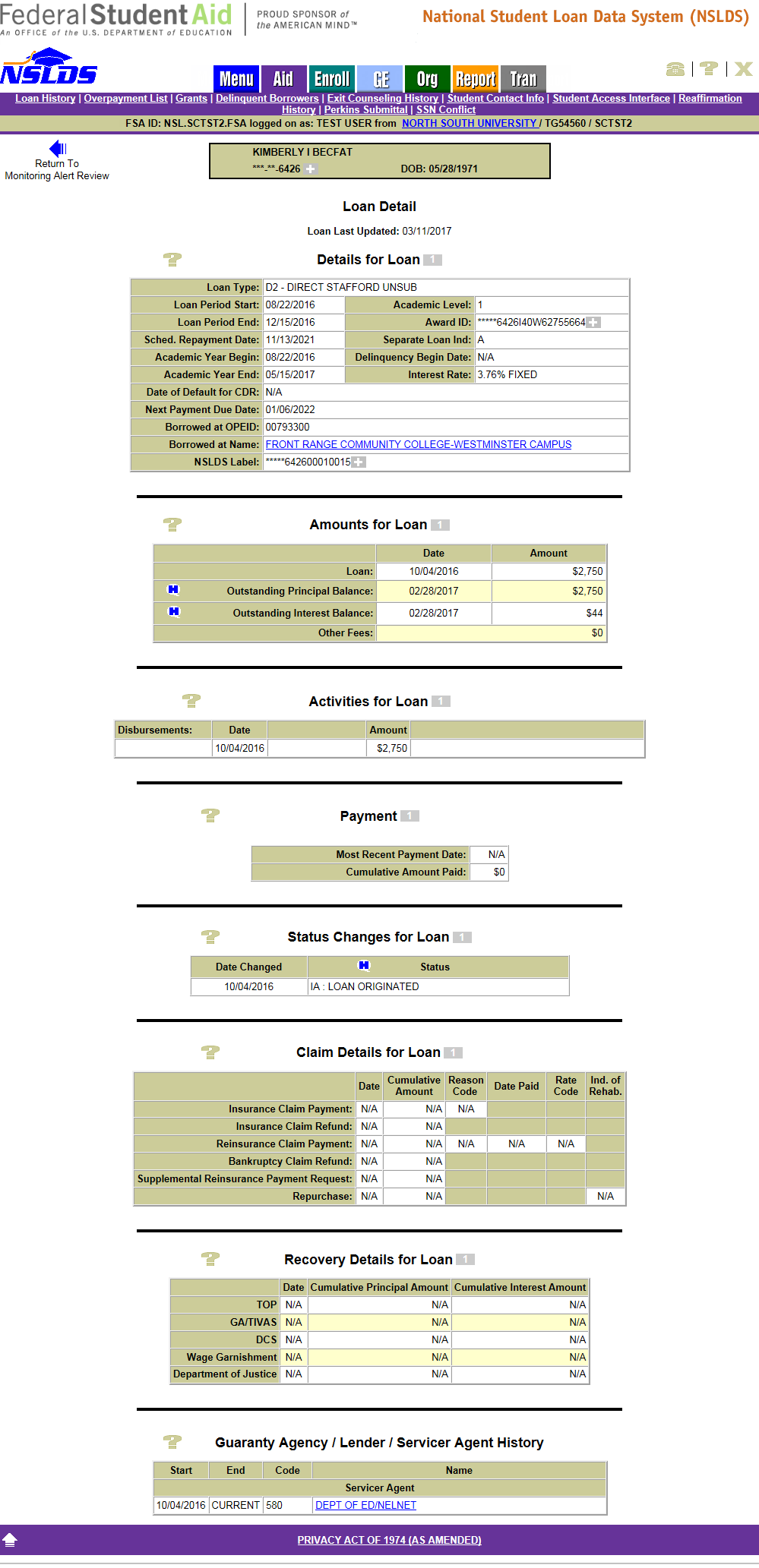


Figure 2‑17, Loan Alert Display Page

The Loan Alert Display page (Figure 2‑17) is accessible from the Monitoring Alert Review page and allows users to review the most recent information reported that may affect a student’s eligibility for Title IV loans at his or her school.

Changes that prompt an Alert for loans are:

* New loan reported [Federal Family Education Loan (FFEL), Direct Loan, or Perkins].
* New disbursement or reduction in disbursed amount on an existing loan (FFEL, Direct Loan, or Perkins).
* A change in the amount of Outstanding Principal Balance (OPB) on an existing loan (FFEL, Direct Loan, or Perkins).

Note: The OPB History page displays the changes made to the amounts. Select the history icon “H” from the Amounts for Loan table to get to the Outstanding Amount Balance History page.

* New, increased, or decreased cancellation amount reported on a loan.
* Change in amount of loan (FFEL, Direct Loan, or Perkins).

If the student had a relevant change reported on a loan, the **Loan** link displays on the Monitoring Alert Review page. Clicking the **Loan** link takes you to the student’s Loan Alert Display (Details for Loan) page (Figure 2‑17) for that specific loan to view the change reported.

If the student has changes to more than one loan, multiple **Loan** links display, each taking you to the Loan Detail page for the specific loan you have selected.

### Grant Alert Display

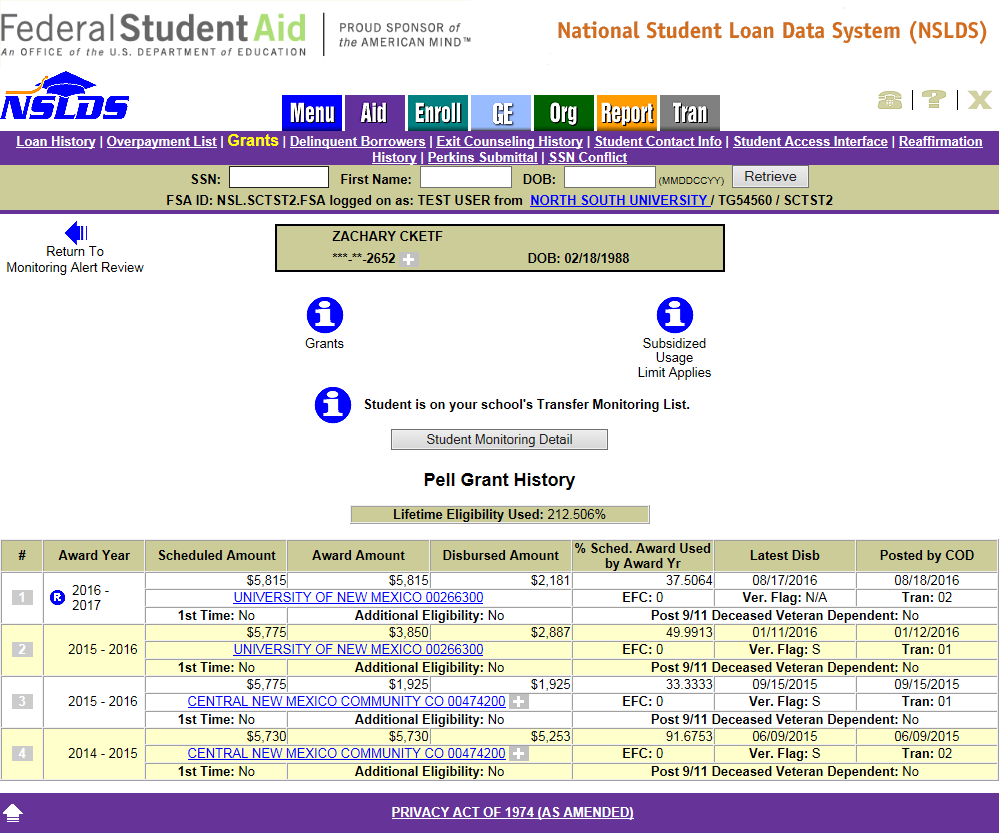


Figure 2‑18, Grant Alert Display Page

The Grant Alert Display page (Figure 2‑18) is accessible from the Monitoring Alert Review page and allows users to review the most recent information reported that may affect a student’s eligibility for Pell, Academic Competitiveness Grants (ACGs), National Science and Mathematics Access to Retain Talent (SMART), or Teacher Education Assistance for College and Higher Education (TEACH) Grants.

Changes that prompt an Alert for Grants are:

* New Pell, ACGs, National SMART or TEACH Grants reported.
* New disbursements or reduction in disbursed amount on existing Pell, ACGs, National SMART or TEACH Grants.
* Change in Award Amounts for Pell, ACGs, National SMART and TEACH Grants.
* Changes in Pell Grant eligibility percentages.

If the student had a relevant change reported on a Grant, the **Grant** link displays on the Monitoring Alert Review page. Clicking the **Grant** link takes you to the student’s Grant Alert Display (Grant History) page (Figure 2‑18) to view change(s) reported for Pell, ACGs, National SMART and TEACH Grants.

If a student has changes to more than one grant on the same alert day, multiple **Grant** links display, available for review.

**Note:** A blue ® icon will display to the left of the grant that is “Under Review” **only** when the student’s Grant History is accessed from the Monitoring Alert Review page. The ® will not be visible if the student’s Grant History is accessed from the **Menu** or **Aid** tabs.

## Transfer Monitor Re-Populate

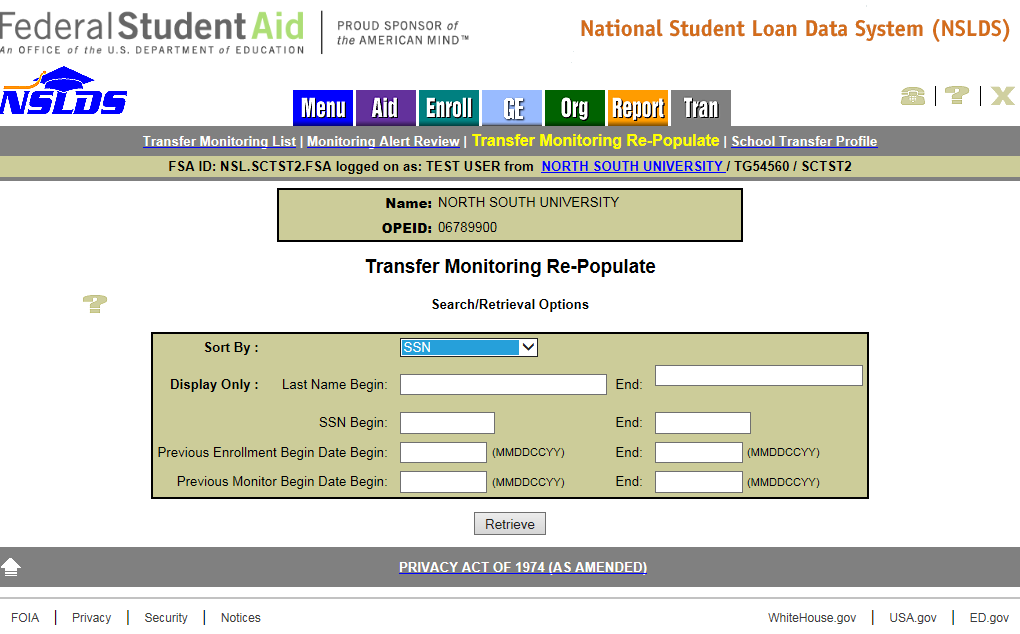


Figure 2‑19, Transfer Monitoring Re-Populate Page

The Transfer Monitoring Re-Populate page (Figure 2‑19) allows school users to retrieve student records previously submitted, either from Inform Batch File submissions or Student Monitoring Add Web entry, which are no longer active (have exceeded the number of days set as the user-specified Monitoring Duration period from their Enrollment Begin Date) or no longer display on the Transfer Monitoring List page for their school. This allows the school to add students back to the Transfer Monitoring List for additional monitoring from one Monitoring Duration period to another (see NSLDS Newsletter 23 at <http://ifap.ed.gov/nsldsmaterials/Newsletter23.html> for additional details).

The Transfer Monitoring Re-Populate page displays the following fields:

* **Sort By**—This field is located below the Search/Retrieval Optionsheading. The default sort order for this list is by SSN. You may change the sorting order by selecting any of the following options from the dropdown box:
* SSN
* Last Name
* Enrollment Begin Date
* Monitor Begin Date
* **Display Only—**You can filter the list by providing any of the Display Only fields and selecting the **Retrieve** button. You may specify a group of students on the list to be displayed by the following filtering fields:
* Last Name Begin / End
* SSN Begin / End
* Previous Enrollment Begin Date Begin/ End
* Previous Monitor Begin Date Begin/ End

**Note:** If a school selects the **Retrieve** button without entering any filtering criteria, Transfer Monitoring Re-Populate will retrieve all transfer monitoring records for the school. The Transfer Monitoring Re-Populate List page displays 20 student records at a time. If more than 20 records exist, the **Next** and **Previous** navigation arrows will display at the top and bottom of the page, respectively, to move from page to page. To change filtering options, a school must return to Transfer Monitoring Re-Populate page.

### Re-Populate Transfer Monitoring List page

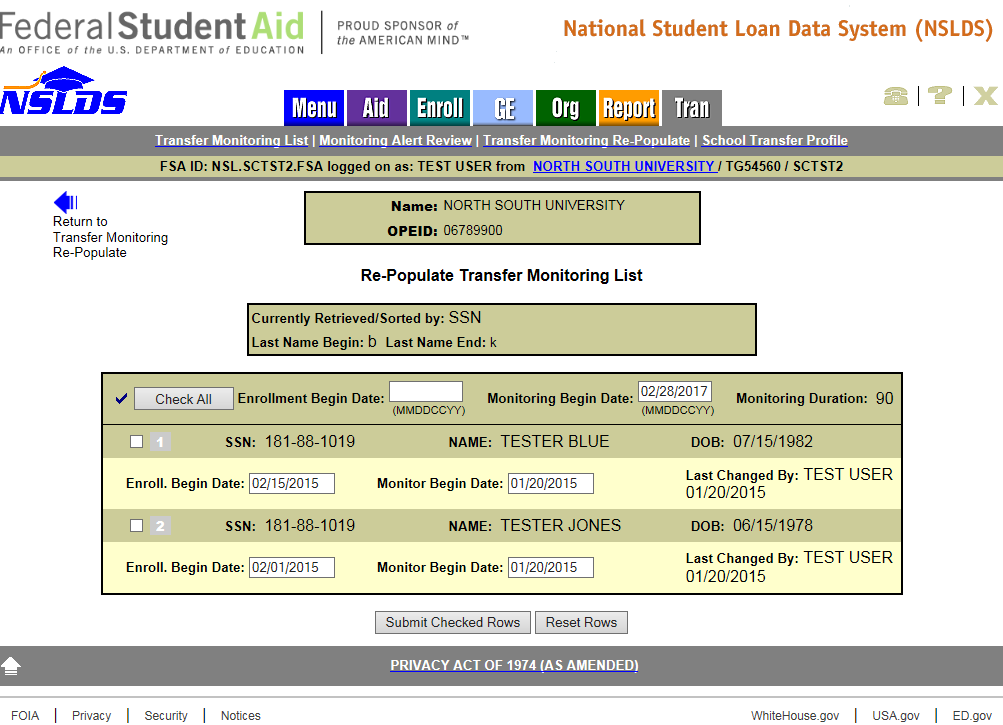


Figure 2‑20, Re-Populate Transfer Monitoring List Page

The Re-Populate Transfer Monitoring List page (Figure 2‑20) allows school users to update Transfer Monitoring information for student records previously submitted, either from Inform Batch File submissions or Student Monitoring Add Web entry, which are no longer active or display on the Transfer Monitoring List page for their school. This allows the school to add students back to the Transfer Monitoring List for additional monitoring.

Below the title, the Currently Retrieved/Sorted by box displays with the Retrieve/Sort By option selected by the user from the Transfer Monitoring Re-Populate page, followed by the entry box containing the Global Data Entry row (the row displaying your Monitoring Duration days) at the top and the Student Data Entry rows (the rows displaying the Enroll Begin Date and Monitor Begin Date entry fields). The following fields are included in this box, some of which may be updated:

Global Data Entry Row

* **Check All Button—**Selects all records on the page for updates.
* **Enrollment Begin Date**—The date the student is scheduled to begin classes at the school. This field pre-populates the Enroll. Begin Date for each individual checked record.
* **Monitor Begin Date**—The date the school wants NSLDS to begin monitoring the student. This field pre-populates the Monitor Begin Date for each individual checked record. You may request monitoring to begin on a future date. If the date is a future date, make sure the date is not after the date you have listed as the Enrollment Begin Date.
* **Monitoring Duration**—This field tracks the monitoring time period (in number of days) designated by a school for monitoring their student transfer records. It is a read-only field that can only be updated from the School Transfer Profile Update page (Figure 2‑5).

Student Data Entry Rows:

* **Check Box**—Selects an individual monitoring record for updates.
* **SSN**—The student’s Social Security number (read-only field).
* **Name**—The student’s first and last name (read-only field).
* **DOB**—The student’s date of birth (read-only field).
* **Enroll. Begin Date**—The date the student is scheduled to begin classes at the school.
* **Monitor Begin Date**—The date the school wants NSLDS to begin monitoring the student. You may request monitoring to begin on a future date. If the date is a future date, make sure the date is not after the date you have listed as the Enroll. Begin Date.
* **Last Changed By**—This field displays the name of the person who added or made the last update to this student’s monitoring record, and the date that change was made. If the student was added or last updated via the Inform batch process, “BATCH” and the process date display.
* **Submit Checked Rows Button—**Submits all record updates.
* **Reset Rows Button—**Resets all updates to the original values when the page was accessed.

The Re-Populate Transfer Monitoring List page displays up to 20 student records at a time. If more than 20 records exist, the **Next** and **Previous** navigation arrows will display at the top and bottom of the page, respectively, to move from page to page.

A school may update Transfer Student Monitoring information on the Re-Populate Monitoring List page. To update this information, a school may follow the following steps:

1. From the Global Data Entry Row, enter an enrollment date in the Enrollment Begin Date entry box. This date is used to pre-populate the Enroll. Begin Date entry box of each individual student record you select as part of step 3 below.

2. From the Global Data Entry Row, enter a monitor date in the Monitor Begin Date entry box, or accept the default date (the current date) displayed on the page. This date is used to pre-populate the Monitor Begin Date entry box of each individual student record you select as part of step 3 below.

3. Select the Transfer Student Monitoring records you want to re-populate. There are two ways to do this:

1. Select the records individually by clicking the check box to the left of the student's SSN.
2. Select all the records displayed on the page by clicking the Check All button from the Global Data Entry Row. (You may individually uncheck records if using the Check All option.)

