



UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF THE GENERAL COUNSEL

THE GENERAL COUNSEL

August 31, 2023

Chancellor Mark Mone  
University of Wisconsin-Milwaukee  
Office of the Chancellor  
Chapman Hall 202  
2310 E. Hartford Ave.  
Milwaukee, WI 53201

***Via Electronic Mail***

Re: Conclusion of 20 U.S.C. § 1011f Investigation (University of Wisconsin-Milwaukee)

Dear Chancellor Mone:

This letter is to notify you of the disposition of the above-referenced investigation initiated by the U.S. Department of Education (Department) on January 15, 2021. The Department opened this investigation to determine whether the University of Wisconsin-Milwaukee (UWM) is in compliance with Section 117 of the Higher Education Act of 1965 (Section 117), which requires institutions of higher education (IHEs) to report statutorily defined gifts, contracts, and/or restricted and conditional gifts or contracts from or with a statutorily defined foreign source(s) to the Department.

During the course of the investigation, UWM submitted documentation and information in response to the Department's requests as initially posed and as subsequently raised. Further, UWM has attested that it: 1) has conducted a reasonable and diligent search for responsive materials and produced them to the Department; 2) is current in the submission of its Section 117 disclosure reports through the reporting deadline of January 31, 2023, subject to its completion of the review and submission described in the next sentence; 3) has written procedures and systems in place designed to address and achieve compliance with Section 117 requirements; and 4) has not knowingly or willfully failed to comply with the requirements of Section 117. UWM further agreed to the following: 1) completing a review of its Section 117 disclosures involving contract and tuition payments for accuracy; and (2) by October 31, 2023, submitting any additional or revised Section 117 reports to correct for known errors and omissions from January 1, 2016, to the present.

400 MARYLAND AVE. S.W., WASHINGTON, DC 20202-1100  
[www.ed.gov](http://www.ed.gov)

*The Department of Education's mission is to promote student achievement and preparation for global competitiveness by fostering educational excellence and ensuring equal access.*

The Department has completed its review of UWM's submissions, as well as its statutorily required disclosure reports. Based on UWM's attestation and agreement and the entirety of the Department's review, we are pleased to notify you that the Department has concluded its Section 117 investigation of UWM and that, as of the date of this letter, this investigation is closed.

We appreciate the collaborative and responsive way in which UWM worked with the Department throughout this investigation. We encourage UWM to continue to work efficiently and diligently to comply with Section 117. Please contact the Department at any time should you have any questions about Section 117 compliance. We are committed to helping institutions understand and comply with their obligations under Section 117 and would be pleased to provide any assistance that you might seek in the future.

Sincerely,



Lisa M. Brown

cc: Donna McGee  
Associate Director &  
Senior University Legal Counsel  
University of Wisconsin-Milwaukee