4. Update, as necessary for each individual student, the information in the following boxes:

1. Enroll. Begin Date (Enrollment Begin Date)
2. Monitoring Begin Date

5. Click the **Submit Checked Rows** button.

6. If the information you submitted passes the edits applied by NSLDS, the data is saved to the database.

If more than 20 records exist, a school must submit the updates to the re-populate records before navigating to the next page by selecting them and clicking on the **Submit Checked Rows** button. Clicking the **Previous** or **Next** navigation arrows before submitting your changes will cause any new entered data to be lost.

#### Errors

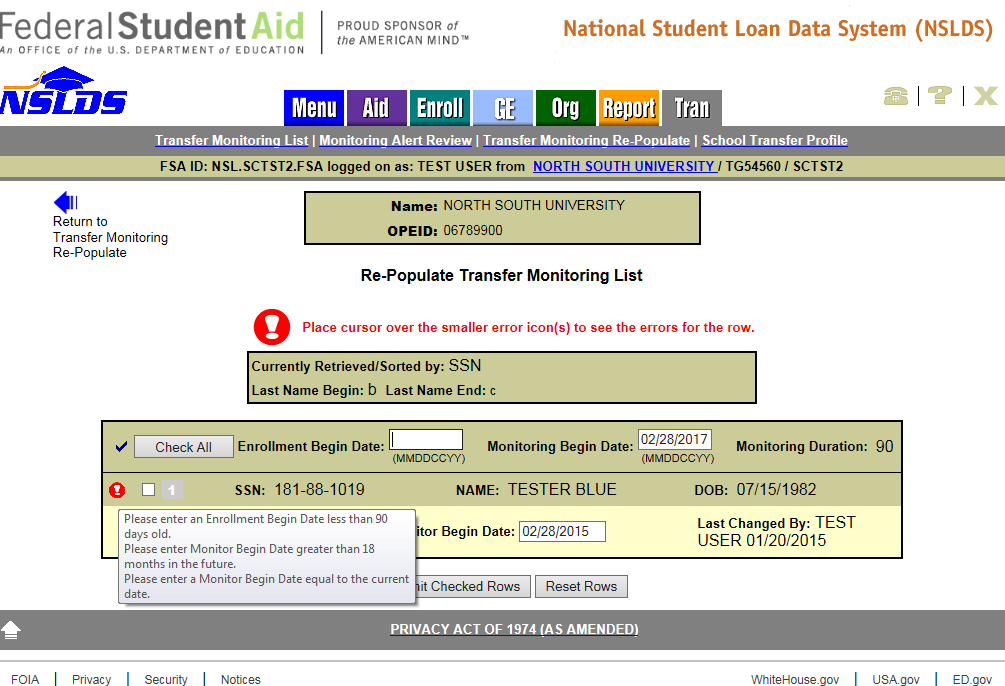


Figure 2‑21, Re-Populate Transfer Monitoring List Page – with Error Message

If the information a school submitted on the Re-Populate Transfer Monitoring List page contains errors, the page will redisplay (Figure 2‑21). A small error icon appears to the left of each record row that contains an error. To find the error message associated with the student record, place the cursor over the icon.

If update errors result from the submittal, there are two ways to resolve the issues:

1. Edit the data based on the error message(s) for each record displaying the error.

For example, in Figure 2‑21, some records display an error icon with mouse over error message(s)**. Correct the error(s), check the record, and resubmit.**

1. Uncheck the record to not update it when re-submitting.

Once the records are successfully submitted the Re-Populate Transfer Monitoring List page will display the message “Updates complete” (Figure 2‑22). The updated records will now display on the Transfer Monitoring List page.

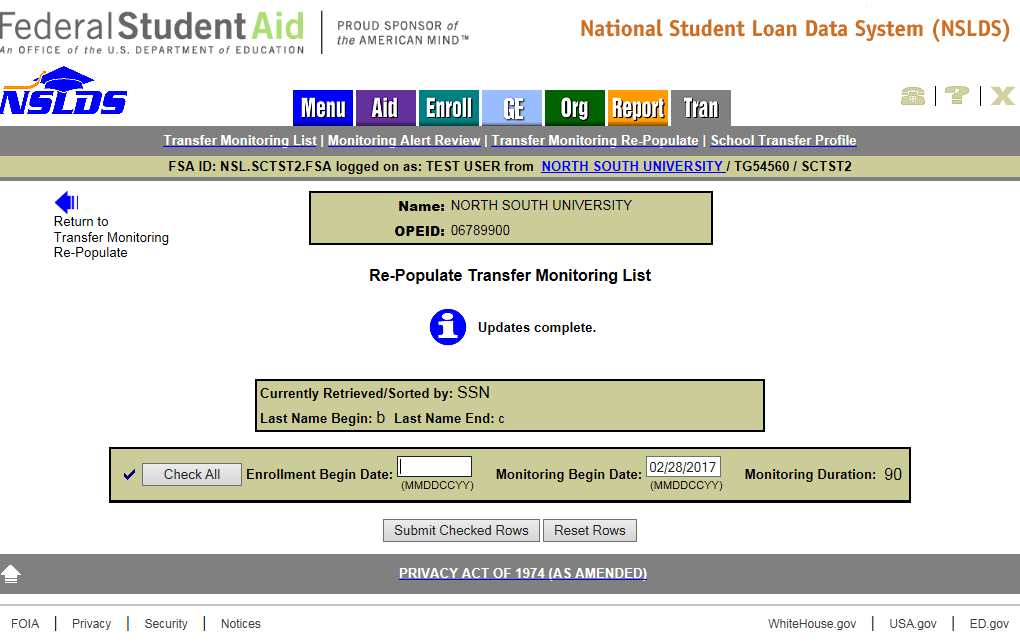


Figure 2‑22, Re-Populate Transfer Monitoring List Page – Updates Complete

# Financial Aid History on the Web

## Request for Financial Aid History Report

The Request for Financial Aid History Report can be requested on the NSLDS Professional Access Web site from the **Report** tab by selecting Report ID FAT001. This report provides school users with financial aid histories for selected students. The report includes the loan history that affects loan eligibility and Pell history for a specified year. Users can enter student identifiers (SSN, First Name, Last Name, and Date of Birth) and Pell Grant award years for up to 19 students, and choose whether to receive the information as a formatted report or an extract file.

You can generate the Request for Financial Aid History Report by filling in the following report parameters (Figure 3‑1):

* **Type**—Select whether you want to receive the report in either Extract (file layout) or Report format.
* **SSN**—Social Security Number for the student.
* **Last Name**—Last Name for the student.
* **First Name**—First Name for the student.
* **Date of Birth**—Date of Birth for the student.
* **Grant Award**—Grant award year
* **Sort By**—The report will be sorted by SSN.
* **Output Medium: SAIG**—The report will be delivered to the SAIG mailbox associated with your NSLDS online user ID within 24–48 hours.

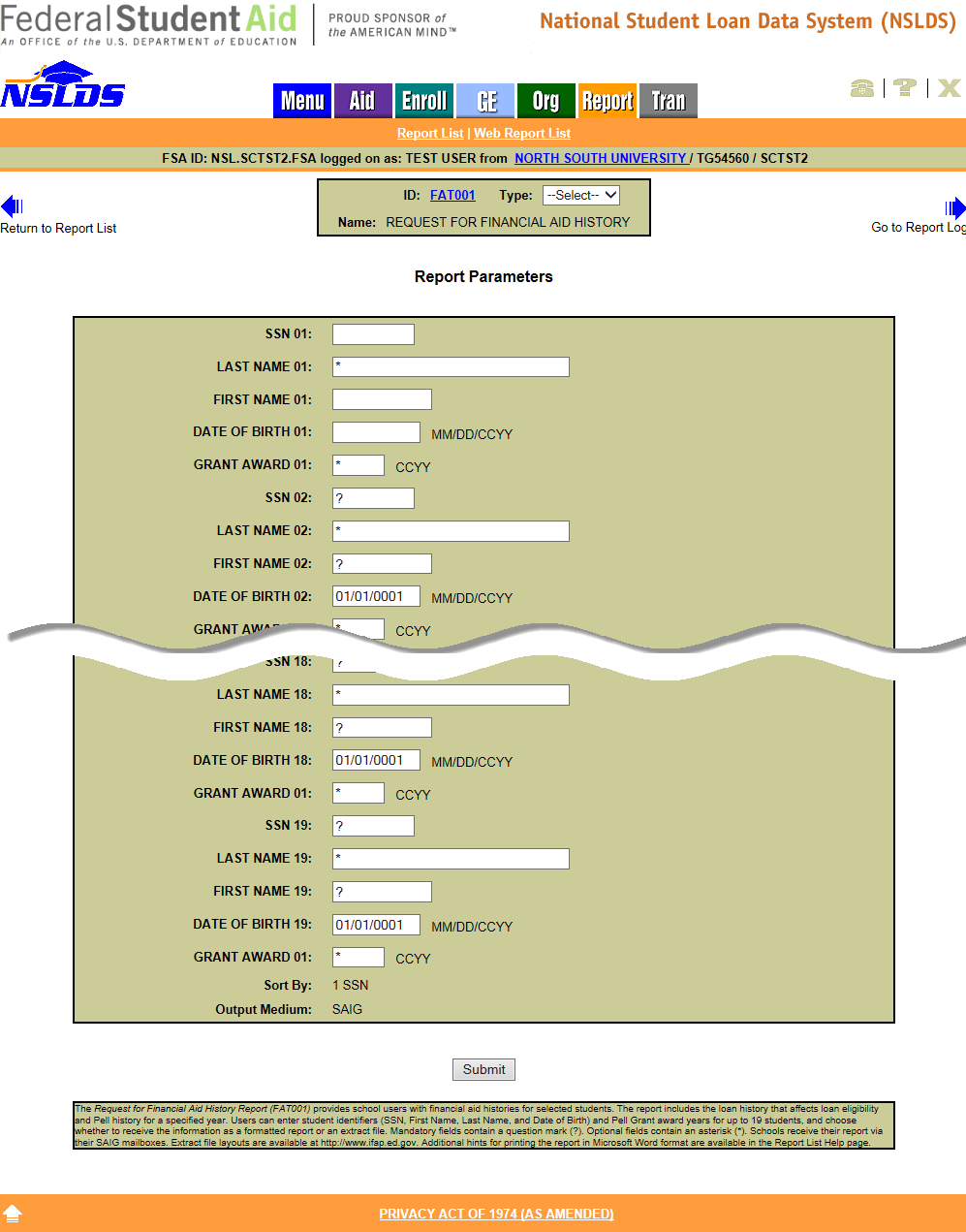


Figure 3‑1, Request for Financial Aid History Report Parameters

# Additional Information

## Transfer Monitoring Summary Report

The Transfer Monitoring Summary Report can be requested on the NSLDS Professional Access Web site from the **Report** tab by selecting Report ID SCH07B. This report provides school users with a list of students (by SSN) who are/were part of the school’s Transfer Student Monitoring process within a given time range. The processed report is available in either Extract (file layout) or Report format.

The Transfer Monitoring Summary Report has various functions. These include to:

* Assist Financial Aid Administrators (FAAs) with the Transfer Student Monitoring process.
* Verify if schools are actively using the Transfer Student Monitoring process.
* Verify whether Alerts are being generated for oversight and auditing purposes.
* Aid in reconciliation when a student’s Transfer Student Monitoring record has been dropped from the Transfer Monitoring List and Monitoring Alert Review pages. This occurs on the 1st day after the completion of the monitoring time period from the Enrollment Begin Date.

You can generate the Transfer Monitoring Summary Report for your school by filling in the following report parameters (Figure 4‑1):

* **Type**—Select whether you want to receive the report in either Extract (file layout) or Report format.
* **Range Type**—You can query the report by:

1. **Enrollment Period Begin Date**—The date the student is scheduled to begin classes at the school.
2. **Monitor Begin Date**—The date the school wants NSLDS to begin monitoring the student.

* **Beginning Date Range**—The earliest start date of the range you want to use to query the school’s Transfer Student Monitoring lists.
* **Ending Date Range**—The end date of the range you want to use to query the school’s Transfer Student Monitoring lists.
* **Sort By**—The report will be sorted by SSN.
* **Output Medium: SAIG**—The report will be delivered to the SAIG mailbox associated with your NSLDS online user ID within 24–48 hours.

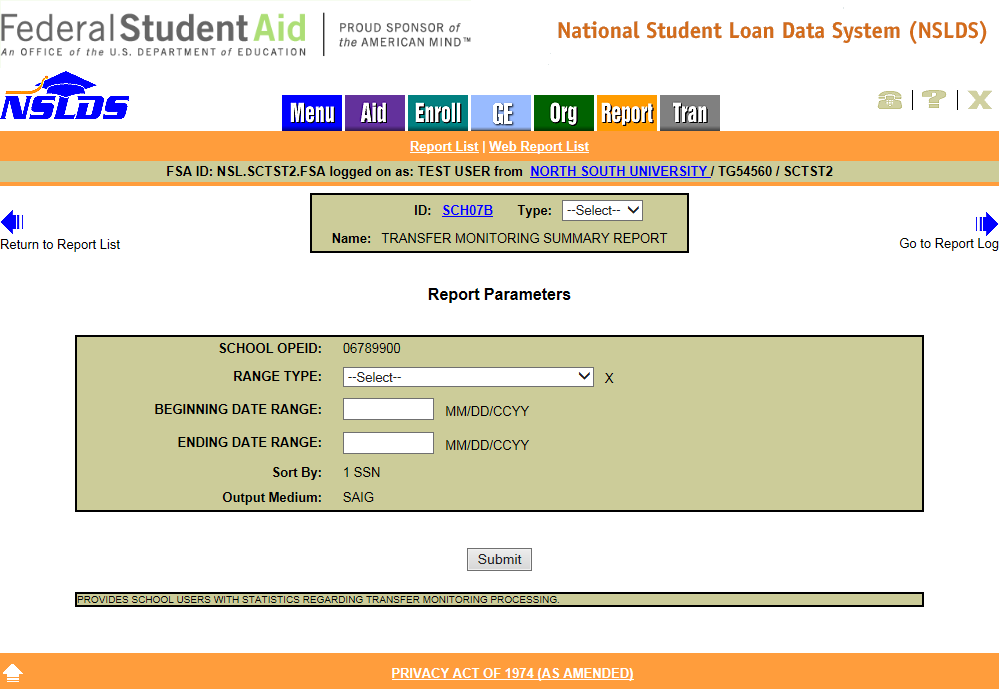


Figure 4‑1, Transfer Monitoring Summary Report Parameters

Figure 4‑2 shows a sample of the processed report that will be generated if you select “Report” as your output type.

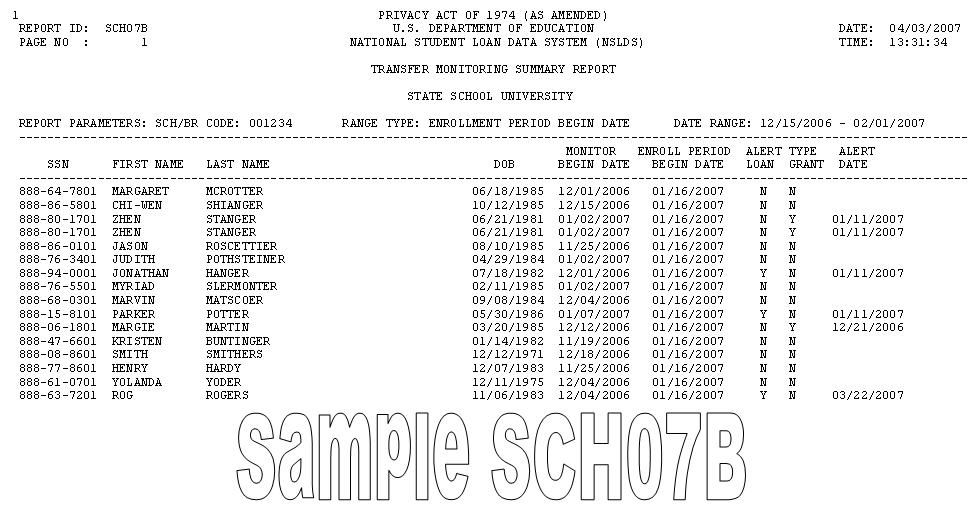


Figure 4‑2, Transfer Monitoring Summary Report

## Organization Contact

The Organization Contact List page (Figure 4‑3) can be accessed on the NSLDS Professional Access Web site from the **Org** tab. It enables each school to designate a point of contact specifically for Transfer Monitoring questions. This contact does not have to be the same person as the one listed on the School Transfer Profile, although it is recommended. The contact information can be viewed by other schools, Guaranty Agencies, servicers, and other users of NSLDS.

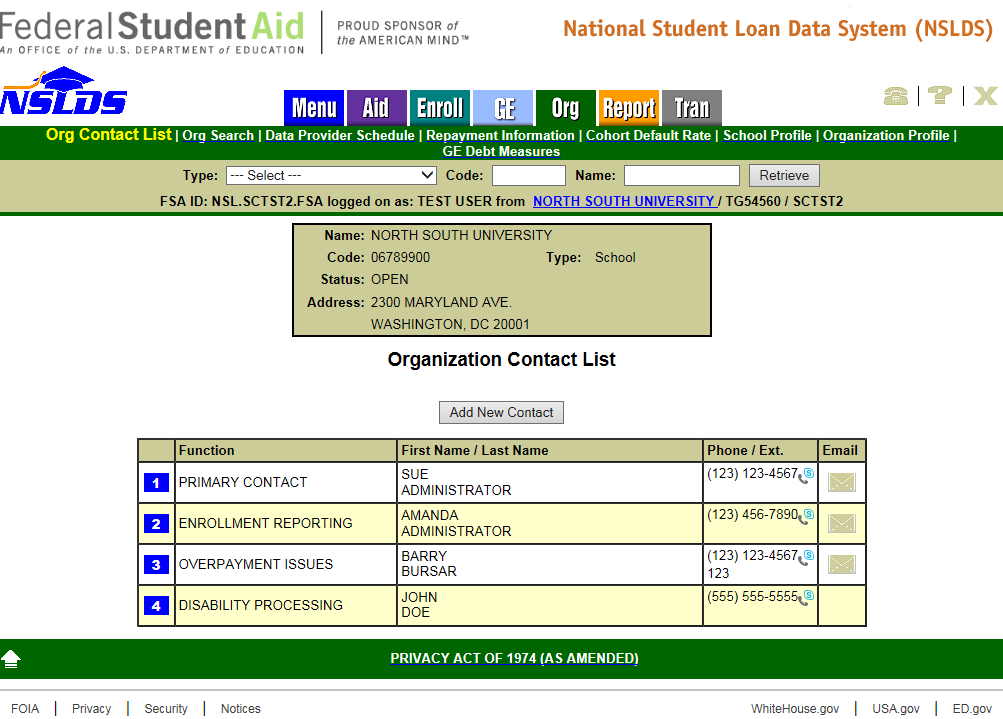


Figure 4‑3, Organization Contact List Page

# Appendix A – TSM / FAH Batch File Layouts

**National Student Loan Data System**

**NSLDS  
Transfer Student Monitoring/  
Financial Aid History  
Batch File Layouts**

October 2017

Table of Contents

[What’s New! i](#_Toc404697700)

[1.0 Transfer Student Monitoring and Financial Aid History Batch Processes 1](#_Toc404697701)

[1.1 Batch File Process 1](#_Toc404697702)

[1.2 Batch Files Used 2](#_Toc404697703)

[1.3 Message Classes 4](#_Toc404697704)

[1.4 Definitions of Columns 5](#_Toc404697705)

[2.0 Transfer Student Monitoring and Financial Aid History Batch Inform File Layouts 6](#_Toc404697706)

[2.1 Batch Inform File Layouts 6](#_Toc404697707)

[2.1.1 Batch Inform Header Record Layout 6](#_Toc404697708)

[2.1.2 Batch Inform Detail Record Layout 9](#_Toc404697709)

[2.1.3 Batch Inform Trailer Record Layout 13](#_Toc404697710)

[2.2 Batch Inform Error/Acknowledgment File Layout 14](#_Toc404697711)

[2.2.1 Batch Inform Error/ Acknowledgment Header Record Layout 15](#_Toc404697712)

[2.2.2 Batch Inform Error/ Acknowledgment Detail Record Layout 16](#_Toc404697713)

[2.2.3 Batch Inform Error/ Acknowledgment Trailer Record Layout 17](#_Toc404697714)

[2.2.4 Error and Warning Codes Legends 19](#_Toc404697715)

[3.0 Transfer Student Monitoring Alert/Financial Aid History Batch File Layout 21](#_Toc404697716)

[3.1 TSM/FAH Header Batch Record Layout 21](#_Toc404697717)

[3.2 TSM/FAH Detail Batch Record Layout –Type 1: Aggregates, Perkins, and Flags Record 23](#_Toc404697718)

[3.3 TSM/FAH Detail Batch Record Layout – Type 2: Name History Record 43](#_Toc404697719)

[3.4 TSM/FAH Detail Batch Record Layout – Type 3: Overpayment Record 45](#_Toc404697720)

[3.5 TSM/FAH Detail Batch Record Layout – Type 4: Grant Payment Record 48](#_Toc404697721)

[3.6 TSM/FAH Detail Batch Record Layout – Type 5: Loan Detail Record 54](#_Toc404697722)

[3.7 TSM/FAH Trailer Batch Record Layout 64](#_Toc404697723)

[4.0 Transfer Student Monitoring Alert and Financial Aid History Batch Report Layout 66](#_Toc404697724)

# What’s New!

The changes for this version of the document include the following:

| Section | Section Name | Change |
| --- | --- | --- |
| Section 3.6 | Transfer Student Monitoring Alert/Financial Aid History Batch Report Layout | * Added new field: * **Statutory Interest Rate (pos. 268-273)** * **Actual Interest Rate (pos. 274-279)** |

# Transfer Student Monitoring and Financial Aid History Batch Processes

NSLDS combines the Transfer Student Monitoring (TSM) and Financial Aid History (FAH) batch processes into one input format file and one output format file. Below describes the file formatting for the combined file which may be used beginning October 2017 and will replace the earlier version of the Transfer Student Monitoring/Financial Aid History Processes and Batch File Layouts dated November 24, 2015.

As of October 2017, NSLDS will start using the updated layout described in this document. Requests will need to be in the correct file formats and submitted as an input file through the Student Aid Internet Gateway (SAIG) message class, TRNINFIN. After NSLDS verifies that the data requests are in the proper file formats, an output processed file will be sent back to the end user. Depending on the data type requested, the output file will be returned through the SAIG in the TRLTRPOP, TRALRTOP, FAHREPOP, or FAHEXTOP message classes.

Because of 2018-2019 processing changes, updates were made to the Transfer Student Monitoring/Financial Aid History batch file record layouts’ field content. The file layout sections include an Add/Modify column annotating specific changes.

## Batch File Process

NSLDS combines the batch TSM and FAH processes. The result is one common input file and one common output file. Schools use one input file to tell NSLDS if it is requesting a student Financial Aid History, adding the student to the Transfer Student Monitoring list, or both (for example, if the school would like NSLDS to provide an FAH, and add the student to the Transfer Student Monitoring list for an Alert if relevant data changes, the current Transfer Student Monitoring rules still apply). Schools use FAH for reasons such as student eligibility, research, trend analysis, and default management.

In accordance with final regulations published on November 1, 2000, and on July 1, 2001, NSLDS implemented a new Transfer Student Monitoring Process for students who transfer from one school to another during the same award year. Under the Transfer Student Monitoring Process, a school ‘Informs’ NSLDS of its transfer students, NSLDS ‘Monitors’ changes in the financial aid history of those students, and ‘Alerts’ the school of any relevant changes.

After a school informs NSLDS of a transfer student, NSLDS monitors changes to the student’s financial aid history information from the date that the latest Institutional Student Information Record (ISIR) for the student was generated and sent to the school. NSLDS checks to see if there have been any changes in the student’s financial aid history that may be relevant to the student’s eligibility for aid at the new school. Such changes might include a new or cancelled loan or a new or revised disbursement of a loan or grant. NSLDS continues to monitor changes in the student’s financial aid history after the last Alert it sent to the school.

If there is a relevant change to the student’s financial aid history, NSLDS will ‘Alert’ the school. ***The school must then review the change to determine if it affects the student’s eligibility for Title IV aid****.* NSLDS will not ‘Alert’ a school if there are no relevant changes to the student’s financial aid history.

The Transfer Student Monitoring Process is run weekly. ***After a school informs NSLDS, it must wait 7 days before it can make a disbursement of Title IV aid to an otherwise eligible student.***The school does not have to wait, however, if it receives an Alert from NSLDS during the 7-day period or if it obtains the student’s financial aid history information directly by accessing the NSLDS Professional Access Web site at <https://www.nsldsfap.ed.gov/nslds_FAP/>, or by receiving and reviewing the results from a batch Financial Aid History from NSLDS.

In cases where the school makes a disbursement to an eligible student but later receives an Alert from NSLDS, the school must access and review the student’s financial aid history to determine whether an action needs to be taken regarding that disbursement or any subsequent disbursements.

Please note that information about defaulted loans and overpayments will continue to be provided to schools via the NSLDS Postscreening process.

Schools can inform NSLDS of students to be monitored using the Transfer Student Monitoring pages at <https://www.nsldsfap.ed.gov/nslds_FAP/> or by sending an ‘Inform’ batch file to NSLDS. The batch file layouts are provided in this document.

Schools may do the entire Transfer Student Monitoring process using the Web pages. Submitting students to be monitored for the TSM process using the Web pages may be particularly attractive for schools with a relatively small number of transfer students. The batch process enables schools to automate the process for data exchange electronically rather than using the Web pages. Schools may use both methods if they choose. For example, a school may choose to send an initial file using the batch process and then use the Web to add additional students or to change or delete students from its Transfer Student Monitoring List.

## Batch Files Used

Because of differences in schools’ computer systems, NSLDS cannot provide software to create batch files. However, this document contains the details needed for a school to create an ‘Inform’ file and to receive Error/Acknowledgment, Financial Aid History, and Alert files.

The batch files consist of the following:

* **TSM/FAH Batch Inform File**—Schools use this file to submit a list of students for NSLDS to return an FAH or to monitor transfer students. If requested, NSLDS monitors the students submitted in this batch file and through the NSLDS Professional Access Web site, and Alerts the informing school of any changes affecting student eligibility ***not*** covered by the current postscreening process.
* **TSM/FAH Error/Acknowledgment File**—NSLDS returns this file to the schools as a receipt of the Batch Inform file. This file will list any errors or warnings that result from the submission of the Batch Inform file. If there are no errors and no warnings, the Error/Acknowledgment file contains only a Header and Trailer record. There may be more than one error for any given detail record, so the number of records in the Error/Acknowledgment file may be greater than the number of detail records sent in the Batch Inform file. Any error or warning in the Batch Inform file will be identified by the Field Number and an Error/Warning Code.
* **Financial Aid History File**—This file contains the student’s full NSLDS Financial Aid History. This file can be received in either extract or report format. The file contains separate records for Aggregates/Perkins/Flags Record; Name History Record(s); Overpayment Record(s); Grant Payment Record(s); and Loan Detail Record(s).
* **TSM Alert File**—This file is sent to schools only when a relevant financial aid change has been identified in the record of a student on the school’s Transfer Monitoring List. These changes include new or cancelled loans or grants, new or changed disbursements, and changes to balances of loans. The changes prompting an Alert file ***do*** ***not*** include newly reported defaulted loans or overpayments – that information will continue to be sent to schools on ISIRs using the existing Postscreening process. The TSM Alert file contains the student’s full NSLDS Financial Aid History with flags designating changes to the student’s grant, loan, or aggregate information. This file can be received in either extract or report format. The file contains separate records for Aggregates/Perkins/Flags Record; Name History Record(s); Overpayment Record(s); Grant Payment Record(s); and Loan Detail Record(s).

All batch files contain one header record, a set of detail records for each student, and one trailer record as indicated below:

Header Record

Detail Record(s) for Student 1

Detail Record(s) for Student 2

Detail Record(s) for Student N

Trailer Record

## Message Classes

There will be multiple message classes for the TSM/FAH batch files: one each for the Inform, the Error/Acknowledgment, the report format TSM Alert, the extract format TSM Alert, the report format FAH, and the extract format FAH. The message class names used to send/receive these files are listed in the following chart:

| **Message Class** | **Process flow** | **SAIG Mailbox(2) (send/receive)** | **Description** |
| --- | --- | --- | --- |
| TRNINFIN | From school/servicer to processor (NSLDS) | School/servicer sends to TG53163 | School Batch Inform/FAH request file (used for both FAH requests and/or TSM batch informs—See record layout to indicate request type(s) and format). |
| TRNINFOP (1)(3) | From processor (NSLDS) to school/servicer | School/servicer receives from TG53162 | Error/Acknowledgement file (used by both FAH requests and TSM batch informs.) Each properly formatted TRNINFIN file will generate an error/acknowledgement file). |
| FAHEXTOP(4)(6) | From processor (NSLDS) to school/servicer | School/servicer receives from TG50012 | FAH processed output file in extract format. |
| FAHREPOP(5) | From processor (NSLDS) to school/servicer | School/servicer receives from TG50012 | FAH processed output file in report format. |
| TRLTRPOP | From processor (NSLDS) to school/servicer | School/servicer receives from TG53162 | Transfer Student Monitoring Alert output file in report format. |
| TRALRTOP(6) | From processor (NSLDS) to school/servicer | School/servicer receives from TG53162 | Transfer Student Monitoring Alert output file in extract format. |

(1) If an error/acknowledgement file is not sent to the schools, or the school servicer’s, receiving SAIG mailbox within the next business day, contact NSLDS Customer Support (800-999-8219) for assistance.

(2) The Department of Education’s (ED’s) transmission software has the mailboxes predefined.

(3) This message class and corresponding record layout is also generated when requesting the FAT001 Web report from the NSLDS Professional Access Web site <https://www.nsldsfap.ed.gov/nslds_FAP/>.

(4)(5) These message classes and corresponding extract record layouts and reports are also generated when requesting the FAT001 Web report from the NSLDS Professional Access Web site at <https://www.nsldsfap.ed.gov/nslds_FAP/>.

(6) The message class length remains 500 bytes long.

As in the past, before submitting any student records to NSLDS for the Transfer Student Monitoring process, schools ***must*** access and complete their School Alert Profile on the NSLDS Professional Access Web site at <https://www.nsldsfap.ed.gov/nslds_FAP/>. Schools can designate a servicer to submit Inform and receive Alert information. This arrangement, however, must be reported on the School Alert Profile page by providing the servicer’s TG number. Schools sign up for the TSM/FAH batch process on the FSA enrollment Web site, <http://www.fsawebenroll.ed.gov/>.

## Definitions of Columns

The following column labels are used in all file layout tables presented in this document.

* **Field Number**—Number assigned to a specific block of information. This number will easily identify which field is in error on an Error/Acknowledgment file.
* **Position From**—Position in the file in which a specific block of information begins.
* **Position To**—Position in the file in which a specific block of information ends.
* **Added/Modified—**For information purposes only. It is to be used as a reference to determine quickly what has changed from previous publications.
* **Attribute**—Name of the specific field.
* **Description**—Description of the information held in a specific field.
* **Field Format**—Denotes what type of data can be reported in a specific field. For example, a Field Format that says Date will create an error if it contains letters. All dates are in CCYYMMDD format.
* **Field Length**—Number of bytes in the file occupied by a specific block of information.

# Transfer Student Monitoring and Financial Aid History Batch Inform File Layouts

## Batch Inform File Layouts

Schools create this file to submit a list of students to NSLDS to request FAHs, add students to the TSM list, or both. NSLDS will return FAHs, monitor the students provided on this file, or do both as requested.

The following record layouts describe the input Header, Detail, and Trailer records for the Batch Inform file created by schools or school servicers. Schools using the batch process are required to create their Batch Inform file following these standards. Records not meeting format requirements or which fail edits will be rejected. These records must be resubmitted or entered on the Web for the student to be monitored.

The TSM/FAH Batch Inform file may be submitted as often as needed by a school and can include TSM informs only or a combination of TSM informs and FAH requests. NSLDS expects to review files as received and return an Error/Acknowledgment file shortly thereafter. A school may submit multiple files in a day if it so chooses. An Error/Acknowledgment file will be sent in response to each Batch Inform file received and accepted by NSLDS. If an entire TSM/FAH Batch Inform file errors out, the school or servicer will not receive an Error/Acknowledgment file. In that case, the school or servicer should call the NSLDS Customer Support Center for assistance.

Remember, before submitting your first Batch Inform file for the Transfer Student Monitoring process, you must set up the school’s preferences. ***If the School Transfer Profile page has not been completed on the NSLDS Professional Access Web site*** *(*[*https://www.nsldsfap.ed.gov/nslds\_FAP/*](https://www.nsldsfap.ed.gov/nslds_FAP/)*,* ***the entire Batch Inform file will be rejected****.*

To set or change the school’s preferences, click the Tran tab and then select School Transfer Profile, or go to the Transfer Monitoring section of the Menu page and click the School Transfer Profile link. After your school’s Transfer Profile has been completed, you may submit your batch file.

### Batch Inform Header Record Layout

The first record in the file must be a Header record. The Header record must be populated exactly as stated or the entire file may be rejected. The length of the Batch Inform Header record file is 150 bytes long. Any file that is rejected must be reprocessed in order to add the students to the Transfer Student Monitoring list.

* **Record Type Indicator**—If this field contains any character other than a 0 (zero), the entire Batch Inform file will be rejected.
* **Header Title**—If this field contains any information other than TSM/FAH INFORM HEADER (all in UPPER CASE), the entire Batch Inform file will be rejected.
* **School Code**—This is the school’s Office of Postsecondary Education Identifier (OPEID\*) 8-digit number. If this OPEID number does not match the current listing of valid school codes, or if the SAIG mailbox from which the file is sent does not match the OPEID number in the Batch Inform file, the file will be rejected. Servicers must submit a header record for each school.

\*OPEID is the combination of the 6 digit school code and the 2 digit school location code. If a school has more than 99 locations, the first digit of the OPEID is then incremented to 1. If more than 199 locations, the first digit is then incremented to 2, and so forth. (Examples: location 00 = 06789900, location 101 = 16789901, location 202 = 26789902)

* **Servicer Code**—If the school chooses to have a servicer submit the Batch Inform file on its behalf, the Servicer must fill in the Servicer Code field. If the school is submitting the file on its own behalf, this field must contain zeros.
* **Submittal Date**—This date must be in CCYYMMDD format (2-digit Century, 2-digit Year, 2-digit Month, 2-digit Day).
* **Submittal Sequence Number**—When a school or servicer submits a Batch Inform file, the first file must contain 01 in this field. If the school or servicer submits more than one file per day, this number must increment for each subsequent file submitted. This allows the school to recognize the submission for which it is receiving an Error/ Acknowledgment file. This field must contain numbers only.
* **Report Type for FAH**—The FAH is available in a report or extract format. A school or servicer indicates preference in this field. Transfer Student Monitoring Alert preferences are determined by the information provided on the School Transfer Profile page on the NSLDS Professional Access Web site at <https://www.nsldsfap.ed.gov/nslds_FAP/> .
* **Filler—**This is left as blank space. If NSLDS or ED later determines that more information is needed in the Header record, additional fields will be added here.

TSM/FAH Batch Inform Header Record Layout Length = 150

| **Field Number** | **Pos. From** | **Pos.  To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 101 | 1 | 1 |  | Record Type Indicator | Indicator used to identify the header record.  Value must be 0 (zero). | Char. | 1 |
| 102 | 2 | 47 |  | Header Title | Title used to identify the header record.  Value = TSM/FAH INFORM HEADER | Char. | 46 |
| 103 | 48 | 55 |  | School Code | An 8-digit OPEID code used to identify the school and branch. Last 2 digits equal 00 if no branch code. Mandatory for schools and servicers. | Char. | 8 |
| 104 | 56 | 63 |  | Servicer Code | The NSLDS-assigned code for the servicer submitting the file on behalf of one or more schools. School servicers indicate their code; schools fill with zeros. | Char. | 8 |
| 105 | 64 | 71 |  | Submittal Date | Date (year, month, and day) on which the Batch Inform file is submitted.  Format is CCYYMMDD.  This date will determine award year for Perkins loans. | Date | 8 |
| 106 | 72 | 73 |  | Submittal Sequence Number | Used to differentiate between multiple batches submitted the same date. Numeric values only. Start with 01 and increment for multiple submittals on the same day. This allows the school to recognize which submission they are receiving an Error/ Acknowledgment file for. | Char. | 2 |
| 107 | 74 | 74 |  | Report Type for FAH | Type of file format requested for the FAH. The default type is an extract data file.  Values are:  R = a formatted report file is requested  E = an extract data file is requested | Char. | 1 |
| N/A | 75 | 150 |  | Filler | Spaces. | Char. | 76 |

### Batch Inform Detail Record Layout

A separate record is created for each student submitted in the Batch Inform file. You may submit as many records in one submittal as you wish. A Batch Inform file can also be used to update or delete a student from the Monitoring List.

NSLDS will process all records received and accepted. If a new record is received, NSLDS will determine if the request is for a FAH, an addition to the Monitoring List or both. If you wish to change information previously submitted, resend the record with the new information. NSLDS will update the record with the new information. You may also delete a record by populating field 208 with Y. To delete a record, all the student identifiers and the Enrollment Begin Date must match the previously submitted values.

* **Record Type Indicator**—If this field contains any character other than a 1 (one), the entire Batch Inform file will be rejected.
* **Student’s Social Security number (SSN)**—TheSSN of the student being sent. After the initial creation of the list, this field can be used to update or delete a student from the Monitoring List.

If the SSN submitted does ***not*** match an SSN in NSLDS:

* For the Batch Inform file, the school will receive an Error/Acknowledgment file with warning code 701 “This student has been added to the Monitoring list, but cannot be found on the database.” When a Data Provider submits information on this student that adds the student to the database, an Alert will be generated.
* For FAH requests, the school will receive an Error/Acknowledgement file with error code 101: “Student SSN not found.”
* **First Name**—First name of the student being sent.

If the SSN reported matches with the NSLDS database, but the name reported in this field does not match:

* For the Batch Inform file, the school will receive an Error/Acknowledgment file with warning code 702: “This student has been added to the Monitoring list, but cannot be found on the database.” When a Data Provider submits information on the SSN reported above, the school would receive an Alert, but that file will contain the name and date of birth (DOB) as reported in NSLDS.
* For FAH requests, the school will receive an Error/Acknowledgment file error code 100 “Match on SSN. No match on DOB, First Name, Last Name.”
* **Last Name**—Last name of the student being sent.
* **Date of Birth**—DOB of the student being sent. It must be in CCYYMMDD format. It must also pass reasonability edits.

If the DOB reported makes the student younger than 12, or older than 100, the record will be rejected. This date cannot be in the future.

If the SSN reported matches with the NSLDS database, but the DOB reported in this field does not match:

* For the Batch Inform file, the school will receive an Error/Acknowledgment file with warning code 702: “This student has been added to the Monitoring list, but cannot be found on the database.” When a Data Provider submits information on the SSN reported above, the school receives an Alert, but that file will contain the name and DOB as reported in NSLDS.
* For FAH requests, the school will receive an Error/Acknowledgment file error code 100 “Match on SSN. No match on DOB, First Name, Last Name.”
* **Enrollment Begin Date**—Must be in CCYYMMDD format. It can be a future date, but not more than 18 months greater than the current date. It cannot be more than 90 days less than current date. For FAH requests only, fill the field with 00000000 (all zeros).
* **Monitor Begin Date**—Must be in CCYYMMDD format. It can be a future date, but not greater than the reported Enrollment Begin Date. If the Enrollment Begin Date has already passed, use the submittal date. For FAH requests only, fill the field with 00000000 (all zeros).
* **Delete from Monitoring and Alert List**—This is a 1-character field used to specify whether the student is to be deleted from the Monitoring List. The only time this should be populated with ‘Y’ is if the student previously reported for Monitoring is not going to attend that school. ‘N’ indicates that the student is being added or updated. If a student’s record is submitted in more than one Batch Inform file with no changes, any subsequent, identical Batch Informs will be ignored. For FAH requests only, must be space.
* **School Code**—This is the school’s OPEID\* 8-digit number. If this OPEID number does not match the current listing of valid school codes or if the SAIG mailbox from which the file is sent does not match the OPEID number in the Batch Inform file, the file will be rejected. Servicers must submit a header record for each school.

\*OPEID is the combination of the 6 digit school code and the 2 digit school location code. If a school has more than 99 locations, the first digit of the OPEID is then incremented to 1. If more than 199 locations, the first digit is then incremented to 2, and so forth. (Examples: location 00 = 06789900, location 101 = 16789901, location 202 = 26789902)

* **Request Indicator**—A 1-character code indicating which request is being made.

Values are:

* T = add the student to the Monitoring list only
* H = FAH only
* B = both
* **Filler—**This is left as blank space. If NSLDS or ED later determines that more information is needed in the Detail record, additional fields will be added here.

TSM/FAH Batch Inform Detail Record Layout Length = 150

| **Field Number** | **Pos. From** | **Pos. To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 201 | 1 | 1 |  | Record Type Indicator | Indicator used to identify the detail record.  Value must be 1 (one). | Char. | 1 |
| 202 | 2 | 10 |  | Student’s SSN | SSN of the student. | Char. | 9 |
| 203 | 11 | 22 |  | First Name | First name of student. If student has no first name, use NFN. Field must be left justified. | Char. | 12 |
| 204 | 23 | 57 |  | Last Name | Last name of student. If student has no last name, use NLN. Field must be left justified. | Char. | 35 |
| 205 | 58 | 65 |  | Date of Birth | Date of Birth of the student.  Format is CCYYMMDD. | Date | 8 |
| 206 | 66 | 73 |  | Enrollment Begin Date | Begin date of period student will be enrolled at institution.  Format is CCYYMMDD format. It can be a future date.  For FAH request, fill entire field with 00000000 (all zeros). | Date | 8 |
| 207 | 74 | 81 |  | Monitor Begin Date | Begin date of period student is to be Monitored by NSLDS.  Format is CCYYMMDD format. Use submittal date as default. For FAH request, fill entire field with 00000000 (all zeros). | Date | 8 |
| 208 | 82 | 82 |  | Delete from Monitoring and Alert List | Indicates student is to be deleted from Monitoring and Alert lists.  Values are:  Y = indicates student is to be deleted.  N = indicates student is to be added or changed.  Must be space for FAH only request. | Char. | 1 |
| 209 | 83 | 90 |  | School Code | An 8-digit OPEID code used to identify the school and branch. Last 2 digits equal 00 if no branch code. Mandatory for schools and servicers. | Char. | 8 |
| 210 | 91 | 91 |  | Request Indicator | A 1-character code indicating which request is being made.  Values are:  H = FAH only  T = Adds the student to the Monitoring list only  B = Both | Char. | 1 |
| N/A | 92 | 150 |  | Filler | Spaces. | Char. | 59 |

### Batch Inform Trailer Record Layout

Add a Trailer Record exactly as stated below. Incorrect data may cause the entire file to be rejected.

* **Record Type Indicator**—If this field contains any character other than a 9 (nine), the entire Batch Inform file will be rejected.
* **Trailer Title**—If this field contains any information other than TSM/FAH INFORM TRAILER (all UPPER CASE), the entire Batch Inform file will be rejected.
* **Record Count**—This field can only be populated with numbers. The number in this field must match the number of Detail records in this file.
* **Filler**—This is left as blank space. If NSLDS or ED later determines that more information is needed in the Header record, additional fields will be added here.

TSM/FAH Batch Inform Trailer Record Layout Length = 150

| **Field Number** | **Pos. From** | **Pos. To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 901 | 1 | 1 |  | Record Type Indicator | Indicator used to identify the trailer record.  Value must be 9 | Char. | 1 |
| 902 | 2 | 47 |  | Trailer Title | Title used to identify the trailer record.  Value = TSM/FAH INFORM TRAILER | Char. | 46 |
| 903 | 48 | 56 |  | Record Count | Total count of detail records on this file (excluding header and trailer).  Valid content:  000000001 to 999999999 | Num. | 9 |
| N/A | 57 | 150 |  | Filler | Spaces. | Char. | 94 |

## Batch Inform Error/Acknowledgment File Layout

The TSM/FAH Inform Error/Acknowledgment file is returned to the school by NSLDS on receipt and acceptance of the TSM/FAH Batch Inform file. This file will list any errors or warnings on records submitted in the Batch Inform file. If there are no errors and no warnings, the TSM/FAH Error/Acknowledgment file will contain only a Header and Trailer record. Because there may be more than one error on any given Detail record, the number of records in the Error/ Acknowledgment file may be greater than the number of Detail records sent in the Batch Inform file. Any error or warning in the Batch Inform file will be identified by a Field Number and an Error/Warning Code on the error detail record.

Batch Inform files will be processed as they are received. An Error/Acknowledgment file will be sent in response to each accepted Batch Inform file. Schools are responsible for correcting records that contain errors and students are not processed for FAHs or added to the Transfer Student Monitoring list. Corrections can be done by resending a batch record for the student in a future Batch Inform file, by adding the student to the Monitoring list using the NSLDS Professional Access Web site at <https://www.nsldsfap.ed.gov/nslds_FAP/>, or by requesting an FAH via the Web site Report List by submitting the FAT001 report ID.

There are two types of messages: Errors and Warnings. An Error means the record was not processed for an FAH request or added to the Transfer Student Monitoring List. The error must be corrected as noted above. A Warning indicates that the record was added to the Transfer Student Monitoring List, but the identifiers provided differ from those already on NSLDS. An FAH will not be produced in this case and there will be an error record on the file. The school should check its records to ensure the correct information was provided in the Batch Inform. If correct, no further action is needed. If the data is not correct, the school should reprocess the record with the correct information. Schools can assume that all records not in the Error/Acknowledgement file have been processed.

The following record layouts describe the Header, Detail, and Trailer records for the TSM/FAH Batch Error/Acknowledgment file returned to schools or school servicers by NSLDS.

### Batch Inform Error/ Acknowledgment Header Record Layout

The first record in the file will be a Header record, which will be populated as described in the following table.

TSM/FAH Batch Inform Error/Acknowledgement Header Record Layout Length = 120

| **Pos. From** | **Pos To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | 1 |  | Record Type Indicator | Indicator used to identify the header record.  Value = 0 (zero). | Char. | 1 |
| 2 | 47 |  | Header Title | Title used to identify the header record.  Value = TSM/FAH ERROR HEADER. | Char. | 46 |
| 48 | 55 |  | School Code | The 8-digit OPEID code used to identify the school and branch that submitted the Transfer Batch Inform file. Last 2 digits equal 00 if no branch code. From the Batch Inform file header record. | Char. | 8 |
| 56 | 63 |  | Servicer Code | The NSLDS-assigned code for the servicer submitting the Transfer Batch Inform file on behalf of one or more schools. From the Batch Inform file header record. | Char. | 8 |
| 64 | 71 |  | Submittal Date | Date (year, month, and day) on which the Batch Inform file was submitted.  Format is CCYYMMDD. From the Batch Inform file header record. | Date | 8 |
| 72 | 73 |  | Submittal Sequence Number | Used to differentiate between multiple batches submitted the same date. Starts with 01 and increments for multiple submittals in the same day. From the Batch Inform file header record. | Char. | 2 |
| 74 | 120 |  | Filler | Blank attribute to complete record length. | Char. | 47 |

### Batch Inform Error/ Acknowledgment Detail Record Layout

A separate detail record is created for each error or warning. Each student record includes the following fields and those listed in the table below. Fields for which no definition is provided were determined to be self-explanatory.

* **Field in Error**—This number refers to the Field Number in the Batch Inform file that contains an error or that prompted the warning.
* **Error Code**—This number refers to the specific error or warning in the field. See Error/Warning Codes Legend.
* **Value of Field in Error**—This field contains the information reported in the Batch Inform file that is in error or prompted the warning.

TSM/FAH Batch Inform Error/Acknowledgment Detail Record Layout Length = 120

| **Pos. From** | **Pos. To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | 1 |  | Record Type Indicator | Indicator used to identify the detail record.  Value = 1 | Char. | 1 |
| 2 | 10 |  | Student’s SSN | SSN of the student on the submittal file. From the Batch Inform file detail record. | Char. | 9 |
| 11 | 22 |  | First Name | First name of student on the submittal file. From the Batch Inform file detail record. | Char. | 12 |
| 23 | 57 |  | Last Name | Last name of student on the submittal file. From the Batch Inform file detail record. | Char. | 35 |
| 58 | 65 |  | Date of Birth | Date of Birth of the student on the submittal file.  Format is CCYYMMDD. From the Batch Inform file detail record. | Date | 8 |
| 66 | 73 |  | School Code | An 8-digit OPEID code used to identify the school and branch. Last 2 digits equal 00 if no branch code. | Char. | 8 |
| 74 | 76 |  | Field in Error | A 3-digit number indicating the field of the record that is in error or prompted the warning. See Error and Warning Code Legend. | Char. | 3 |
| 77 | 79 |  | Error Code | A 3-digit code indicating the error or warning found for the field noted above. See Error and Warning Code Legend. | Char. | 3 |
| 80 | 114 |  | Value of Field in Error | Value sent in field that prompted the error or warning code generation. | Char. | 35 |
| 115 | 115 |  | Request Indicator | Type of file being requested.  Values are:  H = FAH only  T = Add to the Monitoring List only  B = Both | Char. | 1 |
| 116 | 120 |  | Filler | Spaces. | Char. | 5 |

### Batch Inform Error/ Acknowledgment Trailer Record Layout

The last record in the file will be a Trailer record, which will be populated as described in the following table. Fields for which no definition is provided were determined to be self-explanatory.

* **Inform Record Count**—This number refers to the number of Detail records submitted on the Batch Inform file.
* **Error Count—**This number refers to the number of errors in the file. There may be more than one error per Detail record. Each error is counted separately to obtain this count.
* **Record Count with Errors**—This is the number of Detail records submitted in the Batch Inform file that contained errors. This number will never be greater than the Batch Inform Record Count.
* **Warning Count**—This number refers to the number of warnings in the file. There may be more than one warning per Detail record. Each warning is counted separately to obtain this count.
* **Record Count with Warnings**—This is the number of Detail records submitted in the Batch Inform file that contained warnings. This number will never be greater than the Batch Inform Record Count.

TSM/FAH Batch Inform Error/Acknowledgment Trailer Record Layout Length = 120

| **Pos. From** | **Pos. To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | 1 |  | Record Type Indicator | Indicator used to identify the trailer record.  Value = 9 | Char. | 1 |
| 2 | 47 |  | Trailer Title | Title used to identify the trailer record.  Value = TSM/FAH ERROR TRAILER. | Char. | 46 |
| 48 | 56 |  | Inform Record Count | Total count of detail records submitted on Batch Inform file (excluding header and trailer). | Num. | 9 |
| 57 | 65 |  | Error Count | Total count of detail errors on this file. There may be more than one error per Detail record–each error is counted separately to obtain this count (excluding header and trailer). | Num. | 9 |
| 66 | 74 |  | Record Count with Errors | Total count of records with errors on this file (excluding header and trailer). | Num. | 9 |
| 75 | 83 |  | Warning Count | Total count of detail warnings on this file. There may be more than one warning per Detail record. Each warning is counted separately to obtain this count (excluding header and trailer). | Num. | 9 |
| 84 | 92 |  | Record Count with Warnings | Total count of records with warnings on this file (excluding header and trailer). | Num. | 9 |
| 93 | 120 |  | Filler | Spaces. | Char. | 28 |

### Error and Warning Codes Legends

Error Codes Legend

| **Field Number** | **Error Code** | **Meaning** |
| --- | --- | --- |
| 000 | 001 | No Alert Profile established. |
| 101 | 002 | No header record. |
| 103 | 004 | School/Branch does not exist in NSLDS. |
| 104 | 005 | Servicer not authorized. |
| 104 | 033 | TG Number not valid for school. |
| 104 | 035 | User not authorized. |
| 105 | 006 | Submittal Date is not a valid date. |
| 106 | 007 | Submittal Sequence Number is not numeric. |
| 202 | 009 | SSN is not numeric. |
| 203 | 010 | First name is all spaces. |
| 204 | 011 | Last name is all spaces. |
| 205 | 012 | Date of Birth is invalid date. |
| 206 | 013 | Enrollment Begin date is invalid date. |
| 206 | 014 | Enrollment Begin date is less than current date minus 90 days. |
| 206 | 015 | Enrollment Begin date is greater than current date plus 18 months (548 days). |
| 207 | 016 | Monitor Begin date is invalid date. |
| 207 | 023 | Enrollment Begin date is current or a future date and Monitor Begin date is greater than Enrollment Begin Date. |
| 207 | 024 | Monitor Begin date is less than current date minus 18 months (548 days). |
| 207 | 025 | Monitor Begin date is greater than current date plus 18 months (548 days). |
| 208 | 017 | Delete From List Indicator is not Y or N. |
| 209 | 018 | School/Branch does not exist in NSLDS. |
| 209 | 026 | School/Branch on detail record does not match School/Branch on header record. |
| 210 | 028 | Request indicator is not H, T, or B. |
| 211 | 032 | Submittal Control / Submittal Control Detail not found. |
| 211 | 034 | Submittal Control / Submittal Control Detail not found. |
| 202 | 100 | Match on SSN. No match on DOB, First Name, Last Name. |
| 202 | 101 | Student SSN not found. |
| 901 | 019 | No trailer record. |
| 301 | 031 | Submittal file not found. |
| 903 | 021 | Trailer record count does not match number of detail records. |
| 903 | 022 | Trailer record count not numeric. |

Warning Codes Legend

| **Field Number** | **Warning Code** | **Meaning** |
| --- | --- | --- |
| 202 | 701 | Student SSN not found. (Student added to Monitoring List, but is not on Database). |
| 202 | 702 | Match on SSN. No match on DOB, First Name, Last Name. (Student added to Monitoring List, but does not match information on Database). |
| 208 | 703 | Delete requested for Student not on Monitoring List. |
| 207 | 704 | Enrollment Begin Date is a past date; Monitor Begin date changed to current date. |
| 202 | 705 | Successful Match. Student has no selectable loans. | |
| 202 | 706 | Successful Match. Student has no selectable loans and grants. | |

# Transfer Student Monitoring Alert/Financial Aid History Batch File Layout

The FAH is sent to schools when requested by the TSM/FAH Batch Inform file. The Transfer Monitoring Alert file is sent to schools by NSLDS only when a relevant financial aid change has been identified in the record of one of the students on the school’s Monitoring List. Each type of file will be identified by the Header Title and by the message class. Alert files are prompted by changes that include new or cancelled loans/grants, disbursements, and changes to loan balances. The changes prompting an Alert file do ***not*** include newly reported Defaulted loans or Overpayments. That information will continue to be sent to schools via current Postscreening ISIRs.

The FAH and Transfer Monitoring Alert files will contain the student’s full NSLDS Financial Aid History even if there is a change in a single loan or grant. The grant, loan, or aggregate records that contain a change causing the Alert will be flagged to enable schools to identify the changed records quickly. A grant, loan, or aggregate record will be flagged as a change if the information is different from either the most recent ISIR record or the most recent Alert, whichever is more current.

This file can be received in either an Extract or Report format. The extract file will contain separate record types: Aggregates/Perkins/Flags Record; Name History Record(s); Overpayment Record(s); Grant Payment Record(s); and Loan Detail Record(s).

NSLDS will send an Alert file whenever there is information to report to a school. Since NSLDS gets new data daily, it is possible, but not likely, that a school could receive an Alert file daily. Whenever NSLDS sends an Alert file, an e-mail notice will be sent to the e-mail address as specified in the Transfer School Profile on the NSLDS Professional Access Web site at <https://www.nsldsfap.ed.gov/nslds_FAP/>. The alert’s corresponding e-mail notification generated for the school can be identified by the 8-digit OPEID code in the subject line of the e-mail.

## TSM/FAH Header Batch Record Layout

The first record in the file will be a Header record, which will be populated as described in the following table.

* **Report Type**—Designates whether the file is in Report or Extract format. It is also identified by message class name.
* **Date**—Date the file was generated from NSLDS.
* **Sequence Number**—Populated with 01 in this field, if it is the first FAH or Alert sent to the school or servicer on a given day. If more FAHs or Alerts are generated for that school or servicer on the same day, this number will increment for each subsequent file.

TSM Alert/FAH Header Record Layout Length = 500

| **Pos. From** | **Pos. To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | 1 |  | Record Type Indicator | Indicator used to identify the header record.  Value = 0 (zero). | Char. | 1 |
| 2 | 47 |  | Header Title | Title used to identify the header record.  Value = FAH HEADER  or TSM ALERT HEADER. | Char. | 46 |
| 48 | 55 |  | School Code | The 8-digit OPEID code used to identify the school and branch receiving the record. Last 2 digits equal 00 if no branch code. | Char. | 8 |
| 56 | 63 |  | Servicer Code | For Alerts, fill with 00000000 (zeroes). For FAH, return values from original submittal file. | Char. | 8 |
| 64 | 64 |  | Report Type | Type of format in which the file is provided.  Values are:  R = formatted report file is generated  E = an extract file is generated | Char. | 1 |
| 65 | 72 |  | Date | Date on which the Alert or FAH was generated by NSLDS.  Format CCYYMMDD. | Date | 8 |
| 73 | 74 |  | Sequence Number | Used to differentiate between multiple FAH and Alert files generated on the same date.  Starts with 01 and increments for subsequent files. | Char. | 2 |
| 75 | 500 |  | Filler | Spaces. | Char. | 426 |

## TSM/FAH Detail Batch Record Layout –Type 1: Aggregates, Perkins, and Flags Record

The Header record is followed by detail records for each student. Each student record includes the following fields and those listed in the table below. Fields for which no definition is provided were determined to be self-explanatory.

* **Current SSN**—Social Security number currently in NSLDS for this student.
* **Current First Name**—First Name currently in NSLDS for this student. May differ from name submitted in Batch Inform file by school or servicer.
* **Current Last Name**—Last Name currently in NSLDS for this student.
* **Current Date of Birth**—DOB currently in NSLDS for this student. May differ from DOB submitted in Batch Inform file by school or servicer.
* **Defaulted Loan Flag—**Field that identifies if the student has one or more defaulted loans. This will ***not*** generate the Alert file, but will be included on the student’s Financial Aid History sent in the Alert.
* **Discharged Loan Code**—Field that identifies if the student has one or more discharged loans. This will ***not*** generate the Alert file, but will be included on the student’s Financial Aid History and sent in the Alert file. If there are multiple loans with different statuses, the codes will be displayed in the following priority order: D, C, P, M, and N. Values for the code are:
* D = Discharge due to death
* C = Conditional discharge
* P = Permanent discharge
* M = Multiple; some are permanently discharged, some are conditionally discharged
* N = None
* **Loan Satisfactory Repayment Arrangements Flag**—Field that identifies if the student has made satisfactory repayment arrangements on one or more defaulted loans. This will ***not*** generate the Alert file, but will be included on the student’s Financial Aid History sent in the Alert.
* **Active Bankruptcy Flag**—Field that identifies if the student has one or more active bankruptcies. This will ***not*** generate the Alert file, but will be included on the student’s Financial Aid History sent in the Alert.
* **Pell Change Flag**—Field that identifies that the student has had a change reported on one or more Pell grants that may affect eligibility. This ***will*** generate the Alert file. This will be a space for FAH output.
* **Loan Change Flag**—Field that identifies that the student has had a change reported on one or more loans that may affect eligibility. This ***will*** generate the Alert file. This will be a space for FAH output.
* **Aggregate Change Flag**—Field that identifies that the student has had a change reported on his/her Aggregate balance that may affect eligibility. This ***will*** generate the Alert file. This will be a space for FAH output.
* **TEACH Grant Aggregates Change Flag**—Field that identifies that the student has had a change reported on his/her TEACH grant Aggregate balance that may affect eligibility. This ***will*** generate the Alert file. This will be a space for FAH output.
* **First Name—**First name of student as submitted on the Batch Inform file. Allows school or servicer to identify quickly and easily the student they reported with the student whose information has been returned. If the school did not receive a warning after submitting the Batch Inform file, this field should match the Current First Name.
* **Last Name**—Last name of student as submitted on the Batch Inform file. Allows school or servicer to identify quickly and easily the student they reported with the student whose information has been returned.
* **Date of Birth**—DOB of student as submitted on the Batch Inform file. Allows school or servicer to identify quickly and easily the student they reported with the student whose information has been returned. If the school did not receive a warning after submitting the Batch Inform file, this field should match the Current DOB.
* **Undergraduate Subsidized Loan Limit Flag**—Field that indicates the condition of the Aggregate Total for Subsidized loan limit at the undergraduate level. Values for the code are:
* E = Exceeded loan limit
* C = Close or equal to Loan limit
* N = No problem
* R = Exceeded Loan Limit and Reaffirmed Debt
* **Undergraduate Combined Loan Limit Flag**—Field that indicates the condition of the Aggregate Total for Combined loan limit at the undergraduate level. Values for the code are:
* E = Exceeded loan limit
* C = Close or equal to Loan limit
* N = No problem
* R = Exceeded Loan Limit and Reaffirmed Debt
* **Aggregate Consolidation Loans, Unallocated Outstanding Principal Balance (Undergraduate and Graduate)**—Field that indicates the undergraduate and graduate aggregate loan Outstanding Principal Balance amount of a Consolidation loan that is unallocated.
* **Aggregate PLUS Loans Outstanding Principal Balance**—Field that indicates the aggregate loan Outstanding Principal Balance amount for PLUS loans. Will be available when the borrower is the PLUS loan holder.
* **Aggregate Consolidation Loans, Unallocated Total (Undergraduate and Graduate)**—Field that indicates the undergraduate and graduate aggregate loan Total amount of a Consolidation loan that is unallocated.
* **Aggregate PLUS Loans Total**—Field that indicates the aggregate loan Total amount for PLUS loans. Will be available when the borrower is the PLUS loan holder.
* **ACG Change Flag—**Field which identifies that the student has had a change reported on one or more ACG that may affect eligibility. This **will** generate the Alert file. This will be a space for FAH output.
* **SMART Change Flag—**Field which identifies that the student has had a change reported on one or more SMART grants that may affect eligibility. This **will** generate the Alert file. This will be a space for FAH output.
* **TEACH Grant Data Change Flag—**Field which identifies that the student has had a change reported on one or more TEACH grants that may affect eligibility. This **will** generate the Alert file. This will be a space for FAH output.
* **Direct Loan PLUS Graduate/Professional MPN Flag**—Field which identifies a student’s PLUS Graduate/Professional MPN status reported by COD.
* **Fraud Flag**—Field identifying a student having a fraud loan status (FR or FD) on one or more loans or one or more fraud overpayment indicators. This will **not** generate the Alert file, but will be included on the student’s Financial Aid History sent in the Alert and FAH output.
* **Aggregate PLUS Graduate/Professional Loans Outstanding Principal Balance**—Field which indicates the aggregate loan Outstanding Principal Balance amount for PLUS Graduate/Professional loans. Will be available when the student has PLUS Graduate/ Professional loans.
* **Aggregate PLUS Graduate/Professional Loans Total**—Field which indicates the aggregate loan Total amount for PLUS Graduate/Professional loans. Will be available when the student has PLUS Graduate/Professional loans.
* **Graduate Subsidized Loan Limit Flag**—Field that indicates the condition of the Aggregate Total for a borrower’s subsidized loan limit level with cumulative balances for undergraduate and graduate loans. Values for the code are:
* E = Exceeded loan limit
* C = Close or equal to Loan limit
* N = No problem
* R = Exceeded Loan Limit and Reaffirmed Debt
* **Graduate Combined Loan Limit Flag**—Field that indicates the condition of the Aggregate Total for a borrower’s combined loan limit level with cumulative balances for undergraduate and graduate loans. Values for the code are:
* E = Exceeded loan limit
* C = Close or equal to Loan limit
* N = No problem
* R = Exceeded Loan Limit and Reaffirmed Debt
* **Aggregate Subsidized Total (Undergraduate and Graduate)**—Total of Aggregate Subsidized Outstanding Principal Balance and Aggregate Subsidized Pending Disbursement. The amount in this field is used to trigger the Graduate Subsidized Loan Limit Flag.
* **Aggregate Combined Total (Undergraduate and Graduate)**—Total of Aggregate Combined Outstanding Principal Balance and Aggregate Combined Pending Disbursement. The amount in this field is used to trigger the Graduate Combined Loan Limit Flag.
* **Unusual Enrollment History Indicator**—A new field that indicates if the number of schools from which as student received a Pell disbursement during the past 3 years indicates a pattern of unusual Enrollment. Valid Values are:
* 2 = Possible enrollment history problem
* 3 = Questionable enrollment history
* N = No problem
* **LEU Limit Indicator**—Field that identifies if the student has come close to or has exceeded the Pell Grant Lifetime Eligibility Limit of 600%. Values for the code are:
* E = Meets or exceeds Pell Grant LEU limit
* C = Close to Pell Grant LEU limit
* H = High % warning
* N = No problem
* **Subsidized Usage Limit Applies (SULA) Flag**—A field that indicates whether the borrower is subject to the 150% Direct Subsidized Loan Limit provision. The values are:
* Y= The borrower is subject to the 150% Direct Subsidized Loan Limit provision.
* N = The borrower is not subject to the 150% Direct Subsidized Loan Limit provision.
* **Subsidized Usage Period (formerly Subsidized Limit Eligibility Used)**—A field that indicates the total time during which the student has received Direct Subsidized Loans as reported to NSLDS by COD. This field contains numeric six-digit values, 000v000 to 999v999, where “v” is an implied decimal and is excluded from the output.

*TSM Alert/FAH Detail Record Type 1—Aggregate, Perkins, Flags Record Layout Length = 500*

| **Pos. From** | **Pos. To** | **Added/ Modified** | **Attribute** | | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 1 | 1 |  | Record Type Indicator | | Indicator used to identify the record.  Value = 1. | Char. | 1 |
| 2 | 10 |  | Current SSN | | Current SSN from NSLDS of the student for whom the FAH or Alert is being generated. | Char. | 9 |
| 11 | 22 |  | Current First Name | | Current first name from NSLDS of the student for whom the FAH or Alert is being generated.  Value = NFN if student has no first name. | Char. | 12 |
| 23 | 57 |  | Current Last Name | | Current last name from NSLDS of the student for whom the FAH or Alert is being generated.  Value = NLN if student has no last name. | Char. | 35 |
| 58 | 65 |  | Current Date of Birth | | Date of Birth from NSLDS of the student for whom the FAH or Alert is being generated.  Format is CCYYMMDD. | Date | 8 |
| 66 | 73 |  | School Code | | The 8-digit OPEID code used to identify the school and branch. Last 2 digits equal 00 if no branch code. | Char. | 8 |
| 74 | 74 |  | Defaulted Loan Flag | | Indicates one or more defaulted loans.  Value = Y or N. | Char. | 1 |
| 75 | 75 |  | Discharged Loan Code | | Indicates one or more discharged loans.  Values are:  D = Death  C = Conditional  P = Permanent  M = Multiple  N = None | Char. | 1 |
| 76 | 76 |  | Loan Satisfactory Repayment Arrangements Flag | | Indicates one or more loans with satisfactory repayment arrangements.  Value = Y or N. | Char. | 1 |
| 77 | 77 |  | Active Bankruptcy Flag | | Indicates one or more active bankruptcy loans.  Value = Y or N. | Char. | 1 |
| 78 | 83 |  | Aggregate Subsidized Outstanding Principal Balance (Undergraduate and Graduate) | | Outstanding principal balance for subsidized loan types (D1, D6, and subsidized SF). Includes calculated share of a consolidation loan that is considered subsidized.  Value is balance or N/A if no applicable loans. | Char. | 6 |
| 84 | 89 |  | Aggregate Unsubsidized Outstanding Principal Balance (Undergraduate and Graduate) | | Outstanding principal balance for unsubsidized loan types (D2, D5, FI, RF, non-subsidized SF, SL, and SU) Includes calculated share of a consolidation loan that is considered unsubsidized.  Value is balance or N/A if no applicable loans. | Char. | 6 |
| 90 | 95 |  | Aggregate Combined Outstanding Principal Balance (Undergraduate and Graduate) | | Outstanding principal balance for subsidized and unsubsidized loan types (D1, D2, D5, D6, FI, RF, subsidized and non-subsidized SF, SL, and SU). Includes the total amount of a consolidation loan, excluding the calculated share that is considered to be a Perkins loan.  Value is balance or N/A if no applicable loans. | Char. | 6 |
| 96 | 101 |  | Filler | | Blank attribute to maintain record length. | Char. | 6 |
| 102 | 107 |  | Aggregate Subsidized Pending Disbursement (Undergraduate and Graduate) | | Pending disbursements for subsidized loan types (D1 and subsidized SF).  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 108 | 113 |  | Aggregate Unsubsidized Pending Disbursement (Undergraduate and Graduate) | | Pending disbursements for unsubsidized loan types (D2 and SU).  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 114 | 119 |  | Aggregate Combined Pending Disbursement (Undergraduate and Graduate) | | Pending disbursements for subsidized and unsubsidized loan types (D1, D2, SF, and SU).  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 120 | 125 |  | Aggregate Subsidized Total (Undergraduate and Graduate) | | Total of Aggregate Subsidized Outstanding Principal Balance and Aggregate Subsidized Pending Disbursement.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 126 | 131 |  | Aggregate Unsubsidized Total (Undergraduate and Graduate) | | Total of Aggregate Unsubsidized Outstanding Principal Balance and Aggregate Unsubsidized Pending Disbursement.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 132 | 137 |  | Aggregate Combined Total (Undergraduate and Graduate) | | Total of Aggregate Combined Outstanding Principal Balance and Aggregate Combined Pending Disbursement.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 138 | 143 |  | Filler | | Blank attribute to maintain record length. | Char. | 6 |
| 144 | 149 |  | Perkins Total Outstanding Principal Balance | | Total Perkins loan (DU, EU, NU, PU, and IC) outstanding principal balances.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 150 | 155 |  | Perkins Current Award Year Disbursement | | Total Perkins loan (DU, EU, NU, PU, and IC) disbursements for award year (July-June).  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 156 | 156 |  | Direct Stafford MPN Flag | | Direct Loan Stafford Master Promissory Note Flag.  Values are:  A = Active status  I = Inactive status  C = Closed status  N = No loans with a Master Promissory Note | Char. | 1 |
| 157 | 157 |  | Pell Change Flag | | Indicates change to Pell grant information on one or more grants.  Value = Y or N.  Will be blank for FAH output. | Char. | 1 |
| 158 | 158 |  | Loan Change Flag | | Indicates changed information on one or more loans.  Value = Y or N.  Will be blank for FAH output. | Char. | 1 |
| 159 | 159 |  | Aggregate Change Flag | | Indicates changed Aggregate Amount information.  Value = Y or N.  Will be blank for FAH output. | Char. | 1 |
| 160 | 171 |  | First Name | | First name of student submitted. | Char. | 12 |
| 172 | 206 |  | Last Name | | Last name of student submitted. | Char. | 35 |
| 207 | 214 |  | Date of Birth | | Date of Birth of student submitted.  Format is CCYYMMDD. | Date | 8 |
| 215 | 215 |  | Direct Loan PLUS MPN Flag | | Direct Loan PLUS Master Promissory Note Flag.  Values are:  A = Active status  I = Inactive status  E = Inactive, Endorsed  C = Closed status  N = No loans with a Master Promissory Note | Char. | 1 |
| 216 | 216 |  | Undergraduate Subsidized Loan Limit Flag | | Flag indicating the condition of the Aggregate Total for subsidized loan limit (Loan types include: D1, D6, subsidized SF, and calculated share of consolidation loan considered to be subsidized.) at the undergraduate level.  Values are E, C, N, or R. | Char. | 1 |
| 217 | 217 |  | Undergraduate Combined Loan Limit Flag | | Flag indicating the condition of the Aggregate Total` combined loan limit at the undergraduate level.  Values are E, C, N, or R. | Char. | 1 |
| 218 | 223 |  | Aggregate Consolidation Loans, Unallocated Outstanding Principal Balance (Undergraduate and Graduate) | | Aggregate Outstanding principal balance for Consolidation Loans, Unallocated.  Value is amount or N/A if no unallocated balance. | Char. | 6 |
| 224 | 229 |  | Aggregate PLUS Loans Outstanding Principal Balance | | Outstanding principal balance for PLUS loan types (PL, D4, and D7). Will be populated when the borrower is the PLUS loan holder  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 230 | 235 |  | Aggregate Consolidation Loans, Unallocated Total (Undergraduate and Graduate) | | Aggregate Total for Consolidation Loans, Unallocated.  Value is amount or N/A if no unallocated balance. | Char. | 6 |
| 236 | 241 |  | Aggregate PLUS Loans Total | | Total for PLUS loan types (PL, D4, and D7). Will be populated when the borrower is the PLUS loan holder.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 242 | 242 |  | ACG Change Flag | | Indicates change to ACG information on one or more grants.  Value = Y or N. Will be blank for FAH output. | Char. | 1 |
| 243 | 243 |  | SMART Change Flag | | Indicates change to SMART grant information on one or more grants.  Value = Y or N. Will be blank for FAH output. | Char. | 1 |
| 244 | 244 |  | Direct Loan PLUS Graduate/Professional MPN Flag | | Direct Loan PLUS Graduate/Professional Master Promissory Note Flag.  Values are:  A = Active status  I = Inactive status  E = Inactive, Endorsed  C = Closed status  N = No loans with a Master Promissory Note | Char. | 1 |
| 245 | 245 |  | Fraud Flag | | Indicates fraud on one or more loans or overpayments.  Value = Y or N. | Char. | 1 |
| 246 | 251 |  | Aggregate PLUS Graduate/Professional Outstanding Principal Balance | | Outstanding principal balance for PLUS Graduate/Prof. loan types (D3 and GB).  Value is amount or “N/A” if no applicable loans. | Char. | 6 |
| 252 | 257 |  | Aggregate PLUS Graduate/Professional Total | | Total for PLUS Graduate/Prof. loan types (D3 and GB).  Value is amount or “N/A” if no applicable loans. | Char. | 6 |
| 258 | 258 |  | Graduate Subsidized Loan Limit Flag | | Flag indicating the condition of the Aggregate Total for subsidized loan limit (Loan types include: D1, D6, subsidized SF, and calculated share of consolidation loan considered to be subsidized.) for a borrower with cumulative balances having undergraduate and graduate loans.  Values are E, C, N, or R. | Char. | 1 |
| 259 | 259 |  | Graduate Combined Loan Limit Flag | | Flag indicating the condition of the Aggregate Total combined loan limit for a borrower with cumulative balances having undergraduate and graduate loans.  Values are E, C, N, or R. | Char. | 1 |
| 260 | 260 |  | | Filler | Blank attribute to maintain record length. | Char. | 1 |
| 261 | 261 |  | | TEACH Grant Converted to Loan Flag | Indicates a TEACH grant was converted to a TEACH loan on one or more grants.  Value = Y or N. | Char. | 1 |
| 262 | 267 |  | | TEACH Loan OPB | Outstanding principal balance for TEACH unsubsidized loan type (D8).  Value is amount balance or N/A if no applicable loans. | Char. | 6 |
| 268 | 273 |  | | TEACH Loan Total | Total of Aggregate TEACH Unsubsidized loan Outstanding Principal Balance.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 274 | 279 |  | | Aggregate Total TEACH Grant Undergraduate Disbursement Amount | Aggregate Undergraduate/Post Baccalaureate TEACH grant disbursed amounts for the following Academic Levels:  0 = 1st year undergraduate/never attended college,  1 = 1st year undergraduate/attended college before.  2 = 2nd year undergraduate/sophomore.  3 = 3rd year undergraduate/junior.  4 = 4th year undergraduate/senior.  5 = 5th year/other undergraduate.  Value is amount or N/A if no applicable grants. | Char. | 6 |
| 280 | 286 |  | | Undergraduate % Eligibility Used | TEACH grant’s awarded percent used by Undergraduate/Post Baccalaureate Academic Levels.  Format 999V9999 (‘V’ is implied decimal and will not be included on the output), for example, 1000000 represents 100%. | Num. | 7 |
| 287 | 292 |  | | Undergraduate Remaining Amount | The remaining eligible amount by Undergraduate/Post Baccalaureate Academic Levels.  Value is amount or N/A if no applicable grants. | Char. | 6 |
| 293 | 298 |  | | Aggregate Total TEACH Grant Graduate Disbursement Amount | Aggregate Graduate TEACH grant disbursed amounts for the following Academic Levels:  6 = 1st year graduate/professional.  7 = continuing graduate/professional or beyond.  Value is amount or N/A if no applicable grants | Char. | 6 |
| 299 | 305 |  | | Graduate % Eligibility Used | TEACH grant’s awarded percent used by Graduate Academic Levels.  Format 999V9999 (‘V’ is implied decimal and will not be included on the output), for example, 1000000 represents 100%. | Num. | 7 |
| 306 | 311 |  | | Graduate Remaining Amount | The remaining eligible amount by Graduate Academic Levels.  Value is amount or N/A if no applicable grants. | Char. | 6 |
| 312 | 312 |  | | TEACH Grant Data Change Flag | Indicates change to TEACH grant information on one or more grants.  Value = Y or N. Will be blank for FAH output. | Char. | 1 |
| 313 | 313 |  | | TEACH Grant Aggregates Change Flag | Indicates changed TEACH grant Aggregate Amount information.  Value = Y or N.  Will be blank for FAH output. | Char. | 1 |
| 314 | 314 |  | | TEACH Loan Aggregate Change Flag | Indicates changed TEACH loan Aggregate Amount information.  Value = Y or N.  Will be blank for FAH output. | Char. | 1 |
| 315 | 320 |  | | Undergraduate Aggregate Subsidized Outstanding Principal Balance | Outstanding principal balance for undergraduate subsidized loan types (D1, D6, and subsidized SF). Includes calculated share of an undergraduate consolidation loan that is considered subsidized.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 321 | 326 |  | | Undergraduate Aggregate Unsubsidized Outstanding Principal Balance | Outstanding principal balance for undergraduate unsubsidized loan types (D2, D5, FI, RF, non-subsidized SF, SL, and SU). Includes calculated share of an undergraduate consolidation loan that is considered unsubsidized.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 327 | 332 |  | | Undergraduate Aggregate Combined Outstanding Principal Balance | Outstanding principal balance for undergraduate subsidized and unsubsidized loan types (D1, D2, D5, D6, FI, RF, subsidized and non-subsidized SF, SL, and SU). Includes the total amount of an undergraduate consolidation loan, excluding the calculated share that is considered to be a Perkins loan.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 333 | 338 |  | | Undergraduate Aggregate Consolidation Loans, Unallocated Outstanding Principal Balance | Outstanding principal balance for undergraduate consolidation loan amount determined to be unallocated.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 339 | 344 |  | | Undergraduate Aggregate Subsidized Pending Disbursement | Pending disbursements for undergraduate subsidized loan types (D1 and subsidized SF).  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 345 | 350 |  | | Undergraduate Aggregate Unsubsidized Pending Disbursement | Pending disbursements for undergraduate unsubsidized loan types (D2 and SU).  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 351 | 356 |  | | Undergraduate Aggregate Combined Pending Disbursement | Pending disbursements for undergraduate subsidized and unsubsidized loan types (D1, D2, SF, and SU).  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 357 | 362 |  | | Undergraduate Aggregate Subsidized Total | Total of Undergraduate Aggregate Subsidized Outstanding Principal Balance and Undergraduate Aggregate Subsidized Pending Disbursements.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 363 | 368 |  | | Undergraduate Aggregate Unsubsidized Total | Total of Undergraduate Aggregate Unsubsidized Outstanding Principal Balance and Undergraduate Aggregate Unsubsidized Pending Disbursements.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 369 | 374 |  | | Undergraduate Aggregate Combined Total | Total of Undergraduate Aggregate Combined Outstanding Principal Balance and Undergraduate Aggregate Combined Pending Disbursements.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 375 | 380 |  | | Undergraduate Aggregate Consolidation Loans, Unallocated Total | Undergraduate Aggregate Total for consolidation loan amount determined to be unallocated.  Value is amount or N/A if no unallocated balance. | Char. | 6 |
| 381 | 384 |  | | Undergraduate Award Year | Award year used in the student’s undergraduate loan limits determination. | Char. | 4 |
| 385 | 385 |  | | Undergraduate Dependency Indicator | Indicator of the dependency used in the student’s undergraduate loan limits determination.  Valid values:  D = Dependent  I = Independent  Blank | Char. | 1 |
| 386 | 391 |  | | Graduate Aggregate Subsidized Outstanding Principal Balance | Outstanding principal balance for graduate subsidized loan types (D1, D6, and subsidized SF). Includes calculated share of a graduate consolidation loan that is considered subsidized.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 392 | 397 |  | | Graduate Aggregate Unsubsidized Outstanding Principal Balance | Outstanding principal balance for graduate unsubsidized loan types (D2, D5, FI, RF, non-subsidized SF, SL, and SU). Includes calculated share of a graduate consolidation loan that is considered unsubsidized.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 398 | 403 |  | | Graduate Aggregate Combined Outstanding Principal Balance | Outstanding principal balance for graduate subsidized and unsubsidized loan types (D1, D2, D5, D6, FI, RF, subsidized and non-subsidized SF, SL, and SU). Includes the total amount of a graduate consolidation loan, excluding the calculated share that is considered to be a Perkins loan.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 404 | 409 |  | | Graduate Aggregate Consolidation Loans, Unallocated Outstanding Principal Balance | Outstanding principal balance for graduate consolidation loan amount determined to be unallocated.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 410 | 415 |  | | Graduate Aggregate Subsidized Pending Disbursement | Pending disbursements for graduate subsidized loan types (D1 and subsidized SF).  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 416 | 421 |  | | Graduate Aggregate Unsubsidized Pending Disbursement | Pending disbursements for graduate unsubsidized loan types (D2 and SU).  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 422 | 427 |  | | Graduate Aggregate Combined Pending Disbursement | Pending disbursements for graduate subsidized and unsubsidized loan types (D1, D2, SF, and SU).  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 428 | 433 |  | | Graduate Aggregate Subsidized Total | Total of Graduate Aggregate Subsidized Outstanding Principal Balance and Graduate Aggregate Subsidized Pending Disbursements.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 434 | 439 |  | | Graduate Aggregate Unsubsidized Total | Total of Graduate Aggregate Unsubsidized Outstanding Principal Balance and Graduate Aggregate Unsubsidized Pending Disbursements.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 440 | 445 |  | | Graduate Aggregate Combined Total | Total of Graduate Aggregate Combined Outstanding Principal Balance and Graduate Aggregate Combined Pending Disbursements.  Value is amount or N/A if no applicable loans. | Char. | 6 |
| 446 | 451 |  | | Graduate Aggregate Consolidation Loans, Unallocated Total | Graduate Aggregate Total for consolidation loan amount determined to be unallocated.  Value is amount or N/A if no unallocated balance. | Char. | 6 |
| 452 | 455 |  | | Graduate Award Year | Award year used in the student’s graduate loan limits determination. | Char. | 4 |
| 456 | 456 |  | | Graduate Dependency Indicator | Indicator of the dependency used in the student’s graduate loan limits determination.  Valid values:  D = Dependent  I = Independent  Blank | Char. | 1 |
| 457 | 463 |  | | Lifetime Eligibility Used (LEU) | The Lifetime Eligibility Used (LEU) for Pell grants expressed as a decimal  Format is 99v99999 (‘V’ is implied decimal and will not be included on the output). Example: 12.34567 is 1234.567 %. 01.00000 is 0100.000%.  For ACG, SMART and TEACH grants value is 0000000 (zeroes); not applicable. | Num. | 7 |
| 464 | 464 |  | | Unusual Enrollment History Indicator | Indicates a student’s Pell Grant awarding activity pattern that looks suspicious.  Valid values:  2 = Possible enrollment history problem  3 = Questionable enrollment history  N = No problem | Char. | 1 |
| 465 | 465 |  | | LEU Limit Indicator | Indicates the limit reached for the Pell Grant Lifetime Eligibility Used.  Valid values:  E = Limit met or exceeded  C = Close to limit  H = High % warning  N = No problem | Char. | 1 |
| 466 | 466 |  | | SULA Flag | Subsidized Usage Limit Applies (SULA) indicator on loan.  Y = The borrower is subject to the 150% Direct Subsidized Loan Limit provision.  N = The borrower is not subject to the 150% Direct Subsidized Loan Limit provision. | Char. | 1 |
| 467 | 472 |  | | Subsidized Usage Period | Indicates the total time during which the student has received Direct Subsidized Loans as reported to NSLDS by COD. This field contains numeric six-digit values, 000v000 to 999v999, where “v” is an implied decimal and is excluded from the output. | Num. | 6 |
| 473 | 500 |  | | Filler | Spaces. | Char. | 28 |

## TSM/FAH Detail Batch Record Layout – Type 2: Name History Record

Name History Records will be included only if the student has a different name(s) in history than is currently shown on NSLDS. If there is more than one name in history, there will be separate records for each. Each student record includes the following fields and those listed in the table below. Fields for which no definition is provided were determined to be self-explanatory.

* **First Name History—**Other first name in NSLDS database previously associated with the Current SSN.
* **Middle Initial History**—Other middle initial in NSLDS database previously associated with the Current SSN.
* **Last Name History—**Other last name in NSLDS database previously associated with the Current SSN.

*TSM Alert/ FAH Detail Record Type 2—Name History Record Layout Length = 500*

| **Pos. From** | **Pos. To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | 1 |  | Record Type Indicator | Indicator used to identify the Name History record.  Value = 2. | Char. | 1 |
| 2 | 10 |  | Current SSN | Current SSN from NSLDS of the student for whom the FAH or Alert is being generated. | Char. | 9 |
| 11 | 22 |  | Current First Name | Current first name from NSLDS of the student for whom the FAH or Alert is being generated.  Value = NFN if student has no first name. | Char. | 12 |
| 23 | 57 |  | Current Last Name | Current last name from NSLDS of the student for whom the FAH or Alert is being generated.  Value = NLN if student has no last name. | Char. | 35 |
| 58 | 65 |  | Current Date of Birth | Date of Birth from NSLDS of the student for whom the FAH or Alert is being generated.  Format is CCYYMMDD. | Date | 8 |
| 66 | 73 |  | School Code | An 8-digit OPEID code used to identify the school and branch. Last 2 digits equal 00 if no branch code. | Char. | 8 |
| 74 | 85 |  | First Name History | Other first name used by the student for whom the FAH or Alert is provided.  Value = NFN if student has no first name. | Char. | 12 |
| 86 | 86 |  | Middle Initial History | Other middle initial used by the student for whom the FAH or Alert is provided, if available. | Char. | 1 |
| 87 | 121 |  | Last Name History | Other last name used by the student for whom the FAH or Alert is provided.  Value = NLN if student has no last name. | Char. | 35 |
| 122 | 500 |  | Filler | Spaces. | Char. | 379 |

## TSM/FAH Detail Batch Record Layout – Type 3: Overpayment Record

Overpayment Record(s) will only be included if the student has an active overpayment. If there is more than one overpayment, a record will be provided for each. Overpayments that have been repaid are not included. Each student record includes the following fields and those listed in the table below. Fields for which no definition is provided were determined to be self-explanatory.

* **Overpayment Type**— A 2-character code that identifies the type of overpayment(s) reported for the student.
* PE = Pell grant
* SE = Federal Supplemental Educational Opportunity Grant
* PK = Perkins loan (loan types DU, EU, NU, or PU)
* AG = Academic Competitive Grant (ACG)
* SG = National Science and Mathematics Access to Retain Talent (SMART) grant
* TG = Teacher Education Assistance for College and Higher Education (TEACH) grant
* IA = Iraq/Afghanistan Service Grant
* **Overpayment Indicator—** A 1-character code that identifies the status of the overpayment. None of these prompts an Alert to be generated.
* F = An active fraud overpayment exists for the student.
* Y = Yes, an active overpayment exists.
* S = Yes, the student has an overpayment, but has made satisfactory arrangements for repayment.
* **Award Year—**The award year for which the overpayment was disbursed.
* **Contact—**The contact for grant overpayments. This code will either be the code for the contact, if only one exists, or Y if there is more than one overpayment listed when multiple overpayments exist for the same overpayment type for the same award year.

TSM Alert/FAH Detail Record Type 3—Overpayment Record Layout Length = 500

| **Pos. From** | **Pos. To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | 1 |  | Record Type Indicator | Indicator used to identify the Overpayment Record.  Value = 3. | Char. | 1 |
| 2 | 10 |  | Current SSN | Current SSN from NSLDS of the student for whom the FAH or Alert is being generated. | Char. | 9 |
| 11 | 22 |  | Current First Name | Current first name from NSLDS of the student for whom the FAH or Alert is being generated.  Value = NFN if student has no first name. | Char. | 12 |
| 23 | 57 |  | Current Last Name | Current last name from NSLDS of the student for whom the FAH or Alert is being generated.  Value = NLN if student has no last name. | Char. | 35 |
| 58 | 65 |  | Current Date of Birth | Date of Birth from NSLDS of the student for whom the FAH or Alert is being generated.  Format is CCYYMMDD. | Date | 8 |
| 66 | 73 |  | School Code | The 8-digit ED OPE Code used to identify the school and branch. Last 2 digits equal 00 if no branch code. | Char. | 8 |
| 74 | 75 |  | Overpayment Type | A 2-character code that identifies the type of overpayment(s) reported for the student.  PE = Pell grant  SE = Federal Supplemental Educational Opportunity Grant  PK = Perkins loan (loan types DU, EU, NU, or PU).  AG = Academic Competitive Grant (ACG)  SG = National Science and Mathematics Access to Retain Talent (SMART) grant  TG = Teacher Education Assistance for College and Higher Education (TEACH) grants  IA = Iraq/Afghanistan Service Grant | Char. | 2 |
| 76 | 76 |  | Overpayment Indicator | One-character code that identifies the status of the overpayment.  F = Indicates fraud designated through an overpayment.  Y = Yes, an active overpayment exists  S = Yes, the student has an overpayment, but has made satisfactory arrangements for repayment. | Char. | 1 |
| 77 | 80 |  | Award Year | Award Year on which the overpayment was disbursed. | Char. | 4 |
| 81 | 88 |  | Contact | Contact for grant overpayment.  Value is contact code when one overpayment, or Y when more than one overpayment. | Char. | 8 |
| 89 | 500 |  | Filler | Spaces. | Char. | 412 |

## TSM/FAH Detail Batch Record Layout – Type 4: Grant Payment Record

Grant Record(s) will only be included if the student has a Pell, Academic Competitive Grant (ACG) and National Science, Mathematics Access to Retain Talent (SMART) or Teacher Education Assistance for College and Higher Education (TEACH) grant. If there is more than one grant, a record will be provided for each. Fields are applicable to all grant types unless stated otherwise in the attribute description. Each student record includes the following fields and those listed in the table below. Fields for which no definition is provided were determined to be self-explanatory.

* **Scheduled Amount—**The amount of the Pell, ACG and SMART grant scheduled award amount to be disbursed. A change in this amount ***will*** cause an Alert to be generated. For TEACH grant the amount is $4,000 and will not cause an Alert to be generated.
* **Disbursed Amount—**The grant amount already disbursed to the student. A change in this amount ***will*** cause an Alert to be generated.
* **Percent of Eligibility Used—**Percentage of a Pell, ACG and SMART award already disbursed to the student.
* **Grant Change Flag—**Indicates something was reported on this detail record that might affect eligibility. This ***will*** cause an Alert to be generated. There will be spaces on FAH records.
* **Grant Type**—A 2-character code that identifies the type of grant reported for the student.
* PE = Pell grant
* AG = Academic Competitive Grant (ACG)
* SG = National Science and Mathematics Access to Retain Talent (SMART) grant
* TG = Teacher Education Assistance for College and Higher Education (TEACH) grants
* **Award ID—**Common Origination and Disbursement (COD) provided Award ID which identifies an ACG, SMART or TEACH grant. It consists of:
* SSN (9 Characters)
* Award Type (1 Character: A= ACG, T= SMART, H= TEACH)
* Award Year (2 Characters)
* Pell School ID (6 Characters)
* Award Sequence Number (3 Characters)
* **Total Percent Eligibility Used by Academic Year Level—**Total percentage of the student's eligibility used for ACG and SMART grants at each applicable academic level across all award years and schools.
* **TEACH grant converted to loan flag—**Indicates the TEACH grant has been converted to a Direct Unsubsidized (TEACH) Loan (D8- Loan Type Code).
* **TEACH grant conversion date—**The date the TEACH grant was converted to Direct Unsubsidized Loan (D8).
* **Additional Eligibility Indicator (AEI)—**Indicates the student’s eligibility for two Pell grants in an award year.
* **Post 911 Deceased Veteran Dependent Indicator—**Indicates the student was a dependent of a post 9/11 deceased veteran.
* **First Time Pell Indicator—**Indicates that Pell award is the student’s first actual Pell disbursement.

TSM Alert/FAH Detail Record Type 4—Grant Payment Record Layout Length = 500

| **Pos. From** | **Pos. To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | 1 |  | Record Type Indicator | Indicator used to identify the Grant Payment Record.  Value = 4. | Char. | 1 |
| 2 | 10 |  | Current SSN | Current SSN from NSLDS of the student for whom the FAH or Alert is being generated. | Char. | 9 |
| 11 | 22 |  | Current First Name | Current first name from NSLDS of the student for whom the FAH or Alert is being generated.  Value = NFN if student has no first name. | Char. | 12 |
| 23 | 57 |  | Current Last Name | Current last name from NSLDS of the student for whom the FAH or Alert is being generated.  Value = NLN if student has no last name. | Char. | 35 |
| 58 | 65 |  | Current Date of Birth | Date of Birth from NSLDS of the student for whom the FAH or Alert is being generated.  Format is CCYYMMDD. | Date | 8 |
| 66 | 73 |  | School Code | The 8-digit OPEID code used to identify the school and branch. Last 2 digits equal 00 if no branch code. | Char. | 8 |
| 74 | 79 |  | Scheduled Amount | Scheduled amount of the Federal Pell grant. TEACH grant scheduled award is $4,000. | Num. | 6 |
| 80 | 85 |  | Disbursed Amount | The amount of the Federal grant the school has disbursed to the student. | Num. | 6 |
| 86 | 91 |  | Filler | Spaces. | Char. | 6 |
| 92 | 95 |  | Award Year | The Award Year for the grant program, beginning July 1 and ending June 30 of following year. | Char. | 4 |
| 96 | 100 |  | Filler | Spaces. | Char. | 5 |
| 101 | 102 |  | Transaction Number | Transaction number on the SAR or ISIR for the payment accepted by the school. | Char. | 2 |
| 103 | 110 |  | Latest Disbursement Date | Date school made the latest disbursement.  Format is CCYYMMDD.  Value is date or N/A. | Char. | 8 |
| 111 | 113 |  | Accepted Verification Status | Accepted verification status.  Value is status or ‘N/A’.  For ACG, SMART and TEACH grants, value is “N/A”; not applicable. | Char. | 3 |
| 114 | 119 |  | Expected Family Contribution | Index produced by the Congressional Methodology (CM) needs analysis assessment.  For ACG, SMART and TEACH grants value is 000000 (zeroes); not applicable. | Num. | 6 |
| 120 | 125 |  | Award Amount | Accepted origination amount. | Num. | 6 |
| 126 | 133 |  | School Code | An 8-digit OPEID code used to identify the school attended by the student with the grant. Last 2 digits equal 00 if no branch code. | Char. | 8 |
| 134 | 134 |  | Grant Change Flag | Indicates change to this grant record.  Value = Y or N.  Will be blank for FAH. | Char. | 1 |
| 135 | 142 |  | Posted by COD | Date disbursement was posted to the COD system. Format is CCYYMMDD.  Value is date or “N/A” | Char. | 8 |
| 143 | 149 |  | Percent of Eligibility Used | Pell’s percent of scheduled award used by award year. ACG and SMART’s percent eligibility used by scheduled award.  Format 999V9999 (‘V’ is implied decimal and will not be included on the output), for example, 1000000 represents 100%.  For TEACH grants value is 0000000 (zeroes); not applicable. | Num. | 7 |
| 150 | 151 |  | Grant Type | Indicates a 2-character code that identifies the grant type reported for the student.  Values include:  PE = Pell grant  AG = ACG  SG = SMART grant  TG = TEACH grant | Char. | 2 |
| 152 | 153 |  | Eligibility Payment Code | Indicates the eligibility payment code for ACG.  For Pell, SMART and TEACH grants, value is “NA”; not applicable. | Char. | 2 |
| 154 | 159 |  | High School Program Code | Indicates the high school program code for ACG.  For Pell, SMART and TEACH grants, value is “N/A”; not applicable. | Char. | 6 |
| 160 | 160 |  | Academic Year Level | Indicates the student’s grade level for ACG, SMART and TEACH grants.  For Pell grants the value is “N”; not applicable. | Char. | 1 |
| 161 | 181 |  | Award ID | Indicates the Award ID, provided by COD, which identifies an ACG, SMART or TEACH grant.  Consists of:  SSN (9 char.),  Award Type (1 char.: ACG = A, National SMART = T, TEACH = H),  Award Year (2 char.),  Pell School ID (6 char.)  Award Sequence Number (3 char.).  For Pell grants the value is “N/A”; not applicable. | Char. | 21 |
| 182 | 188 |  | CIP Code | Indicates a student’s major course of study; the Classification of Instructional Programs (CIP) designated code for SMART grants.  For Pell, ACG and TEACH grants the value is “N/A”; not applicable. | Char. | 7 |
| 189 | 192 |  | Filler | Spaces. | Char. | 4 |
| 193 | 195 |  | Grant Sequence Number | The grant identifying sequence number. | Char. | 3 |
| 196 | 202 |  | Total Percent Eligibility Used by Academic Year Level | The total percent of eligibility used for ACG and SMART grants.  Format 999V9999 (‘V’ is implied decimal and will not be included on the output), for example, 1000000 represents 100%.  For Pell and TEACH grants value is 0000000 (zeroes); not applicable. | Num. | 7 |
| 203 | 203 |  | Filler | Space. | Char. | 1 |
| 204 | 204 |  | TEACH grant converted to loan flag | Indicates TEACH grant was converted to a loan.  Value = Y or N. | Char. | 1 |
| 205 | 212 |  | TEACH grant conversion date | Date the TEACH grant was converted to a loan. Format is CCYYMMDD.  Value is date or “N/A” | Char. | 8 |
| 213 | 213 |  | Additional Eligibility Indicator (AEI) | Indicator of the student’s eligibility for two Pell grants in an award year.  Value = Y, N or blank. N for grants other than Pell. | Char. | 1 |
| 214 | 214 |  | Post 911 Deceased Veteran Dependent Indicator | Indicator for a Post 9/11 Deceased Veteran Dependent.  Value = Y or N.  The value will be available only on extract requests.  Note: The value will be available only on extract requests. | Char. | 1 |
| 215 | 215 |  | First Time Pell Indicator | Indicator that Pell award is the student’s first actual Pell disbursement.  Value = Y or N. N for grants other than Pell.  The value will be available only on extract requests.  Note: The value will be available only on extract requests. | Char. | 1 |
| 216 | 500 |  | Filler | Spaces. | Char. | 285 |

## TSM/FAH Detail Batch Record Layout – Type 5: Loan Detail Record

Loan Record(s) will only be included if the student has one or more loans. If there is more than one loan, a record will be provided for each. Each student record includes the following fields and those listed in the table below. Fields for which no definition is provided were determined to be self-explanatory.

* **Loan Type Code—**A 2-character code that identifies the type of loan awarded.
* **Current Loan Status Code—**A 2-character code used to identify the current status of the loan.
* **Current Loan Status Date—**Date the loan first went into the current status with no interruptions, ***not*** the date the loan status was reported to NSLDS.
* **Outstanding Principal Balance (OPB)—**Amount currently owed on the loan. This may include capitalized interest. Changes in this field ***will*** cause an Alert to be generated.
* **Outstanding Principal Balance Date—**Date the OPB was last updated with NSLDS.
* **Net Loan Amount—**Changes in this field ***will*** cause an Alert to be generated.
* **Additional Unsubsidized Loan Flag—**Indicates that an Additional Unsubsidized Loan has been awarded. The code indicates the reason for the Additional award.
* **Capitalized Interest Flag—**Indicates that there is Capitalized Interest on this record.
* **Loan Change Flag—**Indicates that loan information has changed. Changes causing the flag to be set will ***not*** include a loan going into or out of default. Such changes will be covered by the postscreening process. Changes in this field ***will*** cause an Alert to be generated. This field will be blank for FAH records.
* **Calculated Subsidized Aggregate Outstanding Principal Balance**—Amount included in Subsidized Aggregate calculation.
* **Calculated Unsubsidized Aggregate Outstanding Principal Balance**—Amount included in Unsubsidized Aggregate calculation.
* **Calculated Combined Aggregate Outstanding Principal Balance**—Amount included in Combined Aggregate calculation.
* **Consolidation Unallocated Aggregate Outstanding Principal Balance**—Amount that could not be determined to be a subsidized, unsubsidized, Perkins or PLUS loan.
* **Data Provider Loan ID/Award ID**—For FFEL and Perkins loans, Data Provider Loan ID used by data provider (Guaranty Agency or Debt Management Collection Service for FFEL or Perkins held loans) for internal loan identification. For Direct Loans and FFEL loans purchased by ED, Award ID used by Federal Loan Servicers (including the Direct Loan Servicing System) data providers for loan identification. For TEACH loans, Award ID originated by COD for the TEACH grant, which is retained when converted to a TEACH loan.
* **Confirmed Loan Subsidy Status (formerly Last Confirmed SULA Status)**—A field that indicates the current status of a SULA eligible loan’s subsidy as reported by the servicer.
  + L = Lost Subsidy
  + R = Reinstated Subsidy
  + N = Not Applicable
* **Subsidy Status Date**—Date the subsidy status went into effect as reported to NSLDS. Format is CCYYMMDD. Value is date or N/A if date is not available.
* **Academic Year Begin Date**—The date when the student's academic year begins (or has begun) for the period covered by the loan. Format is CCYYMMDD. Value is date or N/A if date is not available.
* **Academic Year End Date**—The date when the student's academic year ended (or will end) for the period covered by the loan. Format is CCYYMMDD or N/A if date is not available.
* **Reaffirmation Flag** – A field that indicates if the loan has an active reaffirmation. This field is a one digit character field. The values are:
* Y = The loan has an active reaffirmation.
* N = The loan does not have an active reaffirmation.

The following new updates, available beginning October 2017, provide information on expanded fields:

* **Statutory Interest Rate—**A field that identifies the statutory interest rate of the loan, if one exists.
* **Actual Interest Rate—** A field that identifies the actual interest rate of the loan, if one exists.

TSM Alert/FAH Detail Record Type 5—Loan Detail Record Layout Length = 500

| **Pos. From** | **Pos. To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | 1 |  | Record Type Indicator | Indicator used to identify the Detail Record.  Value = 5. | Char. | 1 |
| 2 | 10 |  | Current SSN | Current SSN from NSLDS of the student for whom the FAH or Alert is being generated. | Char. | 9 |
| 11 | 22 |  | Current First Name | Current first name from NSLDS of the student for whom the FAH or Alert is being generated.  Value = NFN if student has no first name. | Char. | 12 |
| 23 | 57 |  | Current Last Name | Current last name from NSLDS of the student for whom the FAH or Alert is being generated.  Value = NLN if student has no last name. | Char. | 35 |
| 58 | 65 |  | Current Date of Birth | Date of Birth from NSLDS of the student for whom the FAH or Alert is being generated.  Format is CCYYMMDD. | Date | 8 |
| 66 | 73 |  | School Code | An 8-digit OPEID code used to identify the school. Last two digits equal 00 if no branch code. | Char. | 8 |
| 74 | 75 |  | Loan Type Code | Code indicating the type of loan. | Char. | 2 |
| 76 | 77 |  | Current Loan Status Code | Code used to identify the current status of a student’s loan. | Char. | 2 |
| 78 | 85 |  | Current Loan Status Date | Date the current loan status code became effective. Format is CCYYMMDD. | Date | 8 |
| 86 | 91 |  | Outstanding Principal Balance | Amount of outstanding principal balance.  Value is balance or N/A. | Char. | 6 |
| 92 | 99 |  | Outstanding Principal Balance Date | Date the outstanding principal balance was last updated. Format is CCYYMMDD.  Value is date or N/A if date is not available. | Char. | 8 |
| 100 | 107 |  | Loan Period Begin Date | Date the enrollment period begins for this loan.  Format is CCYYMMDD.  Value is date or N/A if date is not available. | Char. | 8 |
| 108 | 115 |  | Loan Period End Date | Date the enrollment period ends for this loan. Format is CCYYMMDD. Value is date or N/A if date is not available. | Char. | 8 |
| 116 | 118 |  | Current GA Code | Current Guaranty Agency code or N/A if not applicable. | Char. | 3 |
| 119 | 119 |  | Academic Level | Student’s academic level at the school when the loan was disbursed.  Values are:  1 = Freshman/First Year (including proprietary institution programs that are less than 1 year in duration)  2 = Sophomore/Second Year  3 = Junior/Third Year  4 = Senior/Fourth Year  5 = Fifth/Other Undergraduate (may include sixth year undergraduate and continuing education students)  A = First Year Graduate/Professional  B = Second Year Graduate/Professional  C = Third Year Graduate/Professional  D = Beyond Third Year Graduate/Professional  G = Graduate/Professional, year of study unknown  N = Not available (to be used only if the date of the first disbursement is prior to July 23, 1992, and data provider does not have required attribute) | Char. | 1 |
| 120 | 122 |  | Contact Type | Contact Type code.  Values are:  EDR = ED Region  LEN = Lender  SCH = School  GA = Guaranty Agency  DLS = Direct Loan  Servicer  LNS = Lender Servicer  DDP = Disability Data Provider  RDS = U.S. Department of Education  N/A = Not Applicable | Char. | 3 |
| 123 | 128 |  | Net Loan Amount | Amount of loan/guaranty minus total amount of cancellations and refunds. | Num. | 6 |
| 129 | 136 |  | Contact | Code indicating the agency to be contacted for this loan.  Value is N/A if contact is not available. | Char. | 8 |
| 137 | 144 |  | School Code | An 8-digit OPEID code used to identify the school attended by the student when the loan was originated. Last 2 digits equal 00 if no branch code. | Char. | 8 |
| 145 | 145 |  | Additional Unsubsidized Loan Flag | Additional Unsubsidized Loan flag.  Values are:  P = PLUS Denial  H = Health Loan Program  B = Both  N= Neither | Char. | 1 |
| 146 | 146 |  | Capitalized Interest Flag | Capitalized Interest flag.  Value = Y or N. | Char. | 1 |
| 147 | 154 |  | Last Disbursement Date | Date latest loan disbursement was made.  Format CCYYMMDD.  Value = date or N/A. | Char. | 8 |
| 155 | 160 |  | Total Disbursement Amount | Cumulative amount disbursed.  Value = amount or N/A. | Char. | 6 |
| 161 | 166 |  | Lender Code | Current Lender code or N/A if not applicable. | Char. | 6 |
| 167 | 172 |  | Lender Servicer Code | Current Lender Servicer code or N/A if not applicable. | Char. | 6 |
| 173 | 173 |  | Loan Change Flag | Indicates change to this loan record. There will be a space for FAH records.  Value = Y or N. | Char. | 1 |
| 174 | 179 |  | Calculated Subsidized Aggregate OPB | Amount included in Subsidized Aggregate calculation.  Value = amount or 000000. | Char. | 6 |
| 180 | 185 |  | Calculated Unsubsidized Aggregate OPB | Amount included in Unsubsidized Aggregate calculation.  Value = amount or 000000. | Char. | 6 |
| 186 | 191 |  | Calculated Combined Aggregate OPB | Amount included in Combined Aggregate calculation.  Value = amount or 000000. | Char. | 6 |
| 192 | 197 |  | Consolidation Unallocated Aggregate OPB | Amount that could not be determined to be subsidized, unsubsidized or Perkins.  Value = amount or 000000. | Char. | 6 |
| 198 | 203 |  | Last Disbursement Amount | Amount of last disbursement  Value = amount or N/A | Char. | 6 |
| 204 | 206 |  | Perkins Cancellation Type Code | Loan cancellation reasons.  Values are:  DT = Defense Teacher  FF = Firefighter  LB = Librarian  PB = Perkins Bankruptcy  PD = Perkins Death  PE = Perkins Early Intervention  PI = Perkins Disability  PL = Perkins Law Enforcement  PM = Perkins Military Service  PN = Perkins Nurse/Medical Technician  PS = Perkins Subject Matter Area  PT = Perkins Teacher Service  PV = Perkins Volunteer Service  SP = Speech Language Pathologist  TB = Tribal College or University  N/A = Not Applicable | Char. | 3 |
| 207 | 212 |  | Loan Amount | Guaranteed/approved loan amount.  Value is amount or N/A. | Char. | 6 |
| 213 | 220 |  | Loan Date | Date of loan guarantee/approval.  Format CCYYMMDD.  Value = date or N/A. | Char. | 8 |
| 221 | 241 |  | Data Provider Loan ID/Award ID | For FFEL and Perkins loans, Data Provider Loan ID used by data provider (Guaranty Agency or Debt Management Collection Service for FFEL or Perkins held loans) for internal loan identification. For Direct Loans and FFEL loans purchased by ED, Award ID used by Federal Loan Servicers (including the Direct Loan Servicing System) data providers for loan identification. For TEACH loans, Award ID originated by COD for the TEACH grant, which is retained when converted to a TEACH loan. | Char. | 21 |
| 242 | 242 |  | Confirmed Loan Subsidy Status | Indicates the current status of a SULA eligible loan’s subsidy as reported by the servicer.  L = Lost Subsidy  R = Reinstated Subsidy  N = Not Applicable | Char. | 1 |
| 243 | 250 |  | Subsidy Status Date | Date the subsidy status went into effect as reported to NSLDS. Format is CCYYMMDD. Value is date or N/A if date is not available. | Char. | 8 |
| 251 | 258 |  | Academic Year Begin Date | The date when the student's academic year begins (or has begun) for the period covered by the loan. Format is CCYYMMDD. Value is date or N/A if date is not available. | Char. | 8 |
| 259 | 266 |  | Academic Year End Date | The date when the student's academic year ended (or will end) for the period covered by the loan. Format is CCYYMMDD. Value is date or N/A if date is not available. | Char. | 8 |
| 267 | 267 |  | Reaffirmation flag | Indicates if the loan has an active reaffirmation. | Char. | 1 |
| 268 | 273 | *Modified field for 2018-2019* | *Statutory Interest Rate* | For an FFEL Program Loan, this is the interest rate at the time the guaranty is made. For Perkins and Direct Loans, this is the interest rate when the loan is disbursed.  Format 999V999 (‘V’ is implied decimal and will not be included on the output, for example 005250 represents 5.250%.  Populated for FAH, spaces for TSM. | Char. | 6 |
| 274 | 279 | *Modified field for 2018-2019* | *Actual Interest Rate* | For an FFEL Program Loan, this is the interest rate at the time the guaranty is made. For Perkins Loans, this is the interest rate when the loan is disbursed. For Direct Loans, this is the current/reported interest rate attributed to the loan  Format 999V999 (‘V’ is implied decimal and will not be included on the output, for example 005250 represents 5.250%.  Populated for FAH, spaces for TSM. | Char. | 6 |
| 280 | 500 | *Modified field for 2018-2019* | Filler | Spaces | Char. | 221 |

## TSM/FAH Trailer Batch Record Layout

The last record in the file will be a Trailer record, which will be populated as described in the following table. Fields for which no definition is provided were determined to be self-explanatory.

TSM Alert/FAH Trailer Record Layout Length = 500

| **Pos. From** | **Pos. To** | **Added/ Modified** | **Attribute** | **Description** | **Field Format** | **Field Length** |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | 1 |  | Record Type Indicator | Indicator used to identify the trailer record.  Value = 9. | Char. | 1 |
| 2 | 47 |  | Trailer Title | Title used to identify the trailer record.  Value = TSM ALERT TRAILER  or FAH TRAILER. | Char. | 46 |
| 48 | 56 |  | Record Count | Total count of detail records on this file (excluding header and trailer). | Num. | 9 |
| 57 | 500 |  | Filler | Spaces. | Char. | 444 |

# Transfer Student Monitoring Alert and Financial Aid History Batch Report Layout

The following figures display the batch results in the report format. Schools may have to adjust their print settings to print the report.

|  |
| --- |
| **PRIVACY ACT OF 1974 (AS AMENDED)**  **Report ID: PSE001 U.S. DEPARTMENT OF EDUCATION DATE: MM-DD-CCYY**  **Page No. : ZZ,ZZ9 NATIONAL STUDENT LOAN DATA SYSTEM (NSLDS) TIME: HH:MM:SS**  **Transfer Student Monitoring/Financial Aid History Report**    **Report Parameters: Requesting Sch/Br Code: XXXXXXXX Sort Sequence: School/Branch Code, SSN**  **Name: XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX**  **City: XXXXXXXXXXXXXXXXXXXX State: XX**    **LAST: XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX FIRST: XXXXXXXXXXXX DOB: MM-DD-CCYY SSN: XXXXXXXXX**  **-------------------- Name History -------------------- Enrollment Begin Date: MM-DD-YYYY**  **LAST FIRST M**  **---- ----- -**  **XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXX X**  **XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXX X**  **XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXX X**  **XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXX X**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Overpayment: Award Yr: Contact: Fraud: X Discharged: X Defaulted Loans: X Loan Sat. Repayment: X Active Bankruptcy: X**  **Pell: X XXXX XXXXXXXX**  **FSEOG: X XXXX XXXXXXXX Aggregate Change: X Loan Change: X Pell Change: X ACG Change: X SMART Change: X**  **Perkins: X XXXX XXXXXXXX DL Stafford MPN: X DL Plus MPN: X DL Grad PLUS MPN: X**  **ACG: X XXXX XXXXXXXX Undergrad Sub. Limit: X Undergrad Comb. Limit: X Grad Sub. Limit: X Grad Comb. Limit: X**  **SMART: X XXXX XXXXXXXX TEACH Grt Change: X TEACH Grt Aggr. Change: X TEACH Grant to Loan Flag: X**  **TEACH: X XXXX XXXXXXXX TEACH Loan Aggregate Change: X SULA Flag: X Sub. Usage Period: 999.999**  **Iraq/Afgh: X XXXX XXXXXXXX Unusual Enrollment History Ind: X**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Aggregate Amount for FFELP/Direct Loans Aggregate Pending Total:**  **Grouped by Academic Levels: Balance: Disbursements:**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Undergraduate Award Year: CCYY Dep Ind: X**  **Subsidized Loans: $ZZZ,ZZ9 $ZZZ,ZZ9 $ZZZ,ZZ9**  **Unsubsidized Loans: $ZZZ,ZZ9 $ZZZ,ZZ9 $ZZZ,ZZ9**  **Combined Loans: $ZZZ,ZZ9 $ZZZ,ZZ9 $ZZZ,ZZ9**  **Consolidation Loans, Unallocated: $ZZZ,ZZ9 $ZZZ,ZZ9**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Graduate Award Year: CCYY Dep Ind: X**  **Subsidized Loans: $ZZZ,ZZ9 $ZZZ,ZZ9 $ZZZ,ZZ9**  **Unsubsidized Loans: $ZZZ,ZZ9 $ZZZ,ZZ9 $ZZZ,ZZ9**  **Combined Loans: $ZZZ,ZZ9 $ZZZ,ZZ9 $ZZZ,ZZ9**  **Consolidation Loans, Unallocated: $ZZZ,ZZ9 $ZZZ,ZZ9**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Totals**  **Subsidized Loans: $ZZZ,ZZ9 $ZZZ,ZZ9 $ZZZ,ZZ9**  **Unsubsidized Loans: $ZZZ,ZZ9 $ZZZ,ZZ9 $ZZZ,ZZ9**  **Combined Loans: $ZZZ,ZZ9 $ZZZ,ZZ9 $ZZZ,ZZ9**  **Consolidation Loans, Unallocated: $ZZZ,ZZ9 $ZZZ,ZZ9**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Other**  **Graduate PLUS Loans: $ZZZ,ZZ9 $ZZZ,ZZ9**  **PLUS Loans: $ZZZ,ZZ9 $ZZZ,ZZ9**  **Direct Unsubsidized Loans (TEACH): $ZZZ,ZZ9 $ZZZ,ZZ9**    **Perkins Loans:**  **Outstanding Principal Bal: $ZZZ,ZZ9 Current Year Loan Amount: $ZZZ,ZZ9 \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Pell Grant**  **Lifetime Eligibility Used %: ZZZ9.999 LEU Limit Ind: X**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Aggregate Amounts for Total % Eligibility Elig. Amount**  **TEACH Grant: Disbursed: Used: Remaining:**  **Undergraduate/Post Baccalaureate: $ZZZ,ZZ9 ZZ9.9999 $ZZZ,ZZ9**  **Graduate: $ZZZ,ZZ9 ZZ9.9999 $ZZZ,ZZ9**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **ACG Awrd Attend Tr Sched Award Disb Latest % Elig Total % Posted by Award ID Acad Sch HS Pgm**  **Data Year Sch Code # Amt $ Amt $ Amt $ Disb Used Elig Usd COD Lvl Rsn**  **XXXX XXXXXXXX XX ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY ZZ9.9999 ZZ9.9999 MM-DD-CCYY XXXXXXXXXXXXXXXXXXXXX X XX XXXXXX**  **# XXXX XXXXXXXX XX ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY ZZ9.9999 ZZ9.9999 MM-DD-CCYY XXXXXXXXXXXXXXXXXXXXX X XX XXXXXX**  **XXXX XXXXXXXX XX ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY ZZ9.9999 ZZ9.9999 MM-DD-CCYY XXXXXXXXXXXXXXXXXXXXX X XX XXXXXX**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Pell Awrd Attend Tr Seq Sched Award Disb Latest % Elig Posted by EFC Ver AEI**  **Data Year Sch Code # Num Amt $ Amt $ Amt $ Disb Used COD Flg**  **XXXX XXXXXXXX XX 999 ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY ZZ9.9999 MM-DD-CCYY 999999 XXX X**  **# XXXX XXXXXXXX XX 999 ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY ZZ9.9999 MM-DD-CCYY 999999 XXX X**  **XXXX XXXXXXXX XX 999 ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY ZZ9.9999 MM-DD-CCYY 999999 XXX X**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **SMART Awrd Attend Tr Sched Award Disb Latest % Elig Total % Posted by Award ID Acad Major**  **Data Year Sch Code # Amt $ Amt $ Amt $ Disb Used Elig Usd COD Lvl**  **XXXX XXXXXXXX XX ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY ZZ9.9999 ZZ9.9999 MM-DD-CCYY XXXXXXXXXXXXXXXXXXXXX X XXXXXXX**  **XXXX XXXXXXXX XX ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY ZZ9.9999 ZZ9.9999 MM-DD-CCYY XXXXXXXXXXXXXXXXXXXXX X XXXXXXX**  **# XXXX XXXXXXXX XX ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY ZZ9.9999 ZZ9.9999 MM-DD-CCYY XXXXXXXXXXXXXXXXXXXXX X XXXXXXX**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **TEACH Awrd Attend Tr Sched Award Disb Latest Posted by Award ID Acad Convert Conversion**  **Data Year Sch Code # Amt $ Amt $ Amt $ Disb COD Lvl to Loan Date**  **XXXX XXXXXXXX XX ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY MM-DD-CCYY XXXXXXXXXXXXXXXXXXXXX X X MM-DD-CCYY**  **XXXX XXXXXXXX XX ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY MM-DD-CCYY XXXXXXXXXXXXXXXXXXXXX X X MM-DD-CCYY**  **# XXXX XXXXXXXX XX ZZZ,ZZ9 ZZZ,ZZ9 ZZZ,ZZ9 MM-DD-CCYY MM-DD-CCYY XXXXXXXXXXXXXXXXXXXXX X X MM-DD-CCYY**    **PRIVACY ACT OF 1974 (AS AMENDED)** |

Figure 4‑1, Transfer Student Monitoring/Financial Aid History Report, Part 1

**Notes:**

1. The PLUS Loans***Outstanding Principal Balance***and***Total***display **only** when the student/borrower is the loan holder.
2. The Graduate PLUS Loans***Outstanding Principal Balance***and***Total***display **only** when the student/borrower has GB or D3 loans.
3. Sections relating to TEACH data dynamically display when TEACH data exists.
4. The section relating to aggregate amounts for Subsidized, Unsubsidized, Combined and unallocated portions of Consolidated Loans are separated out into corresponding Undergraduate and Graduate categories (including the Award Year and Dependency Status used in the student’s undergraduate and graduate loan limits determination). The undergraduate and graduate aggregate data displays dynamically if the information exists.
5. The Academic Year Begin Date and Academic Year End Date display only when the dates are available.

A pound sign symbol (#) will print next to the element that generates a TSM Alert.

|  |
| --- |
| **PRIVACY ACT OF 1974 (AS AMENDED)**  **Report ID: PSE001 U.S. DEPARTMENT OF EDUCATION DATE: MM-DD-CCYY**  **Page No. : ZZ,ZZ9 NATIONAL STUDENT LOAN DATA SYSTEM (NSLDS) TIME: HH:MM:SS**  **Transfer Student Monitoring/Financial Aid History Report**  **Report Parameters: Requesting Sch/Br Code: XXXXXXXX Sort Sequence: School/Location Code, SSN**  **Name: XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX Inform Submittal Date: MM-DD-CCYY**  **City: XXXXXXXXXXXXXXXXXXXX State: XX**  **LAST: XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX FIRST: XXXXXXXXXXXX DOB: MM-DD-CCYY SSN: XXX-XX-XXXX**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Loan Detail: Net Loan Loan Begin Loan End GA School Academic Contact Contact**  **Amount Date Date Code Code Level Type**  **# XXXXXXXXXXXXXXXXXXXXXXXXXXXXXX $ZZZ,ZZ9 MM-DD-CCYY MM-DD-CCYY XXX XXXXXXXX XXX XXXXXXXX XXX**  **Loan Amt: $ZZZ,ZZ9 Loan Dt: MM-DD-CCYY Reaffirmation Flag: X**  **Status Code XX as of MM-DD-CCYY Perkins Cancel Code XXX Statutory Int Rt %: Z9.999 Actual Int Rt %: Z9.999**  **Outstanding Bal. $ZZZ,ZZ9 as of MM-DD-CCYY**  **Addl Unsub: X Cap Int: X Confirmed Loan Subsidy Status: X Subsidy Status Date: MM-DD-CCYY**  **Acad Yr Begin Dt: MM-DD-CCYY Acad Yr End Dt: MM-DD-CCYY**  **Last Disb Date: MM-DD-CCYY Last Disb Amt: $ZZZ,ZZ9 Total Disb. Amt: $ZZZ,ZZ9**  **Lender: XXXXXX Lender Servicer: XXXXXX**  **Data Provider Loan ID/Award ID: XXXXXXXXXXXXXXXXXXXXX**  **Calculated Subsidized Agg. OPB: $ZZZ,ZZ9**  **Calculated Unsubsidized Agg. OPB: $ZZZ,ZZ9**  **Calculated Combined Agg. OPB: $ZZZ,ZZ9**  **Consolidation, Unallocated Agg. OPB: $ZZZ,ZZ9**  **XXXXXXXXXXXXXXXXXXXXXXXXXXXXXX $ZZZ,ZZ9 MM-DD-CCYY MM-DD-CCYY XXX XXXXXXXX XXX XXXXXXXX XXX**  **Loan Amt: $ZZZ,ZZ9 Loan Dt: MM-DD-CCYY Reaffirmation Flag: X**  **Status Code XX as of MM-DD-CCYY Perkins Cancel Code XXX Statutory Int Rt %: Z9.999 Actual Int Rt %: Z9.999**  **Outstanding Bal. $ZZZ,ZZ9 as of MM-DD-CCYY**  **Addl Unsub: X Cap Int: X Confirmed Loan Subsidy Status: X Subsidy Status Date: MM-DD-CCYY X**  **Last Disb Date: MM-DD-CCYY Last Disb Amt: $ZZZ,ZZ9 Total Disb. Amt: $ZZZ,ZZ9**  **Lender: XXXXXX Lender Servicer: XXXXXX**  **Data Provider Loan ID/Award ID: XXXXXXXXXXXXXXXXXXXXX**  **Calculated Subsidized Agg. OPB: $ZZZ,ZZ9**  **Calculated Unsubsidized Agg. OPB: $ZZZ,ZZ9**  **Calculated Combined Agg. OPB: $ZZZ,ZZ9**  **Consolidation, Unallocated Agg. OPB: $ZZZ,ZZ9**  **XXXXXXXXXXXXXXXXXXXXXXXXXXXXXX $ZZZ,ZZ9 MM-DD-CCYY MM-DD-CCYY XXX XXXXXXXX XXX XXXXXXXX XXX**  **Loan Amt: $ZZZ,ZZ9 Loan Dt: MM-DD-CCYY Reaffirmation Flag: X**  **Status Code XX as of MM-DD-CCYY Perkins Cancel Code XXX Statutory Int Rt %: Z9.999 Actual Int Rt %: Z9.999**  **Outstanding Bal. $ZZZ,ZZ9 as of MM-DD-CCYY**  **Addl Unsub: X Cap Int: X Confirmed Loan Subsidy Status: X Subsidy Status Date: MM-DD-CCYY**  **Last Disb Date: MM-DD-CCYY Last Disb Amt: $ZZZ,ZZ9 Total Disb. Amt: $ZZZ,ZZ9**  **Lender: XXXXXX Lender Servicer: XXXXXX**  **Data Provider Loan ID/Award ID: XXXXXXXXXXXXXXXXXXXXX**  **Calculated Subsidized Agg. OPB: $ZZZ,ZZ9**  **Calculated Unsubsidized Agg. OPB: $ZZZ,ZZ9**  **Calculated Combined Agg. OPB: $ZZZ,ZZ9**  **Consolidation, Unallocated Agg. OPB: $ZZZ,ZZ9**  **# XXXXXXXXXXXXXXXXXXXXXXXXXXXXXX $ZZZ,ZZ9 MM-DD-CCYY MM-DD-CCYY XXX XXXXXXXX XXX XXXXXXXX XXX**  **Loan Amt: $ZZZ,ZZ9 Loan Dt: MM-DD-CCYY Reaffirmation Flag: X**  **Status Code XX as of MM-DD-CCYY Perkins Cancel Code XXX Statutory Int Rt %: Z9.999 Actual Int Rt %: Z9.999**  **Outstanding Bal. $ZZZ,ZZ9 as of MM-DD-CCYY**  **Addl Unsub: X Cap Int: X Confirmed Loan Subsidy Status: X Subsidy Status Date: MM-DD-CCYY**  **Last Disb Date: MM-DD-CCYY Last Disb Amt: $ZZZ,ZZ9 Total Disb. Amt: $ZZZ,ZZ9**  **Lender: XXXXXX Lender Servicer: XXXXXX**  **Data Provider Loan ID/Award ID: XXXXXXXXXXXXXXXXXXXXX**  **Calculated Subsidized Agg. OPB: $ZZZ,ZZ9**  **Calculated Unsubsidized Agg. OPB: $ZZZ,ZZ9**  **Calculated Combined Agg. OPB: $ZZZ,ZZ9**  **Consolidation, Unallocated Agg. OPB: $ZZZ,ZZ9**  **XXXXXXXXXXXXXXXXXXXXXXXXXXXXXX $ZZZ,ZZ9 MM-DD-CCYY MM-DD-CCYY XXX XXXXXXXX XXX XXXXXXXX XXX**  **Loan Amt: $ZZZ,ZZ9 Loan Dt: MM-DD-CCYY Reaffirmation Flag: X**  **Status Code XX as of MM-DD-CCYY Perkins Cancel Code XXX Statutory Int Rt %: Z9.999 Actual Int Rt %: Z9.999**  **Outstanding Bal. $ZZZ,ZZ9 as of MM-DD-CCYY**  **Addl Unsub: X Cap Int: X Confirmed Loan Subsidy Status: X Subsidy Status Date: MM-DD-CCYY**  **Last Disb Date: MM-DD-CCYY Last Disb Amt: $ZZZ,ZZ9 Total Disb. Amt: $ZZZ,ZZ9**  **Lender: XXXXXX Lender Servicer: XXXXXX**  **Data Provider Loan ID/Award ID: XXXXXXXXXXXXXXXXXXXXX**  **Calculated Subsidized Agg. OPB: $ZZZ,ZZ9**  **Calculated Unsubsidized Agg. OPB: $ZZZ,ZZ9**  **Calculated Combined Agg. OPB: $ZZZ,ZZ9**  **Consolidation, Unallocated Agg. OPB: $ZZZ,ZZ9**  **PRIVACY ACT OF 1974 (AS AMENDED)** |

Figure 4‑2, Transfer Student Monitoring/Financial Aid History Report, Part 2

Note: A pound sign symbol (#)will print next to the element that generates a TSM Alert.